



Genotoul  
**Bioinfo**

**Aligning SGS reads**  
**Calling SNP**



Philippe Bardou  
Cédric Cabau



## **First day :**

*Morning (9h00 - 12h00)*

- Sequence quality
- Read mapping

*Afternoon (13h30 - 17h)*

- SAM format
- Visualisation

## **Second day :**

*Morning (9h00 - 12h00)*

- Variant calling (VCF)
- Variant filtering/annotation

*Afternoon (13h30 - 17h)*

- Nextflow / Sarek



All the course material (slides, input and output data, practical exercises, correction) is available online:

- [https://web-genobioinfo.toulouse.inrae.fr/~formation/16\\_SGS-SNP/](https://web-genobioinfo.toulouse.inrae.fr/~formation/16_SGS-SNP/)
- <https://bios4biol.pages.mia.inra.fr/training-aln-variant-calling/>



# What are you going to learn?



- To extract reads and reference genome from the NCBI
- To verify the read quality
- To align the reads on the reference genome
- To improve alignment and to recalibrate SGS data
- To call variants (SNP and INDEL)
- To visualise the alignments and variations
- To annotate variants
- To filter variants



# What you should already know?



- How to connect to a remote unix server (mobaXterm)?
- What a unix command looks like?
- How to move around the unix environment?
- How to submit jobs?
- How to edit a file?

```
sigenae@genologin
Terminal Sessions View X server Tools Settings Macros Help
Quick connect...
Name
Sessions
Tools
Macros
SSH
Log
Remote monitoring
Follow terminal folder

MobaXterm 20.3
(SSH client, X-server and networking tools)
SSH session to sigenae@genologin.toulouse.inra.fr
SSH compression: ✓
SSH-browser: ✓
X11-forwarding: ✓ (remote display is forwarded through SSH)
DISPLAY: ✓ (automatically set on remote server)
For more info, ctrl+click on help or visit our website

Last login: Tue Nov 9 12:11:06 2021 from 147.99.97.183
sigenae@genologin1 ~ $ ls
bin/          gBS/          index.e2672097  juicebox/     logs/          profile.d/     rezlog/        slurm-28305225.out  tmp_job/      work@
Desktop/     gm_key_64@   index.e2672097  julie.bam     ncbi/          public_html@  save@          stat/           tmp_modulelist  work_project@
Downloads/   igv@         intel/          julie.bam.bai ncbi_error_report.xml  R@             slurm-21279244.out  temp@         tmp.toto

sigenae@genologin1 ~ $ ll
total 553M
lrwxrwxrwx 1 sigenae SIGENAE   8 Jan 10 2014 bin -> save/bin/
lrwxrwxrwx 1 sigenae SIGENAE  13 Dec 20 2011 Desktop -> save/Desktop//
drwxrwsr-x 2 sigenae SIGENAE   0 May 27 2019 Downloads/
drwxrwsr-x 3 sigenae SIGENAE  173 Jan 16 2020 gBS/
lrwxrwxrwx 1 sigenae SIGENAE   67 Sep  4 2020 gm_key_64 -> /usr/local/bioinfo/src/GeneMark/GeneMark-v4.33/gms_petap/gm_key_64
lrwxrwxrwx 1 sigenae SIGENAE   8 Dec 20 2011 igv -> save/igv/
-rw-rw-r-- 1 sigenae SIGENAE  5.5K Jul 26 2019 index.e2672097
-rw-rw-r-- 1 sigenae SIGENAE  223 Jul 26 2019 index.e2672097
drwxrwsr-x 3 sigenae SIGENAE   21 Jul  2 2019 intel/
drwxrwsr-x 2 sigenae SIGENAE   0 Nov 25 2020 juicebox/
-rw-rw-r-- 1 sigenae SIGENAE  479M Aug 17 11:53 julie.bam
-rw-rw-r-- 1 sigenae SIGENAE   3.5M Aug 17 11:58 julie.bam.bai
drwx-S-- 3 sigenae SIGENAE   24 Aug 17 16:12 logs/
drwxrwsr-x 3 sigenae SIGENAE   24 Mar 20 2019 ncbi/
-rw-rw-r-- 1 sigenae SIGENAE  11K Jan 22 2021 ncbi_error_report.xml
drwxrwxr-x 2 sigenae SIGENAE   419 Mar 20 2017 profile.d/
-rw-rw-r-- 1 sigenae SIGENAE   825 Nov 25 2020 slurm-21279244.out
-rw-rw-r-- 1 sigenae SIGENAE  274 Sep  3 15:42 slurm-28305225.out
drwxrwxr-x 2 sigenae SIGENAE  1.6K Sep 10 2015 stat/
lrwxrwxrwx 1 sigenae SIGENAE   9 Apr  3 2012 temp -> work/temp/
drwxrwsr-x 2 sigenae SIGENAE   0 Jun 23 13:13 tmp_job/
-rw-rw-r-- 1 sigenae SIGENAE  40K May 21 2019 tmp_modulelist
-rw-rw-r-- 1 sigenae SIGENAE   0 Sep  7 15:57 tmp.toto
lrwxrwxrwx 1 sigenae SIGENAE  21 Oct 10 2017 work -> /work/project/sigenae/
lrwxrwxrwx 1 sigenae SIGENAE  22 Oct 10 2016 work_project -> /work/project/sigenae//
sigenae@genologin1 ~ $
```



# The pieces of software



- Fastqc : quality control
- BWA : alignment
- Samtools & Picard-tools : manipulation of SAM/BAM files
- IGV : visualisation
- GATK : preprocess and variant calling
- SnpEff / SnpSift : annotate/filter variants



*Manual Reference Pages - bwa (1)*

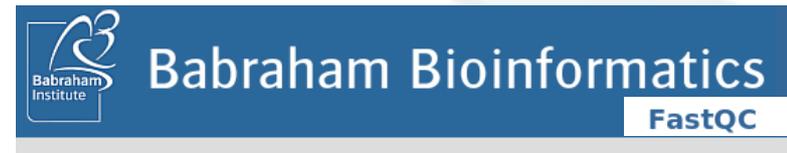
---

NAME

bwa - Burrows-Wheeler Alignment Tool

CONTENTS

- Synopsis
- Description
- Commands And Options
- Sam Alignment Format
- Notes On Short-read Alignment
  - Alignment Accuracy
  - Estimating Insert Size Distribution
  - Memory Requirement
  - Speed
- Notes On Long-read Alignment
- See Also
- Author
- License And Citation
- History



SnpEff & SnpSift

SAMtools

Picard



# The 1000 genomes project



- Joint project NCBI / EBI
- Common data formats :
  - ◆ FASTQ (Raw data)
  - ◆ SAM (Sequence Alignment/Map)
  - ◆ VCF (Variant Call Format)

**1000 Genomes**  
A Deep Catalog of Human Genetic Variation

Home About Data Analysis Participants Contact Browser Wiki

**LATEST ANNOUNCEMENTS**

**March 2010 Data Release**  
31 MARCH 2010  
[Final release of pilot project SNP calls](#)  
The final set of SNPs from Pilots 1, 2 and 3 are now available in VCF format. All 1000 Genomes pilot project files reference the NCBI build 36 assembly of the human genome.  
Data access links: [EBI / NCBI](#)  
Link to additional information: [README file](#)

**Recent project announcements**

29 APRIL 2010 **Additional main project sequence files**  
New main project sequence files are available on the FTP site.  
Link to additional information: [20100429.sequence.index / README.sequence\\_data / README.populations](#)

16 APRIL 2010 **Patched mask files available**  
The Pilot 1 mask files have been patched to support creation of \*.bai files with SAMtools.  
Data access links: [EBI / NCBI](#)

**LOG IN**

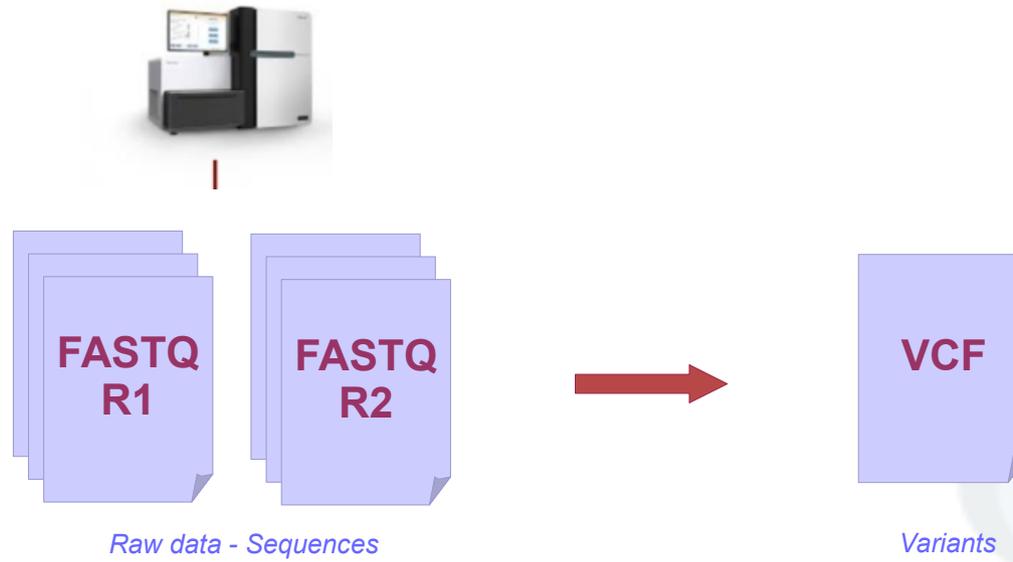
Username:   
Password:   
 ([Send me my password](#))

**LINKS**

- [All Project Announcements](#)
- [Sample and Project Information](#)
- [Media Archive](#)

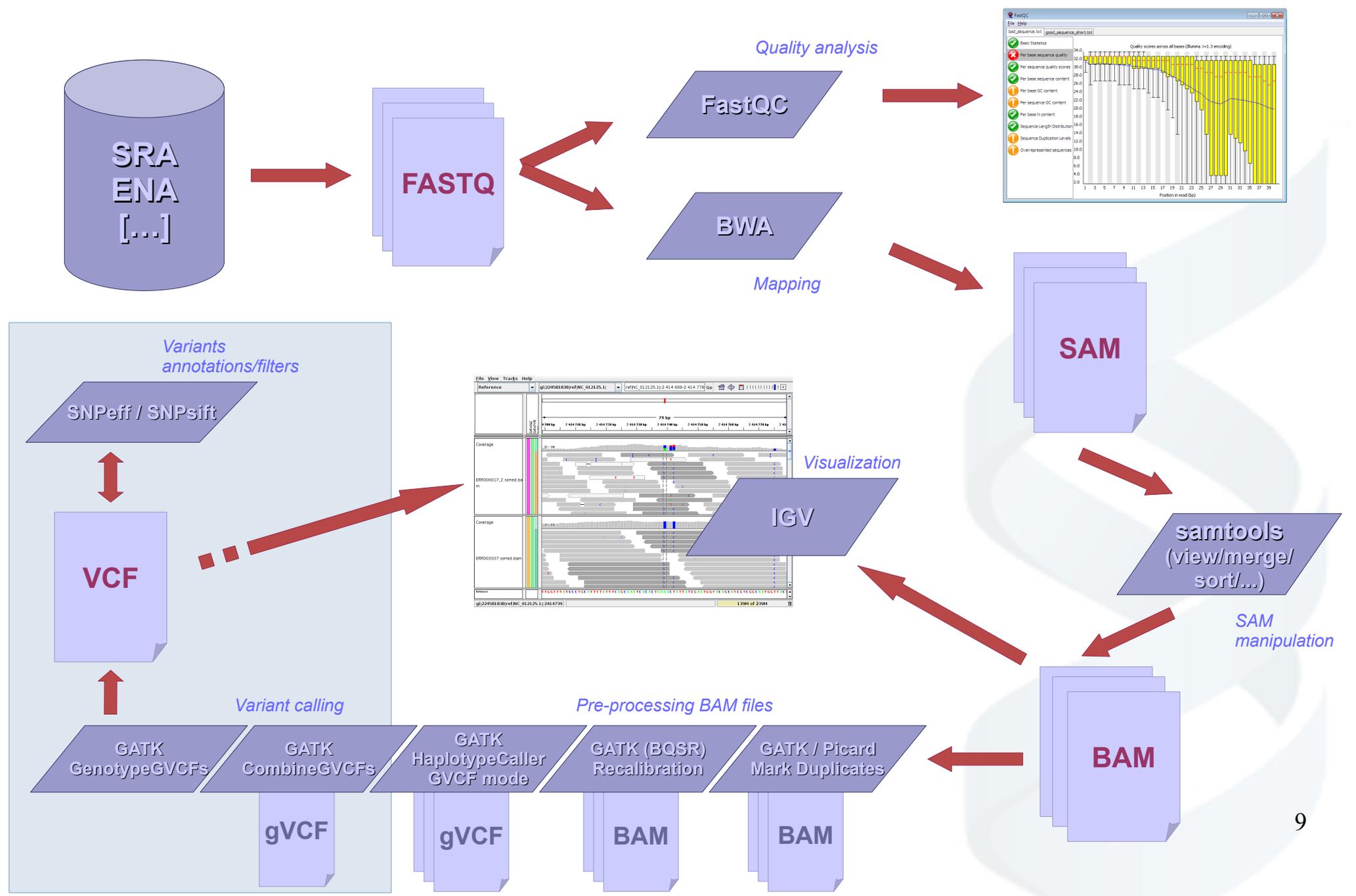


# Overview



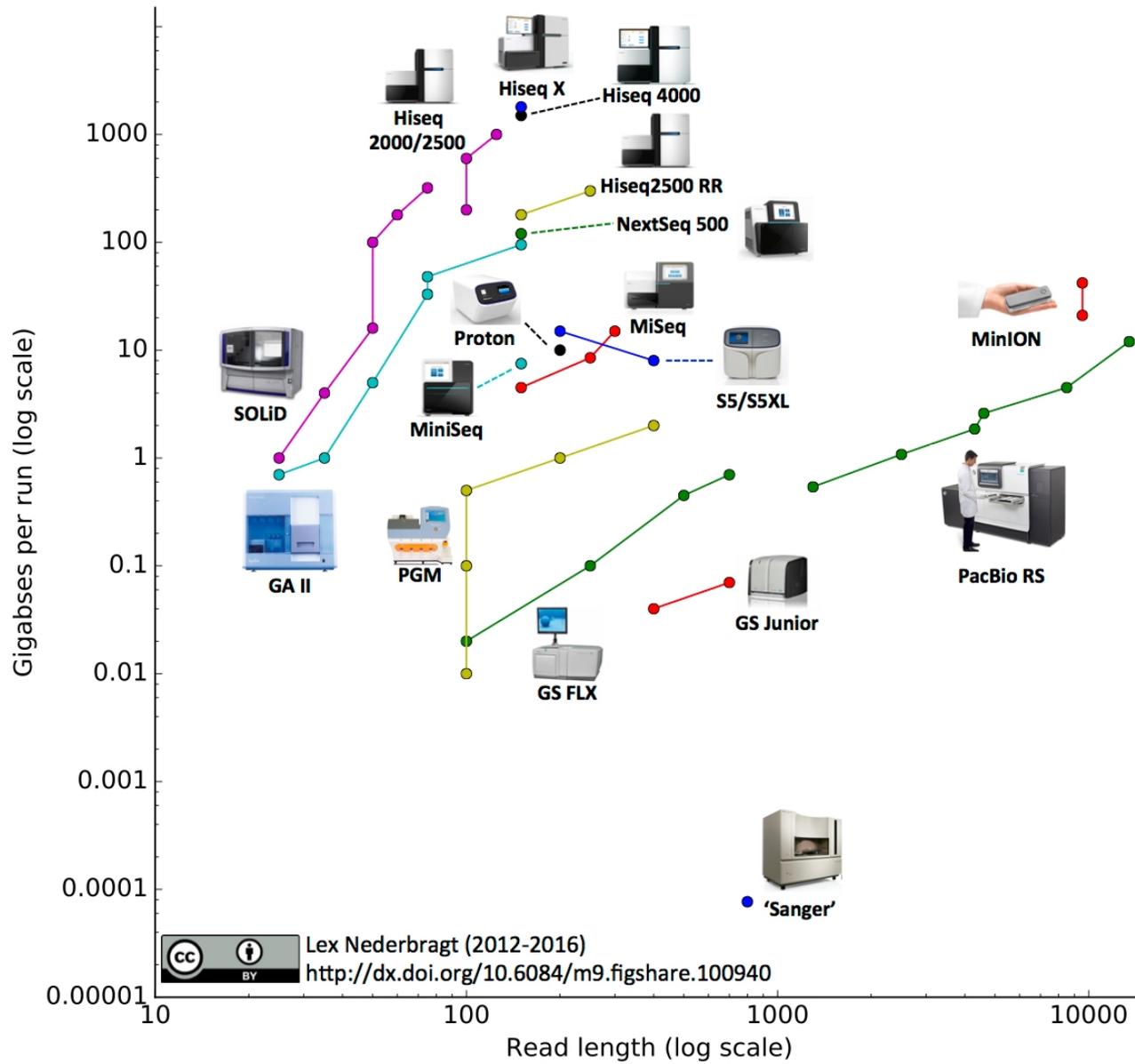


# Overview





# SGS platforms



CC BY Lex Nederbragt (2012-2016)  
<http://dx.doi.org/10.6084/m9.figshare.100940>



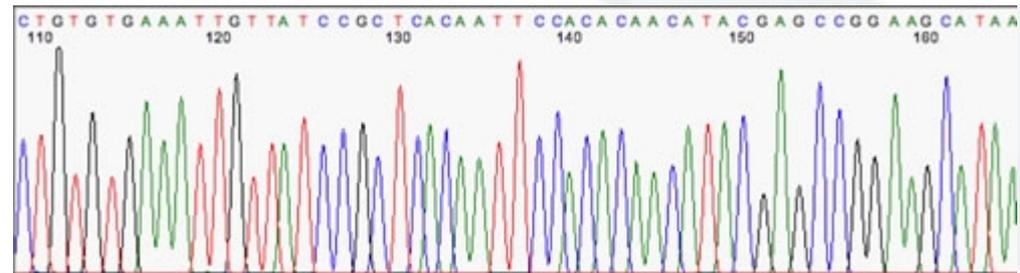
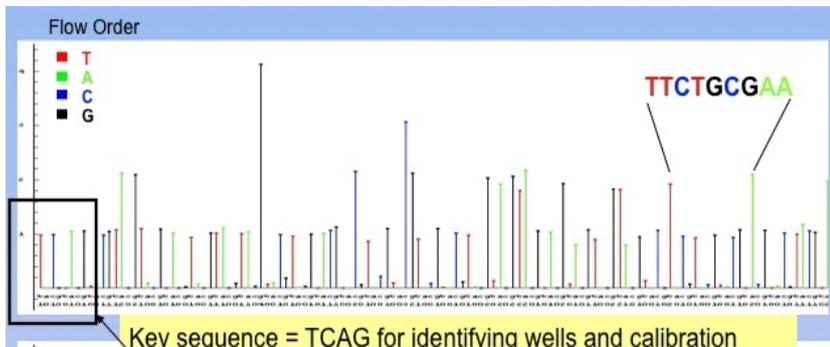
# Sequencing bias



→ Platform related

→ Illumina (data from Jean-Marc Aury CNS)

- ◆ 98,5% mapped reads
- ◆ Mean error rate : 0,38%
- ◆ 3% deletions, 2% insertions, 95% substitutions
- ◆ GC-rich and AT-rich fragments underrepresented





# Sequencing platforms



## SeqOccin Technology



### Short reads vs Long reads error rates

**Chromosome fragment**



**Oxford Nanopore**

~16% errors  
30 kb N50 (up to ~1 Mb)



**PacBio CLR**

~15% errors  
50 kb N50 (up to ~200 kb)



**PacBio HiFi**

~1% errors  
15 kb N50 (up to ~40 kb)



**10x Chromium +  
Illumina paired ends**

~0.2% errors  
150bp x 2 (molecule length ~80 kb)



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Extract from Arnaud Di-Franco - SeqOccin - 2020/12  
Détection de variants Efficacité de la détection à basse couverture en longue lecture



SeqOccin

## SNP calling avec lectures longues (et erronées)

Les lectures longues ne sont pas naturellement optimales pour détecter des polymorphismes affectant une base.

Cependant, de nombreuses équipes travaillent sur des méthodes qui leur sont adaptées.

- ONT - Medaka Oxford Nanopore Technologies Ltd.
- ONT - Clairvoyante/Clair/Clair3 *Luo et al. 2019-2021*
- ONT/CLR - NanoCaller *Ahsan et al. 2019-2020*
- ONT/CLR - Longshot *Edge and Bansal 2019*
- ...

Longshot a l'avantage de ne pas être dépendant de l'entraînement d'un modèle

De plus, il a été développé en ciblant les lectures CLR.

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SeqOccin

## Human SNP calling

Giab as reference set

$$\text{Precision} = \frac{tp}{tp + fp}$$

$$\text{Recall} = \frac{tp}{tp + fn}$$

SNPs+Indels calling on HG002



- Illumina donne les meilleurs résultats
- HiFi est équivalent
- CLR gardent des hautes valeurs de précision
- CLR a des valeurs de sensibilité "correctes" sachant que Longshot ne détectent pas les Indels
- 15x en CLR a une sensibilité équivalente à 6x en Illumina

Pour mémoire, taux d'erreur

- Illumina : 0.2 %
- HiFi : 1 %
- CLR : 15%

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Extract from Arnaud Di-Franco - SeqOccin - 2020/12  
Détection de variants Efficacité de la détection à basse couverture en longue lecture



# What data will we use?



- The needed data :
  - A reference sequence :
    - Genome
    - Parts of the genome
    - Transcriptome
  - Short reads





# Where to get reference genome?



- Assemble your own
- Use a public assembly (NCBI / EBI)

The screenshot shows the NIH National Library of Medicine search interface. The search term 'Gallus gallus' is entered in the search bar. The results are categorized into several sections: Literature, Genes, Proteins, Genomes, Clinical, and PubChem. The 'Genomes' section is highlighted with a red oval, showing 1 result for 'Genome'.

Category	Sub-category	Count
Literature	Bookshelf	1,134
	MeSH	166
	NLM Catalog	250
	PubMed	137,523
	PubMed Central	80,406
Genes	Gene	54,653
	GEO DataSets	18,611
	GEO Profiles	245,610
	HomoloGene	13,352
	PopSet	1,010
Proteins	Conserved Domains	11
	Identical Protein Groups	71,601
	Protein	3,220,879
	Protein Family Models	188
	Structure	2,123
Genomes	Assembly	4
	BioCollections	0
	BioProject	1,668
	BioSample	76,077
	<b>Genome</b>	<b>1</b>
	Nucleotide	1,777,270
	SRA	63,158
	Taxonomy	1
Clinical	ClinicalTrials.gov	200
	ClinVar	3
	dbGaP	1
	dbSNP	0
	dbVar	0
	GTR	0
	MedGen	0
	OMIM	10
PubChem	BioAssays	9
	Compounds	2
	Pathways	1,818
	Substances	21



# Where to get short reads?



- Produce your own sequences :
  - ◆ CNS
  - ◆ Local platform
  - ◆ Private company
  
- Use public data :
  - ◆ SRA : NCBI Sequence Read Archive
  - ◆ ENA : EMBL/EBI European Nucleotide Archive



# NCBI SRA?



Search NCBI

Gallus gallus



Search

## Literature

Bookshelf	1,134
MeSH	166
NLM Catalog	250
PubMed	137,523
PubMed Central	80,406

## Genes

Gene	54,653
GEO DataSets	18,611
GEO Profiles	245,610
HomoloGene	13,352
PopSet	1,010

## Proteins

Conserved Domains	11
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## Genomes

Assembly	4
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BioProject	1,668
BioSample	76,077
Genome	1
Nucleotide	1,777,270
<b>SRA</b>	<b>63,158</b>
Taxonomy	1

## Clinical

ClinicalTrials.gov	200
ClinVar	3
dbGaP	1
dbSNP	0
dbVar	0
GTR	0
MedGen	0
OMIM	10

## PubChem

BioAssays	9
Compounds	2
Pathways	1,818
Substances	21



## → Meta data structure :

- ◆ Experiment
- ◆ Sample
- ◆ Study
- ◆ Run
- ◆ Data file

NCBI Site map | All databases | PubMed | Search

**Sequence Read Archive**

Main | Browse | Search | Download | Submit | Documentation | Software | Trace Archive | Trace Assembly | Trace Home | Trace BLAST

Studies | Samples | Analyses | Run Browser | Entrez

### ERP000014 Detecting variation in Salmonella Paratyphi A by sequencing pooled DNA

Study Type: Whole Genome Sequencing  
 Submission: ERA000083 by SC on 2010-02-19 13:22:30  
 Abstract: Here we present a method for estimating the frequencies of SNP alleles present within pooled samples of DNA using high-throughput short-read sequencing. The method was tested on real data from six strains of the highly monomorphic pathogen Salmonella Paratyphi A, sequenced individually and in a pool. A variety of read mapping and quality-weighting procedures were tested to determine the optimal parameters, which afforded >=80% sensitivity of SNP detection and strong correlation with true SNP frequency at poolwide read depth of 40x, declining only slightly at read depths 20x-40x.  
 Description: n/a

[Download fastq for entire study](#)

#### Experiments

Show RUNs for each experiment

Accession	Spots	Bases
<b>Total: 7</b>	<b>37.7M</b>	<b>1.3G</b>
ERX000291	5.4M	193.7M
ERX000292	5.3M	191.6M
ERX000293	3.6M	129.6M
ERX000294	5.6M	201.5M
ERX000295	5.4M	195.4M
ERX000296	6.0M	215.9M
ERX000297	6.3M	209.4M

[Write to the Help Desk](#) | [Privacy Notice](#) | [Disclaimer](#) | [Accessibility](#)  
 National Center for Biotechnology Information | U.S. National Library of Medicine

NATIONAL INSTITUTES OF HEALTH | FIRSTGOV.gov



# What is a fastq file?



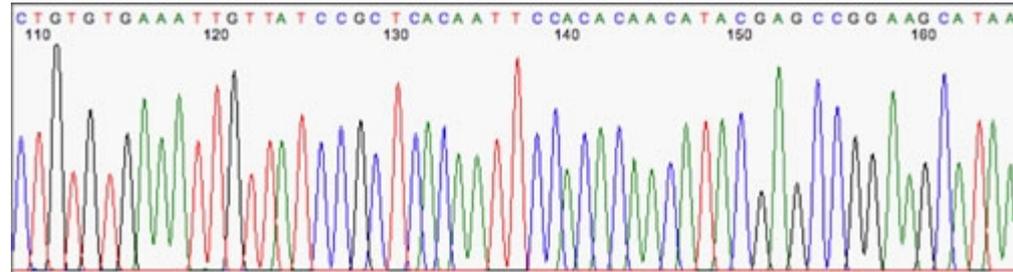
FASTQ format stores sequences and Phred qualities in a single file. It is concise and compact. FASTQ is first widely used in the Sanger Institute and therefore we usually take the Sanger specification and the standard FASTQ format, or simply FASTQ format. Although Solexa/Illumina read file looks pretty much like FASTQ, they are different in that the qualities are scaled differently. In the quality string, if you can see a character with its ASCII code higher than 90, probably your file is in the Solexa/Illumina format.

## Example

```
@EAS54_6_R1_2_1_413_324
CCCTTCTTGTCTTCAGCGTTTCTCC
+
;;3;::::::::::::7;::::::::88
@EAS54_6_R1_2_1_540_792
TTGGCAGGCCAAGGCCGATGGATCA
+
::::::::::::7;::::-::;3;83
@EAS54_6_R1_2_1_443_348
GTTGCTTCTGGCGTGGGTGGGGGGG
+EAS54_6_R1_2_1_443_348
::::::::::::9;7;;.7;393333
```



→ Phred : base calling



## What is Phred Quality?

Traditionally, Phred quality is defined on base calls. Each base call is an estimate of the true nucleotide. It is a random variable and can be wrong. The probability that a base call is wrong is called error probability.

Explanation about the quality values :

[source http://maq.sourceforge.net/qual.shtml](http://maq.sourceforge.net/qual.shtml)



# Sequence quality



**Phred quality scores are logarithmically linked to error probabilities**

<b>Phred Quality Score</b>	<b>Probability of incorrect base call</b>	<b>Base call accuracy</b>
10	1 in 10	90%
20	1 in 100	99%
30	1 in 1000	99.9%
40	1 in 10,000	99.99%
50	1 in 100,000	99.999%
60	1 in 1,000,000	99.9999%



# Which reads should I keep?



- All
- Some : what criteria and threshold should I use
  - ◆ Composition (number of Ns, complexity, ...)
  - ◆ Quality
  - ◆ Alignment based criteria
- Should I trim the reads using :
  - ◆ Composition
  - ◆ Quality

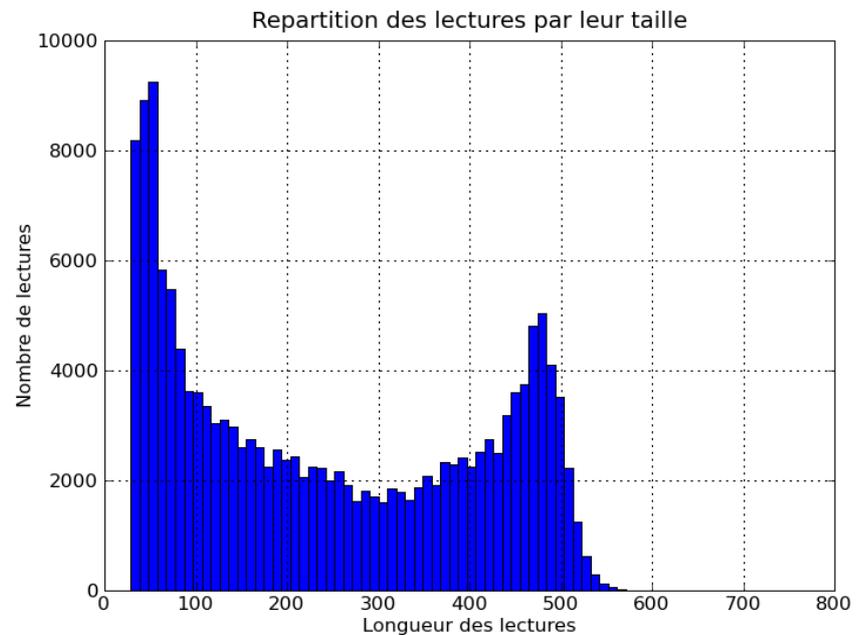




# Basic reads statistics



- Number of reads
- Length histogram
- Number of Ns in the reads
- Reads quality
- Reads redundancy
- Reads complexity

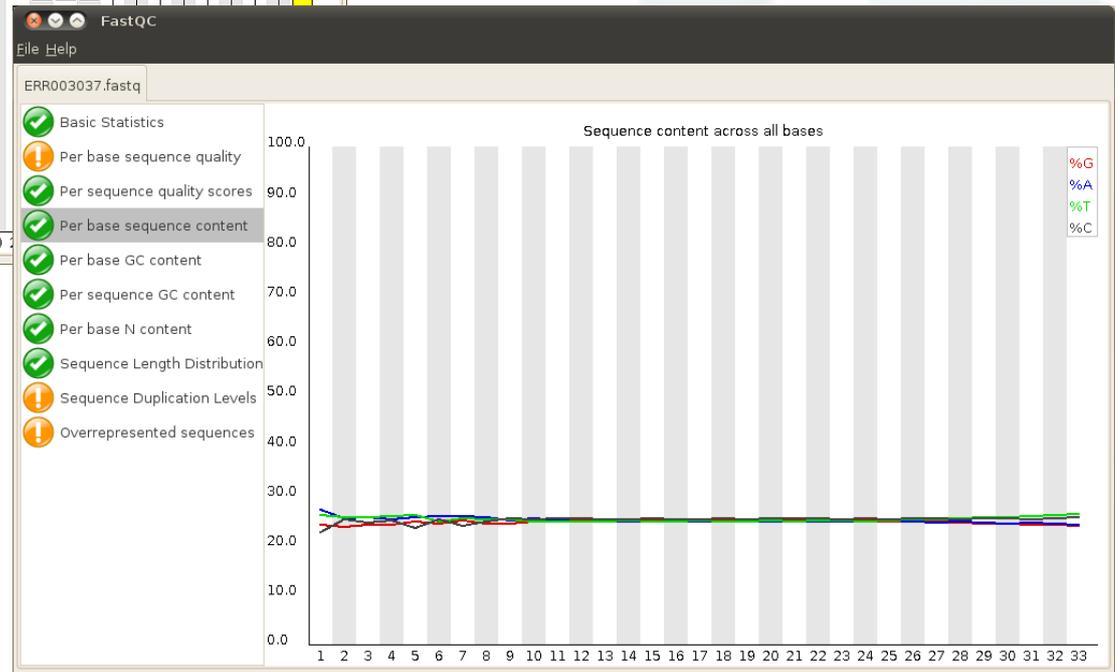
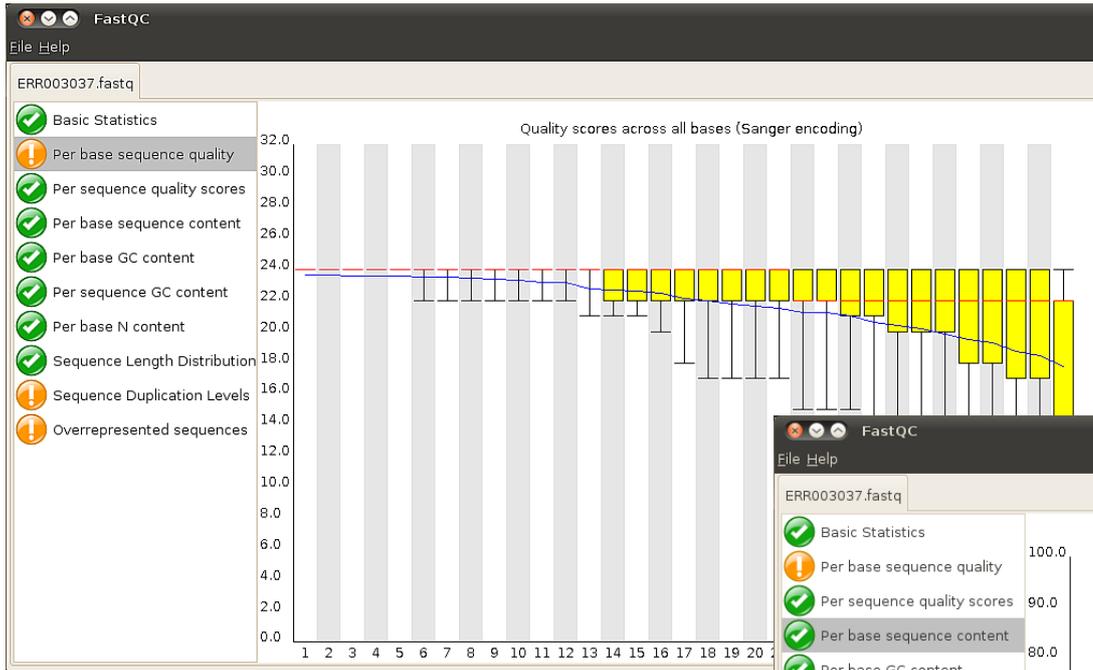


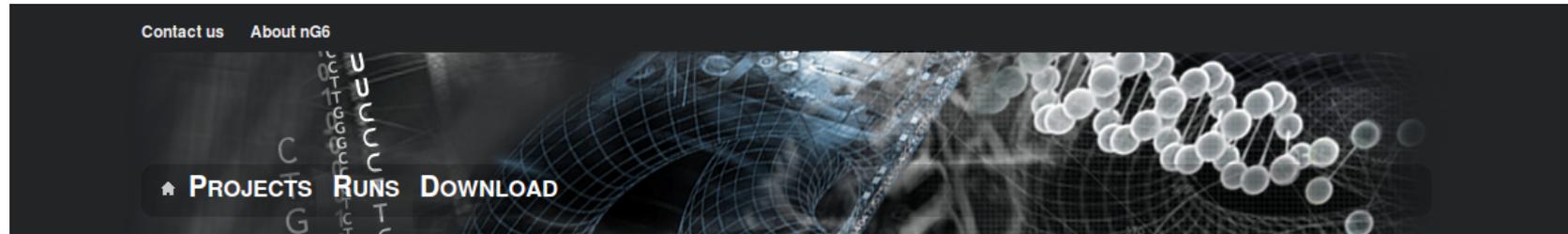


# Sequence quality analysis



→ FastQC :





## User login

Enter your username and password here in order to log in on the website:

### Login

Username:

Password:

## Keep up with news

- June 17 2013** NG6 v2.0 is now available. This new version is based upon the jflow workflow engine instead of ergatis. This version is comming with several new features for runs administrators.
- November 27 2012** NG6 is now only available in english.
- September 14 2012** New user management system. 3 rights levels: administrator (in charge to run workflows), manager (in charge to manage project access) and member (browsing projects/runs/analyses).
- September 9 2012** Publication of NG6 in [BMC Genomics](#).
- August 7 2012** NG6 is available in french and in english.
- June 4 2012** Fix a bug in the generation of cigarline graphs produced by the AlignmentStats analysis.
- November 23 2011** Since the 3rd of october 2011 the HiSeq quality encoding is in Sanger format, no longer in illumina format.
- August 2nd 2011** New functionalities are available to browse your projects/runs /analyses. A hierarchical display is now available for analysis. For project administrators new functionalities are also available.

## Links

-  [GenoToul Bioinfo platform](#)
-  [GenoToul Genomic platform](#)
-  [Sigenae platform](#)

## About NG6

Next generation sequencing platforms are now well implanted in sequencing centres and some laboratories. Smaller scale machines such as the 454 junior from Roche or the MiSeq from Illumina will increase the number of laboratories hosting a sequencer. In such a context, it is important to provide these teams with an easily manageable environment to store and process the produced reads.

NG6 is a complete information system designed to answer the needs of a sequencing platform. It provides a user-friendly interface to process, store and download high-throughput sequencing data. [\[more\]](#)



Contact us About nG6

PROJECTS **RUNS** DOWNLOAD



## Runs List You Can Access

You have access to **10** runs.  
Raw data and analysis results use **36.08 Gb** on the hard drive.

10 records per page

Search:

Run Name	Project Name	Date	Species	Data nature	Type	Number of sequences	Full sequences length	Description	Sequencer
<a href="#">Gut microbiome</a>	Demonstration2	12/11/11	unknown	16SrRNA	1/2 PTP	15 648	3 793 726	Public run downloadable from the <a href="#">NCBI</a> web site.	454 GS FLX+
<a href="#">PhiX validation</a>	Demonstration	07/11/11	PhiX	gDNA	Lane 1	12 722 830	1 921 147 330	MiSeq validation run	MiSeq M00185
<a href="#">PhiX validation</a>	Demonstration	23/11/10	PhiX	gDNA	1/8 Flowcell A - Lane 4	103 977 058	10 501 682 858	Insert size of 300bp - Concentration of 7pM	HiSeq 2000 SN7000314
<a href="#">E coli K12</a>	Demonstration2	28/05/09	Escherichia coli	gDNA	1/2 PTP - Region 1	671 856	291 988 221	Roche library	454 GS FLX Titanium

Showing 1 to 4 of 4 entries

← Previous 1 Next →



PROJECTS **RUNS** DOWNLOAD

Runs > PhiX validation



Run PhiX Validation

MiSeq validation run

- **Project name:** Demonstration
- **Date:** 07/11/11
- **Species:** PhiX
- **Type:** Lane 1
- **Data nature:** gDNA
- **Number of sequences:** 12 722 830
- **Full sequences length:** 1 921 147 330
- **Sequencer:** MiSeq M00185

Raw data and analysis results use **1.59 Gb** on the hard drive.  
6 analysis have been done on this run.

---

Analyses
**Raw data**

10 records per page
Search:

Name	Description	Software	Version
<a href="#">IlluminaFilter</a>	Filter reads outputed by casava 1.8	fastq_illumina_filter	
-- <a href="#">ReadsStats</a>	Statistics on reads and their qualities.	fastqc	v0.10.0
-- <a href="#">Alignment</a>	Reads Alignment	bwa	0.7.0-r313
-- <a href="#">Insert size</a>	Insert size statistics	Picards tools - Insert size	1.88(1394)
-- <a href="#">AlignmentStats</a>	Alignment Statistics	-	-

Showing 1 to 5 of 5 entries
← Previous 1 Next →



# NG6 - Stats



PROJECTS **RUNS** DOWNLOAD

Runs > PhiX validation > ReadsStats


Analysis ReadsStats

Statistics on reads and their qualities.  
All data related to this analysis use **537.98 Kb** on the hard drive.

Reads and quality statistics Downloads

10 records per page Search:

	Per position statistics				Per sequence statistics							
	Quality	GC%	N content	Per base content	Number of sequences	Quality	GC%	Length distribution	Duplication level	Kmer profiles	Over sequ	
<input type="checkbox"/> Samples (2)												
<input type="checkbox"/> PhiX-Validation_NoIndex_L001_R1	PASS	PASS	PASS	WARN	6 361 415	PASS	44(WARN)	151(PASS)	FAIL	WARN	PAS	
<input type="checkbox"/> PhiX-Validation_NoIndex_L001_R2	PASS	PASS	PASS	WARN	6 361 415	PASS	44(WARN)	151(PASS)	FAIL	WARN	PAS	

With selection :

Showing 1 to 2 of 2 entries ← Previous 1 Next →

 Help for per position statistics :

- Quality** : WARN = A warning will be issued if the lower quartile for any base is less than 10, or if the median for any base is less than 25. FAIL = This module will raise a failure if the lower quartile for any base is less than 5 or if the median for any base is less than 20.
- GC%** : WARN = This module issues a warning if the GC content of any base strays more than 5% from the mean GC content. FAIL = This module will fail if the GC content of any base strays more than 10% from the mean GC content.
- N content** : This module raises a warning if any position shows an N content of >5%. FAIL = This module will raise an error if any position shows an N content of >20%.
- Per base content** : WARN = This module issues a warning if the difference between A and T, or G and C is greater than 10% in any position. FAIL = This module will fail if the difference between A and T, or G and C is greater than 20% in any position.



# Exercises / set 1



<https://bios4biol.pages.mia.inra.fr/training-aln-variant-calling/>



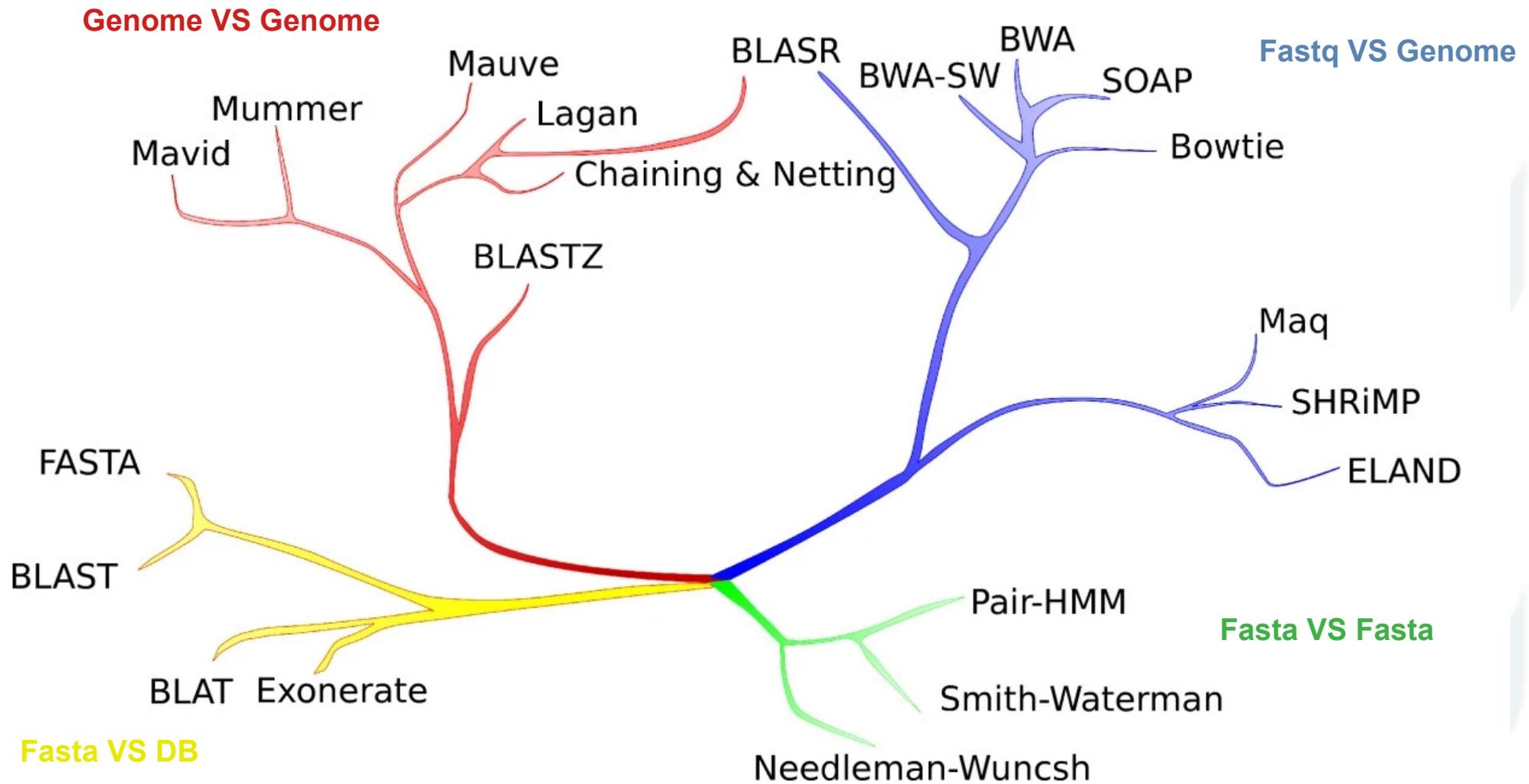
The different software generations :

- ◆ Smith-Waterman / Needleman-Wunch (1970)
- ◆ BLAST (1990)
- ◆ MAQ (2008)
- ◆ BWA (2009)
- ◆ Minimap2 (2018)





# Reads alignment



**An illustration of relationships between alignment methods.** The applications / corresponding computational restrictions shown are (green) short pairwise alignment / detailed edit model; (yellow) database search / divergent homology detection; (red) whole genome alignment / alignment of long sequences with structural rearrangements; and (blue) short read mapping / rapid alignment of massive numbers of short sequences. Although solely illustrative, methods with more similar data structures or algorithmic approaches are on closer branches. The BLASR method combines data structures from short read alignment with optimization methods from whole genome alignment.





# Reads alignment



Most popular tools for mapping to a normal genomic reference (DNAseq, ChIP-Seq, sRNAseq, ...):

Scoring of aligners for various sequencing parameters based on criteria evaluated in this study; + indicates low score, ++ indicates medium score, and +++ indicates high score.

	Execution time				Memory usage				Accuracy				% Prop. paired reads			
	350	550	350	550	350	550	350	550	350	550	350	550	350	550	350	550
Ins. (bp)	350	550	350	550	350	550	350	550	350	550	350	550	350	550	350	550
RL (bp)	100	150	100	150	100	150	100	150	100	150	100	150	100	150	100	150
BWA	+	+	+	+	+	+	+	+	+++	+++	+++	+++	+++	+++	+++	+++
Bowtie2	++	++	++	++	+++	+++	++	++	+++	+++	+++	+++	++	++	+++	+++
HISAT2	+++	+++	+++	+++	+++	+++	+++	+++	++	++	++	++	+	+	+	+

<https://dx.doi.org/10.3389%2Ffgene.2018.00035>

Popular splice read aligners (RNAseq polyA+/total):

**Table 1:** Sensitivity, precision, run times and memory usage of leading spliced aligners.

Program	Sensitivity (%)	Precision (%)	Run time (min)	Memory usage (GB)
HISAT2	97.3	94.8	26.7	4.3
TopHat2	90.6	82.6	1,170	4.3
STAR	96.3	88.3	25	28
Bowtie2	91.1	79.2	13	14

<https://doi.org/10.23937/2378-3648/1410048>



- Fast and moderate memory footprint (<4GB)
- SAM output by default
- **Gapped** alignment for both SE and PE reads
- Effective pairing to achieve high alignment accuracy; suboptimal hits considered in pairing.
- Non-unique read is placed randomly with a mapping quality 0
- Limited number of errors (2 for 32bp, 4 for 100 bp, ...)
- The default configuration works for most typical input.
  - ◆ Automatically adjust parameters based on read lengths and error rates.
  - ◆ Estimate the insert size distribution on the fly

<http://bio-bwa.sourceforge.net/>

**BIOINFORMATICS ORIGINAL PAPER**

Vol. 25 no. 14 2009, pages 1754–1760  
doi:10.1093/bioinformatics/btp324

*Sequence analysis*

## **Fast and accurate short read alignment with Burrows–Wheeler transform**

Heng Li and Richard Durbin\*

Wellcome Trust Sanger Institute, Wellcome Trust Genome Campus, Cambridge, CB10 1SA, UK

Received on February 20, 2009; revised on May 6, 2009; accepted on May 12, 2009

Advance Access publication May 18, 2009

Associate Editor: John Quackenbush



---

## *Manual Reference Pages - bwa (1)*

---

### NAME

bwa - Burrows-Wheeler Alignment Tool

### CONTENTS

[Synopsis](#)  
[Description](#)  
[Commands And Options](#)  
[Sam Alignment Format](#)  
[Notes On Short-read Alignment](#)  
    [Alignment Accuracy](#)  
    [Estimating Insert Size Distribution](#)  
    [Memory Requirement](#)  
    [Speed](#)  
[Changes In Bwa-0.6](#)  
[See Also](#)  
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[History](#)

### SYNOPSIS

```
bwa index ref.fa  
  
bwa mem ref.fa reads.fq > aln-se.sam  
  
bwa mem ref.fa read1.fq read2.fq > aln-pe.sam  
  
bwa aln ref.fa short_read.fq > aln_sa.sai  
  
bwa samse ref.fa aln_sa.sai short_read.fq > aln-se.sam  
  
bwa sampe ref.fa aln_sa1.sai aln_sa2.sai read1.fq read2.fq > aln-pe.sam  
  
bwa bwsw ref.fa long_read.fq > aln.sam
```



→ Two steps :

◆ Indexation :

```
$ bwa index GENOME.fasta
```

◆ Alignment :

```
$ bwa mem \  
> -R "@RG\tID:1\tSM:SRR7062654\tPL:illumina\tLB:SRR7062654\tPU:1" \  
> -t4 \  
> GENOME.fa \  
> SRR7062654_R1.fastq.gz \  
> SRR7062654_R2.fastq.gz \  
> | samtools sort - > SRR7062654.bam
```

→ Meaning of the read group fields required (@RG) :

- **ID** = Read group identifier
- **PU** = Platform Unit
- **SM** = Sample
- **PL** = Platform/technology used to produce the read
- **LB** = DNA preparation library identifier



# Exercises / set 2





# Sequence Alignment/Map (SAM) format



- Data sharing was a major issue with the 1000 genomes
- Capture all of the critical information about NGS data in a single indexed and compressed file
- Generic alignment format
- Supports short and long reads (Illumina - Pacbio - ONT)
- Flexible in style, compact in size, efficient in random access

Website :

<https://www.htslib.org/>

Paper :

Twelve years of SAMtools and BCFtools

Petr Danecek, James K Bonfield, Jennifer Liddle, John Marshall, Valeriu Ohan, Martin O Pollard, Andrew Whitwham, Thomas Keane, Shane A McCarthy, Robert M Davies, Heng Li

GigaScience, Volume 10, Issue 2, February 2021, giab008, <https://doi.org/10.1093/gigascience/giab008>



# Sequence Alignment/Map (SAM) format



## Aligners natively generating SAM

- BFAST, 'Blat-like Fast Accurate Search Tool' for Illumina and SOLiD reads.
- Bowtie. Highly efficient short read aligner. Natively support SAM output in recent version. A convertor is also available in samtools-C.
- BWA, Burrows-Wheeler Aligner for short and long reads.
- GEM library. Short read aligner. Convertor provided by the developers.
- Karma, the K-tuple Alignment with Rapid Matching Algorithm.
- Mosaik. The latest version support SAM output.
- Novoalign. An accurate aligner capable of gapped alignment for Illumina short reads. Academic free binary. Convertor is also available in samtools.
- SNP-o-matic, short read aligner and SNP caller.
- SOLiD BaseQV Tool. Developed by Applied Biosystems for converting SOLiD output files.
- SSAHA2 (since v2.4). Classical aligner for both short and long reads.
- Stampy, by Gerton Lunter. An accurate read aligner capable of gapped alignment for Illumina short reads. Used for indel discovery on the 1000 genomes data. Not released.
- TopHat for mapping short RNA-seq reads bridging exon junctions.



# SAM format - Header section



- Header lines start with @ followed by a two-letter TYPE
- Header fields are TAG:VALUE pairs

Type	Tag	Description
HD - header	VN*	File format version.
	SO	Sort order. Valid values are: <i>unsorted</i> , <i>queryname</i> or <i>coordinate</i> .
	GO	Group order (full sorting is not imposed in a group). Valid values are: <i>none</i> , <i>query</i> or <i>reference</i> .
SQ - Sequence dictionary	SN*	Sequence name. Unique among all sequence records in the file. The value of this field is used in alignment records.
	LN*	Sequence length.
	AS	Genome assembly identifier. Refers to the reference genome assembly in an unambiguous form. Example: HG18.
	M5	MD5 checksum of the sequence in the uppercase (gaps and space are removed)
	UR	URI of the sequence
	SP	Species.
RG - read group	ID*	Unique read group identifier. The value of the ID field is used in the RG tags of alignment records.
	SM*	Sample (use pool name where a pool is being
	LB	Library
	DS	Description
	PU	Platform unit (e.g. lane for Illumina or slide for
	PI	Predicted median insert size (maybe different from the actual median insert size)
	CN	Name of sequencing center producing the read.
	DT	Date the run was produced (ISO 8601 date or date/time).
	PL	Platform/technology used to produce the read.
	PG - Program	ID*
	VN	Program version
	CL	Command line

```
@HD VN:1.0
@SQ SN:chr20 LN:62435964
@RG ID:L1 PU:SC_1_10 LB:SC_1 SM:NA12891
@RG ID:L2 PU:SC_2_12 LB:SC_2 SM:NA12891
```



Which informations are stored in a SAM file?

[https://web-genobioinfo.toulouse.inrae.fr/~formation/16\\_SGS-SNP/.formats/sam.html](https://web-genobioinfo.toulouse.inrae.fr/~formation/16_SGS-SNP/.formats/sam.html)



# SAM format - Alignment section



- 11 mandatory fields
  - Variable number of optional fields
  - Fields are tab delimited
1. **QNAME:** Query name of the read or the read pair
  2. **FLAG:** Bitwise flag (pairing, strand, mate strand, etc.)
  3. **RNAME:** Reference sequence name
  4. **POS:** 1-Based leftmost position of clipped alignment
  5. **MAPQ:** Mapping quality (Phred-scaled)
  6. **CIGAR:** Extended CIGAR string (operations: MIDNSHP)
  7. **MRNM:** Mate reference name ('=' if same as RNAME)
  8. **MPOS:** 1-based leftmost mate position
  9. **ISIZE:** Inferred insert size
  10. **SEQQuery:** Sequence on the same strand as the reference
  11. **QUAL:** Query quality (ASCII-33=Phred base quality)



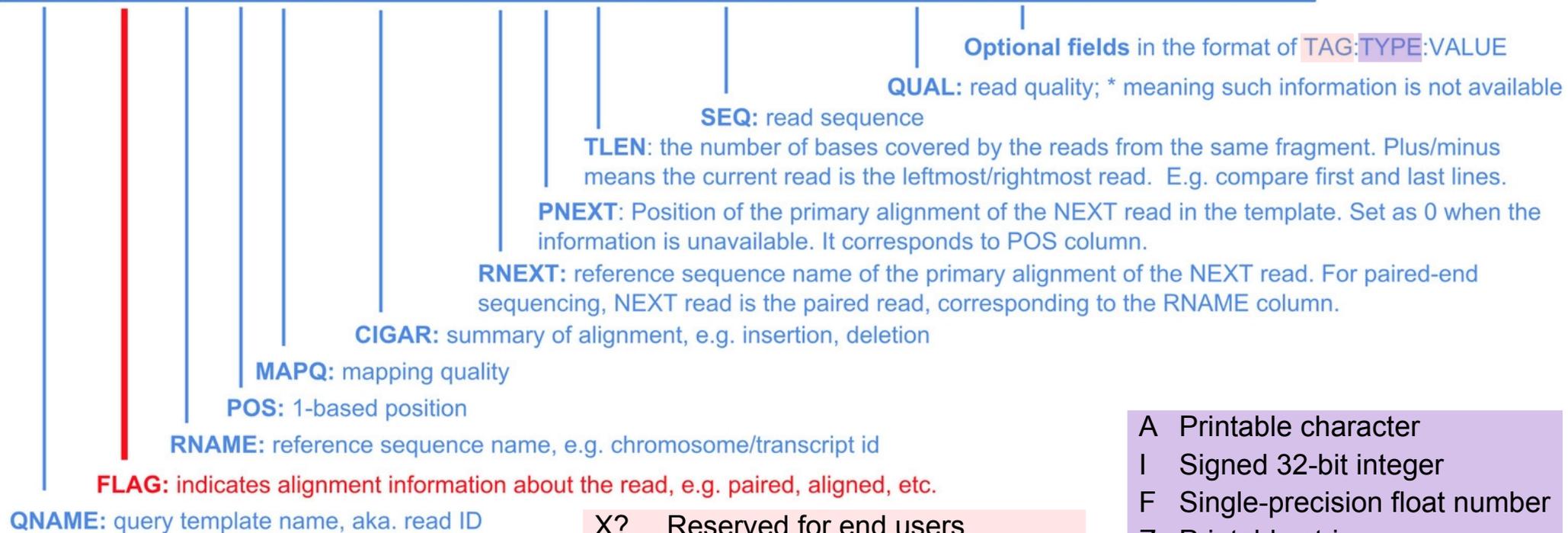
# SAM format - Full example



```
@HD VN:1.5 SO:coordinate
@SQ SN:ref LN:45
r001 99 ref 7 30 8M2I4M1D3M = 37 39 TTAGATAAAGGATACTG *
r002 0 ref 9 30 3S6M1P1I4M * 0 0 AAAAGATAAGGATA *
r003 0 ref 9 30 5S6M * 0 0 GCCTAAGCTAA * SA:Z:ref,29,-,6H5M,17,0;
r004 0 ref 16 30 6M14N5M * 0 0 ATAGCTTCAGC *
r003 2064 ref 29 17 6H5M * 0 0 TAGGC * SA:Z:ref,9,+,5S6M,30,1;
r001 147 ref 37 30 9M = 7 -39 CAGCGGCAT * NM:i:1
```

Header section

Alignment section



- X? Reserved for end users
- NM Edit distance to the reference
- MD String for mismatching positions
- RG Read group
- SA Supp. alignment
- [...]

- A Printable character
- I Signed 32-bit integer
- F Single-precision float number
- Z Printable string
- H Hex string (high nybble first)



# SAM format - Flag field



FLAG: Combination of bitwise FLAGS.<sup>12</sup> Each bit is explained in the following table:

Bit	Description		
1	0x1	template having multiple segments in sequencing	Read paired
2	0x2	each segment properly aligned according to the aligner	Read mapped in proper pair
4	0x4	segment unmapped	Read unmapped
8	0x8	next segment in the template unmapped	Mate unmapped
16	0x10	SEQ being reverse complemented	Read reverse strand
32	0x20	SEQ of the next segment in the template being reverse comp	Mate reverse strand
64	0x40	the first segment in the template	First in pair
128	0x80	the last segment in the template	Second in pair
256	0x100	secondary alignment	Not primary alignment
512	0x200	not passing filters, such as platform/vendor quality controls	Read fails platform/vendor quality checks
1024	0x400	PCR or optical duplicate	Read is PCR or optical duplicate
2048	0x800	supplementary alignment	Supplementary alignment

<http://broadinstitute.github.io/picard/explain-flags.html>



# SAM format - Extended CIGAR



Ref: GCATTCAGATGCAGTACGC

Read: ccTCAG--GCATTAgtg

POS CIGAR

5 2S4M2D6M3S

Op	BAM	Description
M	0	alignment match (can be a sequence match or mismatch)
I	1	insertion to the reference
D	2	deletion from the reference
N	3	skipped region from the reference
S	4	soft clipping (clipped sequences present in SEQ)
H	5	hard clipping (clipped sequences NOT present in SEQ)
P	6	padding (silent deletion from padded reference)
=	7	sequence match
X	8	sequence mismatch



# SAM format - Extended CIGAR



**P**      **6**      padding (silent deletion from padded reference)

```

REF: CACGATCA**GACCGATACGTCCGA
READ1:  CGATCAGAGACCGATA
READ2:  ATCA*AGACCGATAC
READ3:  GATCA**GACCG

READ1: 6M2I8M
READ2: 4M1P1I9M
READ3: 5M2P5M

```

```

REF: CACGATCA**GACCGATACGTCCGA
READ1:  CGATCAGAGACCGATA
READ2:  ATCAA*GACCGATAC
READ3:  GATCA**GACCG

READ1: 6M2I8M
READ2: 4M1I1P9M
READ3: 5M2P5M

```

**N**      **3**      skipped region from the reference

```

REF: AGCTAGCATCGTGTCGCCCGTCTAGCATACGCATGATCGACTGTCAGCTAGTCAGACTAGTCGATCGATGTG
READ:  GTGTAACCC.....TCAGAATA

```

where ‘...’ on the read sequence indicates the intron. The CIGAR for this alignment is: 9M32N8M.

All you need to keep in mind to work with SAM files

<https://www.samformat.info/>



## **BAM** ([format spec.](#))

- Binary representation of SAM
- Compressed by BGZF library
- Greatly reduces size to about 27% of original SAM

## **CRAM** ([format spec.](#))

- More highly compressed alternative to BAM
- Reference based compression
- Only base calls that differ need to be stored



- Library and software package
- Create, sort and index BAM files from SAM files
- Remove PCR duplicates
- Merge alignments
- Visualization of alignments from BAM files
- SNP and short INDELS detection

<http://www.htslib.org/doc/samtools.html>



- SAMtools complementary package
- More format conversion than SAMtools
- Numerous tools for manipulating SAM/BAM (n=80)
- Visualization of alignments not available
- SNP calling & short indel detection not available

<http://broadinstitute.github.io/picard/>



# Exercise / set 3





# Visualizing the alignment - IGV



## IGV Integrative Genomics Viewer

<https://software.broadinstitute.org/software/igv/>

The screenshot shows the IGV website homepage. On the left is a navigation sidebar with the IGV logo and a menu including Home, Downloads, Documents, FAQ, IG V Quick Start, IG V User Guide, File Formats, Release Notes, Acknowledgments, and Contact. Below the menu is a search box and the Broad Home Cancer Program logo. The main content area features a large banner with the text 'Integrative Genomics Viewer' and a background image of the software interface. Below the banner are sections for 'What's New' (dated May 10, 2010) and 'Downloads' (with a registration requirement). A 'Funding' section is partially visible at the bottom.



# Visualizing the alignment - IGV

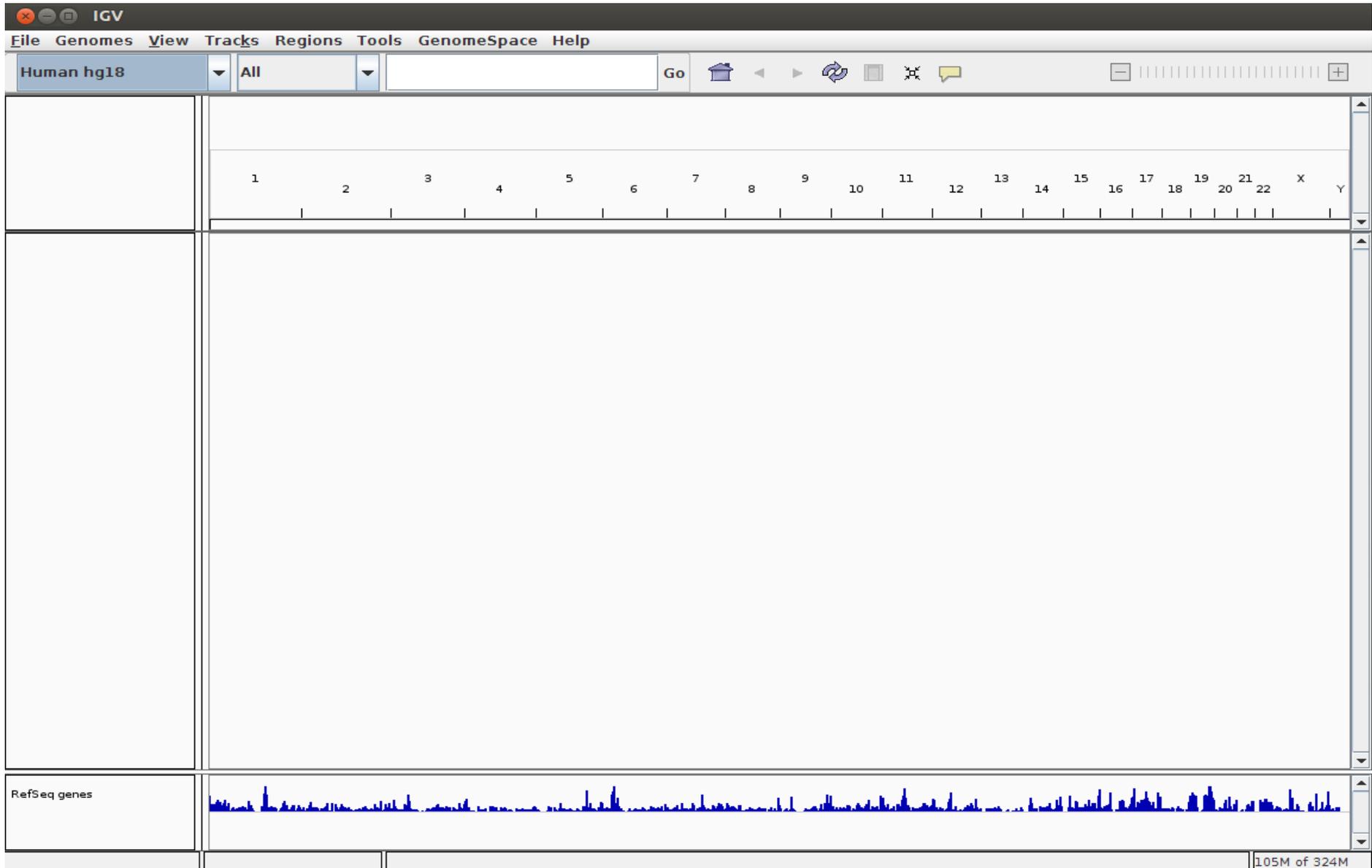


- High-performance visualization tool
- Interactive exploration of large, integrated datasets
- Supports a wide variety of data types
- Documentations
- Developed at the Broad Institute of MIT and Harvard

- [BAM](#)
- [BED](#)
- [BEDPE](#)
- [BedGraph](#)
- [bigBed](#)
- [bigWig](#)
- [Birdsuite Files](#)
- [broadPeak](#)
- [CBS](#)
- [Chemical Reactivity Probing Profiles](#)
- [chrom.sizes](#)
- [CN](#)
- [Custom File Formats](#)
- [Cytoband](#)
- [FASTA](#)
- [GCT](#)
- [CRAM](#)
- [genePred](#)
- [GFF/GTF](#)
- [GISTIC](#)
- [Goby](#)
- [GWAS](#)
- [IGV](#)
- [LOH](#)
- [MAF \(Multiple Alignment Format\)](#)
- [MAF \(Mutation Annotation Format\)](#)
- [Merged BAM File](#)
- [MUT](#)
- [narrowPeak](#)
- [PSL](#)
- [RES](#)
- [RNA Secondary Structure Formats](#)
- [SAM](#)
- [Sample Info \(Attributes\) file](#)
- [SEG](#)
- [TDF](#)
- [Track Line](#)
- [Type Line](#)
- [VCF](#)
- [WIG](#)



# Visualizing the alignment - IGV





# IGV - Loading the reference



The screenshot shows the IGV web interface with the 'Load Genome' dialog box open. The dialog box is titled 'Load Genome' and shows the current directory as '/'. The file list includes:

bin	lib64	sbin	initrd.img
boot	lost+found	selinux	initrd.img.old
cdrom	media	srv	vmlinuz
dev	mnt	sys	vmlinuz.old
etc	proc	tmp	
home	root	usr	
lib	run	var	

Below the file list, there is a text field for 'Nom du fichier :', a dropdown menu for 'Fichiers de type : Tous les fichiers', and two buttons: 'Ouvrir' and 'Annuler'.

The background interface shows a menu with options: 'Load Genome from File...', 'Load Genome from URL...', 'Load Genome From Server...', 'Create .genome File...', and 'Manage Genome List...'. The main area displays a genomic track with chromosomes 1-22, X, and Y. At the bottom, there is a 'RefSeq genes' track and a status bar indicating '152M of 324M'.



# IGV - Loading the reference



The screenshot displays the IGV interface for Human hg18, chromosome 1. The top menu includes File, Genomes, View, Tracks, Regions, Tools, GenomeSpace, and Help. The main window shows a genomic track with cytobands (p36.23 to q44) and a 10 mb scale bar. Below the track, the RefSeq genes track lists genes such as PKN2, GBP4, LRRC8D, BARHL2, HFM1, BRDT, GFI1, MTF2, BCAR3, ABCD3, ALG14, PTBP2, DPYD, and MIR2682. The status bar at the bottom indicates the current view is chr1:88 325 547, 80M of 480M.



# IGV - Loading the bam file



The screenshot displays the IGV interface with a file selection dialog open. The dialog box is titled "Rechercher dans : CORRECTION" and contains a list of files. The file "ERR000017.bam" is selected. Below the list, the "Nom de fichier" field contains "ERR000017.bam" and "ERR003037.bam", and the "Fichiers du type" dropdown is set to "Tous les fichiers". The "Ok" and "Annuler" buttons are visible at the bottom of the dialog.

The background interface shows a genomic track for chromosome 1. The top menu includes "File", "Genomes", "View", "Tracks", "Regions", "Tools", "GenomeSpace", and "Help". The "File" menu is open, showing options like "Load from File...", "Load from URI", "Load from Server...", "Load from DAS...", "New Session...", "Open Session...", "Save Session...", "Save Image...", and "Exit". The track shows a 10 mb scale bar and gene annotations including PKN2, GBP4, LRRC8D, BARHL2, HFM1, BRDT, GFIL, MTF2, BCAR3, ABCD3, ALG14, PTBP2, DPYD, and MIR2682. The status bar at the bottom indicates "2 tracks loaded", "chr1:88 899 520", and "106M of 480M".



# IGV - Loading the bam file



File Genomes View Tracks Regions Tools GenomeSpace Help

NC\_012125.1.fasta NC\_012125.1 NC\_012125.1 Go

4 822 kb

1 000 kb 2 000 kb 3 000 kb 4 000 kb

NAME	DATA TYPE	DATA FILE
ERR000017.bam Coverage	[0 - 65]	
ERR000017.bam		Zoom in to see alignments.
ERR003037.bam Coverage	[0 - 93]	
ERR003037.bam		Zoom in to see alignments.
SRR007327.bam Coverage	[0 - 30]	
SRR007327.bam		Zoom in to see alignments.

7 tracks loaded NC\_012125.1:26 069 200M of 486M



# IGV - Zoom



File Genomes View Tracks Regions Tools GenomeSpace Help

NC\_012125.1.fasta NC\_012125.1 NC\_012125.1:2,401,447-2,426,447 Go

24 kb

2 402 kb 2 404 kb 2 406 kb 2 408 kb 2 410 kb 2 412 kb 2 414 kb 2 416 kb 2 418 kb 2 420 kb 2 422 kb 2 424 kb 2 426 kb

ERR000017.bam Coverage [0 - 69]

ERR000017.bam

ERR003037.bam Coverage [0 - 93]

ERR003037.bam

SRR007327.bam Coverage [0 - 30]

SRR007327.bam

7 tracks loaded NC\_012125.1:2 414 959 217M of 486M

Sample = 37  
Read group = 37  
-----  
Read name = ERR003037.1217157  
Alignment start = 2414936 (-)  
Cigar = 33M  
Mapped = yes  
Mapping quality = 37  
-----  
Base = G  
Base phred quality = 29  
-----  
X0 = 1  
X1 = 0  
MD = 33  
RG = 37  
XG = 0  
NM = 0  
XM = 0

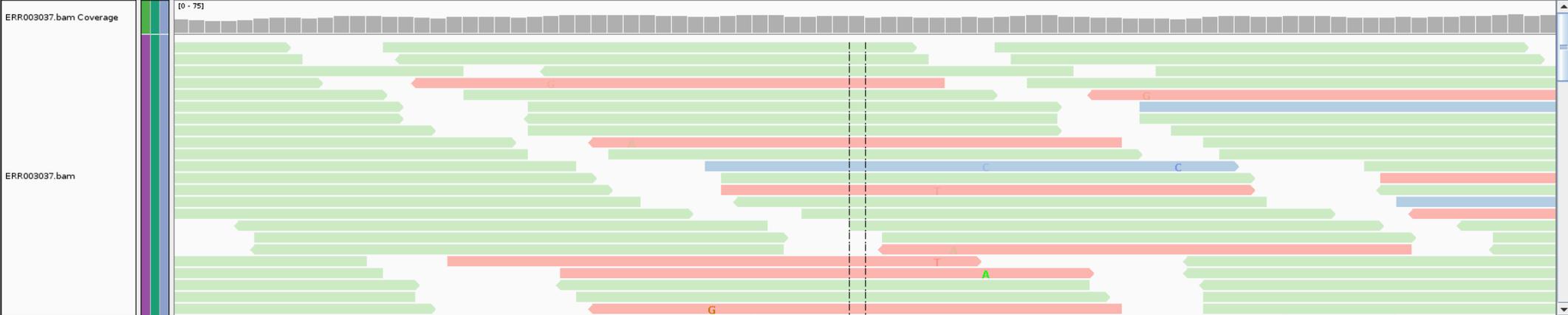
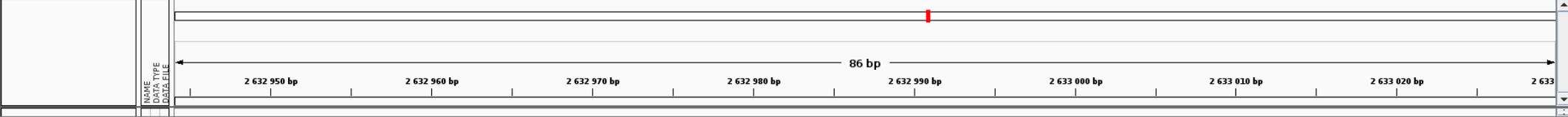


# IGV - Zoom



File Genomes View Tracks Regions Tools GenomeSpace Help

NC\_012125.1.fasta NC\_012125.1 NC\_012125.1:2,632,944-2,633,028 Go



Sequence → T G A G C A G T G C A T T A T G A T T G G C G C C A G A G C A G T A T A T G T G G C T G C A T C G C C G C T T T A A A A C T C G C C C T G A A G G C G T A C C G T C G C G C T

5 tracks loaded NC\_012125.1:2 632 994 401M of 667M



# IGV - Loading an annotation file





# IGV - Coverage



File Genomes View Tracks Regions Tools GenomeSpace Help

NC\_012125.1.fasta NC\_012125.1 NC\_012125.1:325,014-413,044 Go



7 tracks NC\_012125.1:401 339 362M of 503M



# Exercise / set 4





# Variant Calling methodology



→ Several Variant callers :

- Samtools mpileup
- GATK

- FreeBayes
- DeepVariant

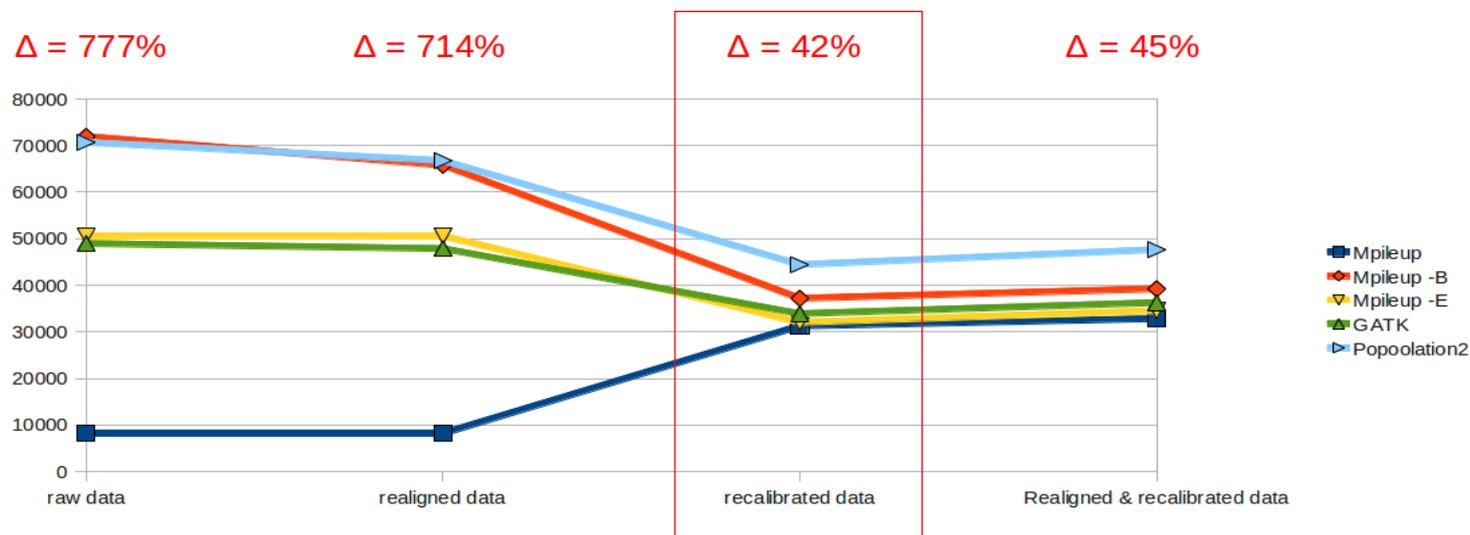
- ...



# Why GATK ?

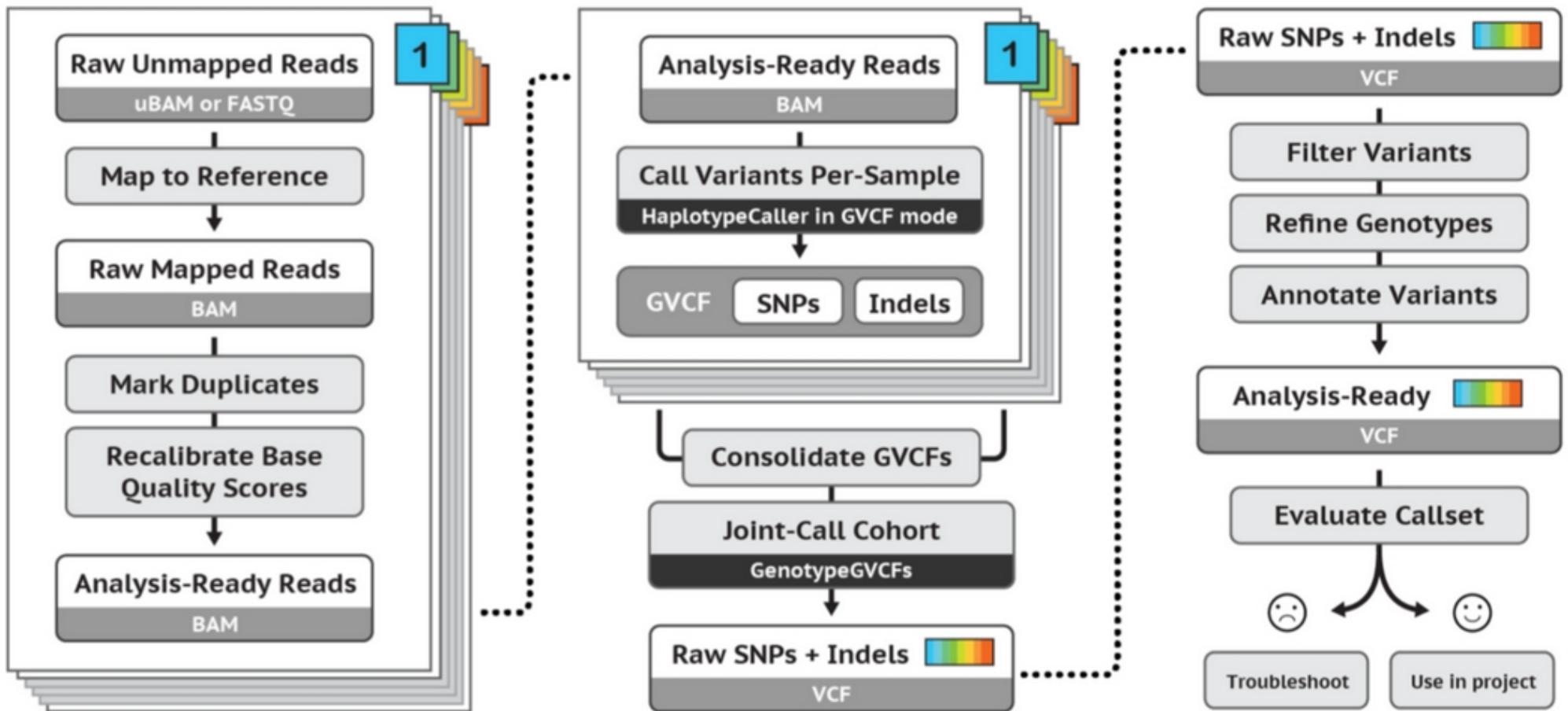


- 1000 genomes project
- Very used and well documented
- RNAseq – DNAseq
- Several technologies supported
- Tested on our data :





# THE GATK best practices





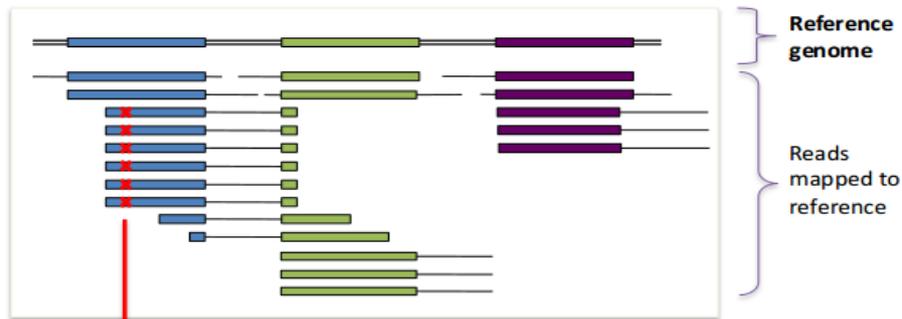
# Pre-processing – MarkDuplicates



→ Remove/mark duplicates (PCR and/or Optical)

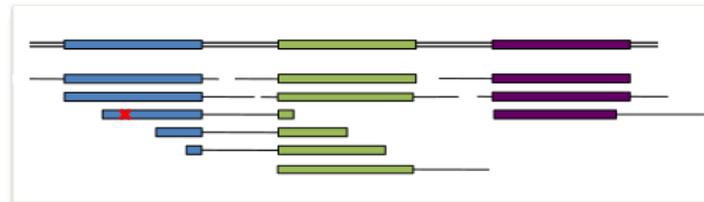
The reason why duplicates are bad

✘ = sequencing error propagated in duplicates



FP variant call  
(bad)

After marking duplicates, the GATK will only see :



... and thus be more likely to make the right call

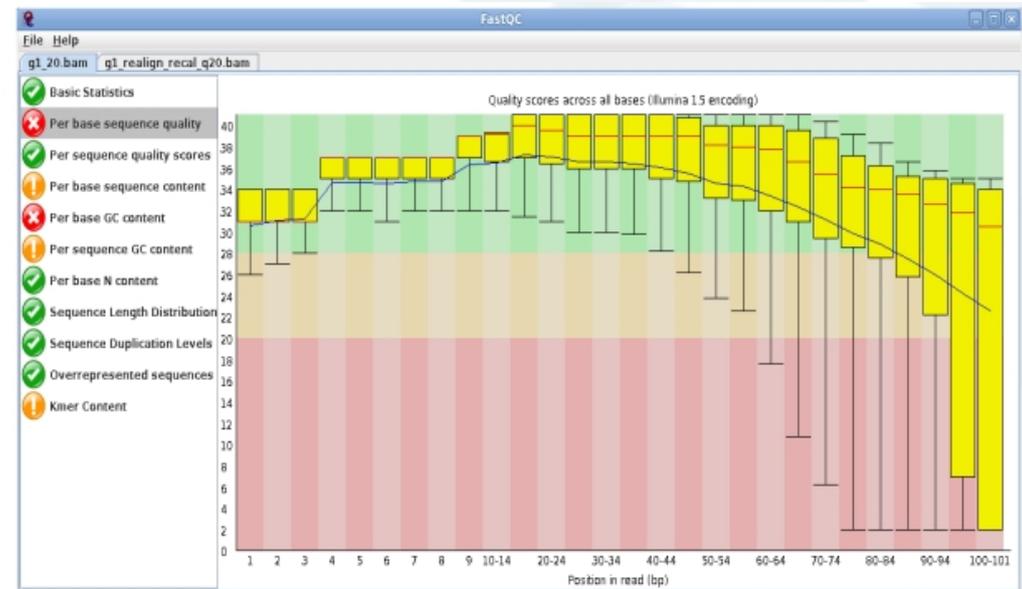
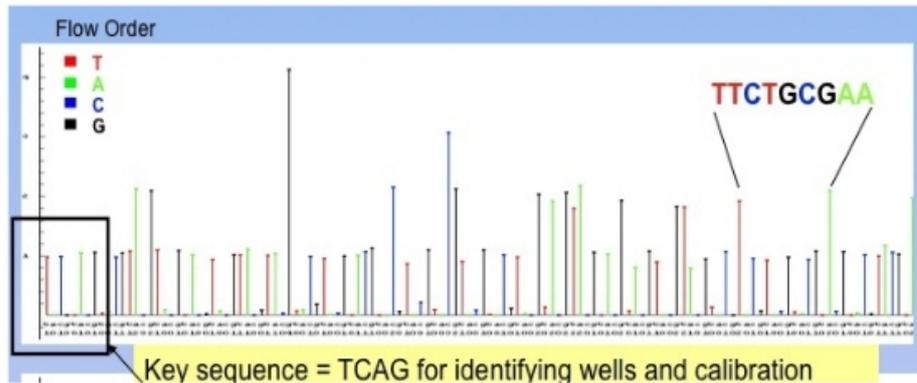
```
$ gatk --java-options "-Xms4000m -Xmx7g" MarkDuplicates \  
> --INPUT SRR7062654.bam --METRICS_FILE SRR7062654.bam.metrics \  
> --TMP_DIR . \  
> --ASSUME_SORT_ORDER coordinate \  
> --CREATE_INDEX true \  
> --OUTPUT SRR7062654.md.bam
```



# Pre-processing – BQSR



- Short variant calling algorithms rely heavily on the quality score
- Scores produced by the machines are subject to various sources of systematic (non-random) technical error
- The base quality provided by the sequencers is too inaccurate to be kept





# Pre-processing – BQSR step1



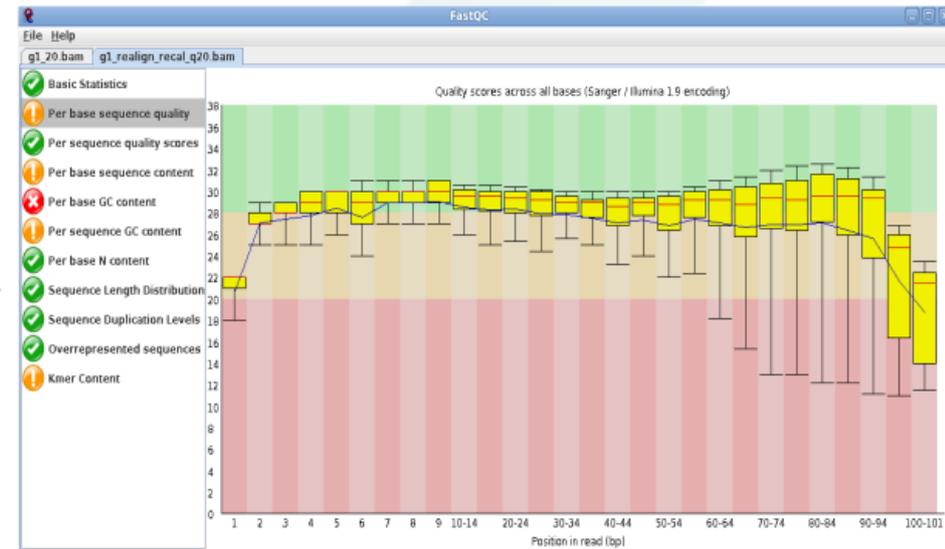
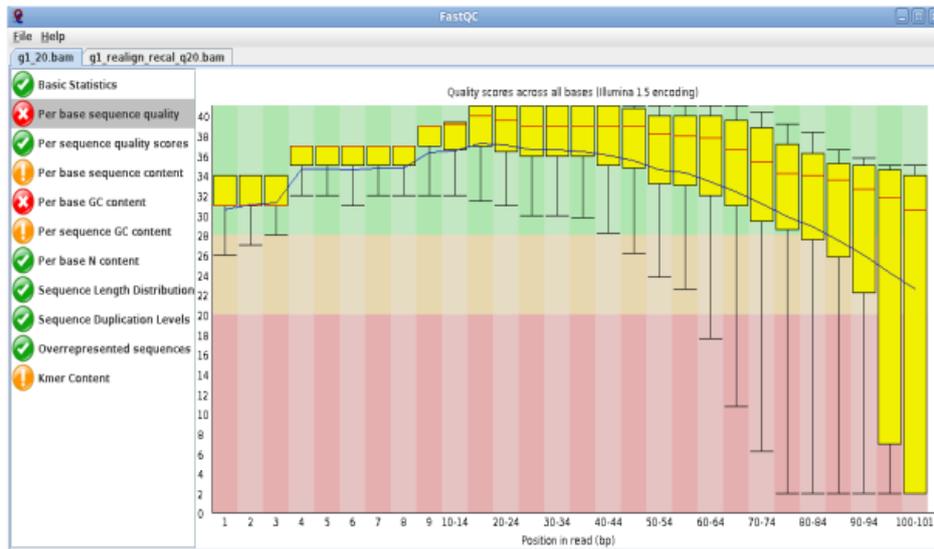
- **Needs knowledge of real SNP** => mask out bases at sites of real (expected) variation
- BaseRecalibrator builds the model:
  - ◆ Read group the read belongs to
  - ◆ Quality score reported by the machine
  - ◆ Machine cycle producing this base (Nth cycle = Nth base from the start of the read)
  - ◆ Current base + previous base (dinucleotide)



# Pre-processing – BQSR step2



→ ApplyBQSR adjusts the scores



<https://gatk.broadinstitute.org/hc/en-us/articles/360035890531-Base-Quality-Score-Recalibration-BQSR->



→ Two steps :

- ◆ BaseRecalibrator builds the model

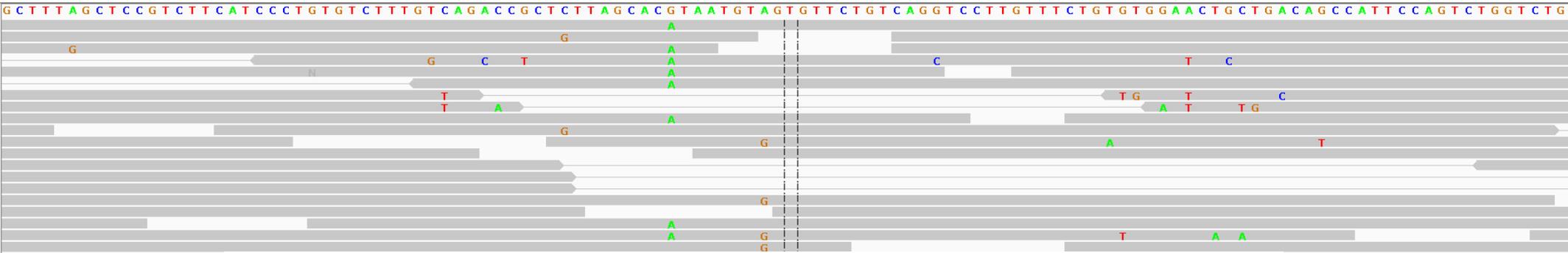
```
$ gatk --java-options -Xmx7g BaseRecalibrator \  
> -I SRR7062654.md.bam \  
> -O SRR7062654.md.recal.table \  
> --tmp-dir . \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> --known-sites Gallus_gallus_incl_consequences_chr25-26.vcf \  
> --verbosity INFO
```

- ◆ ApplyBQSR adjusts the scores :

```
$ gatk --java-options -Xmx14g ApplyBQSR \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> --input SRR7062654.md.bam \  
> --output SRR7062654.md.recal.bam \  
> --bqsr-recal-file SRR7062654.md.recal.table
```



# Variant discovery



Base scale	Read scale	Position scale	Genotype scale
Phred-Quality Base	Mapping Quality	ALT allele count	Overall genotype association
	Forward/Reverse	REF allele count	
		ALT / REF	
		Read Depth	

SNP quality

10 =>  $P_{\text{error}} = 1 / 10$

30 =>  $P_{\text{error}} = 1 / 1000$



# GATK – HaplotypeCaller



- Call SNPs and indels via local re-assembly of haplotypes
- How HaplotypeCaller work:
  1. Define active regions
  2. Determine haplotypes by assembly of the active regions
    1. Reassemble region and identifies haplotypes (De Bruijn-like graph)
    2. realigns each haplotype against the reference haplotype (Smith-Waterman) in order to identify potentially variant sites
  3. Determine likelihoods of the haplotypes given the read data
  4. Assign sample genotypes

```
$ gatk --java-options "-Xmx10g -Xms1000m" HaplotypeCaller \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> -I SRR7062654.md.recal.bam \  
> -O SRR7062654.md.recal.g.vcf \  
> -ERC GVCF
```



# GATK – CombineGVCFs



- Merges one or more HaplotypeCaller GVCF files into a single GVCF
- Combine per-sample gVCF files produced by HaplotypeCaller into a multi-sample gVCF file

```
$ gatk --java-options -Xmx10g CombineGVCFs \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> -O SRR.g.vcf \  
> --variant SRR7062654.md.recal.g.vcf \  
> --variant SRR7062655.md.recal.g.vcf
```



# GATK – GenotypeGVCFs

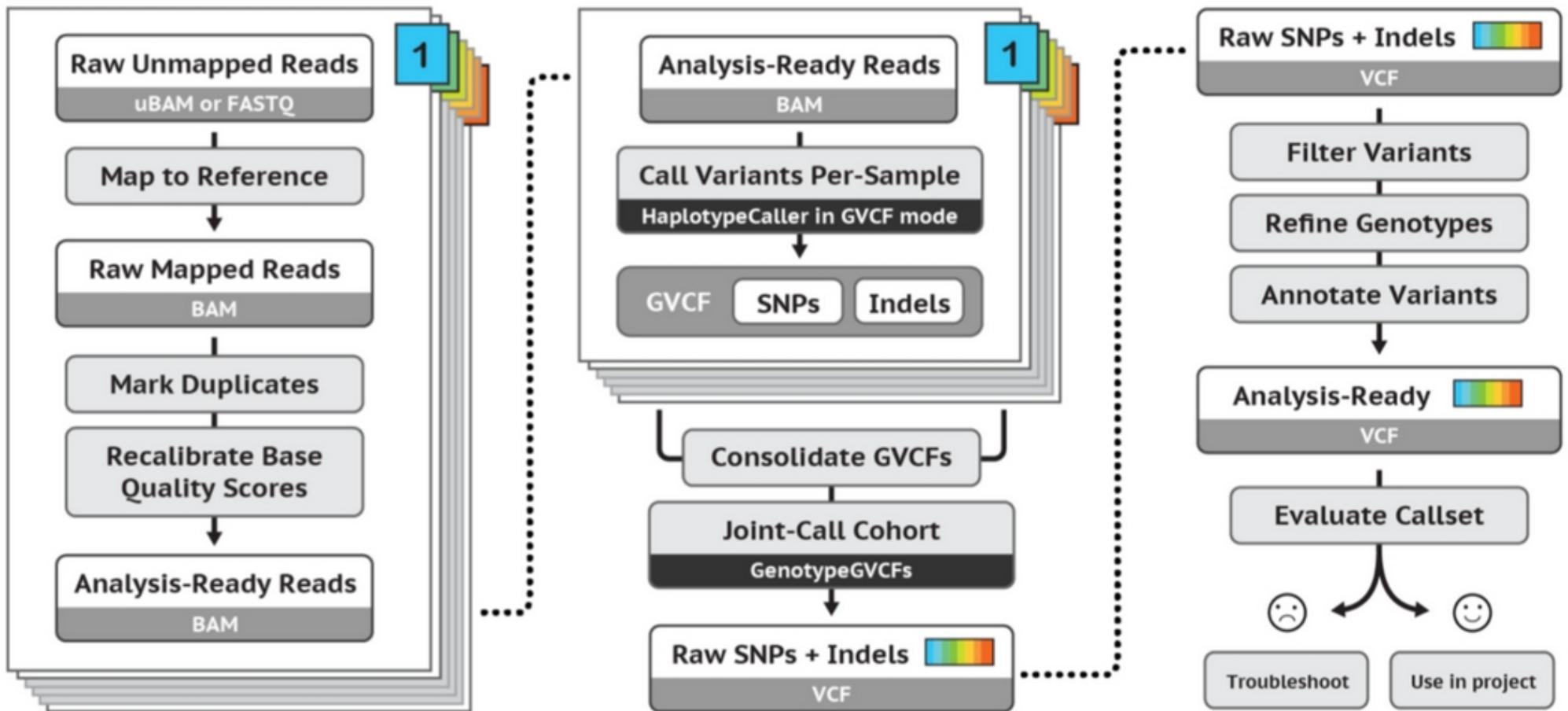


- Perform joint genotyping on one or more samples pre-called with HaplotypeCaller

```
$ gatk --java-options "-Xmx10g" GenotypeGVCFs \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> -V SRR.g.vcf \  
> -O SRR.vcf.gz
```



# THE GATK best practices





# Exercise / set 5





→ Which informations have been stored in VCF ?

[https://web-genobioinfo.toulouse.inrae.fr/~formation/16\\_SGS-SNP/.formats/vcf.html](https://web-genobioinfo.toulouse.inrae.fr/~formation/16_SGS-SNP/.formats/vcf.html)



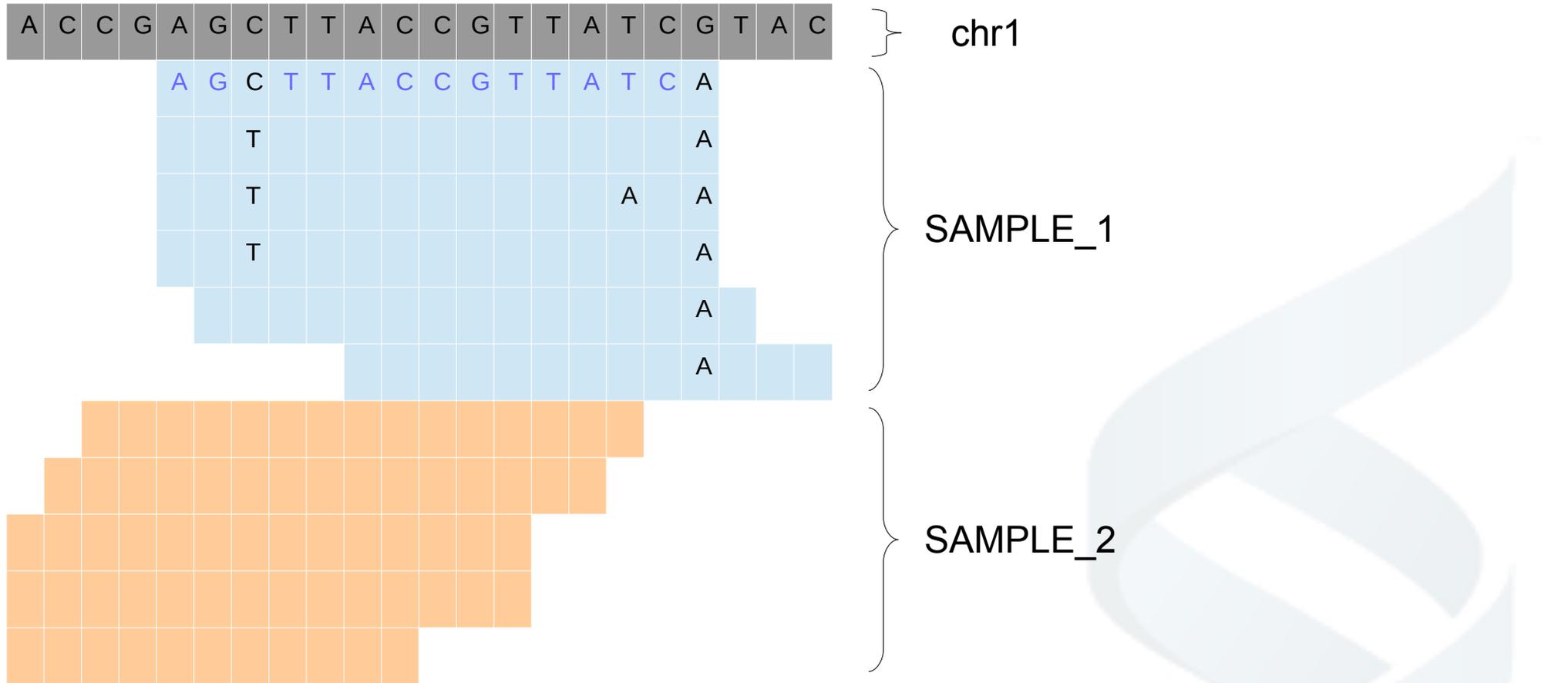
# Variant calling Format (VCF)



- <http://vcftools.sourceforge.net/specs.html>
- Tab-delimited file
- Basic documentation inside
- Header lines start with `##` or `#`
- Give informations of data/tools/parameters used
- Variant lines represent a position on the genome



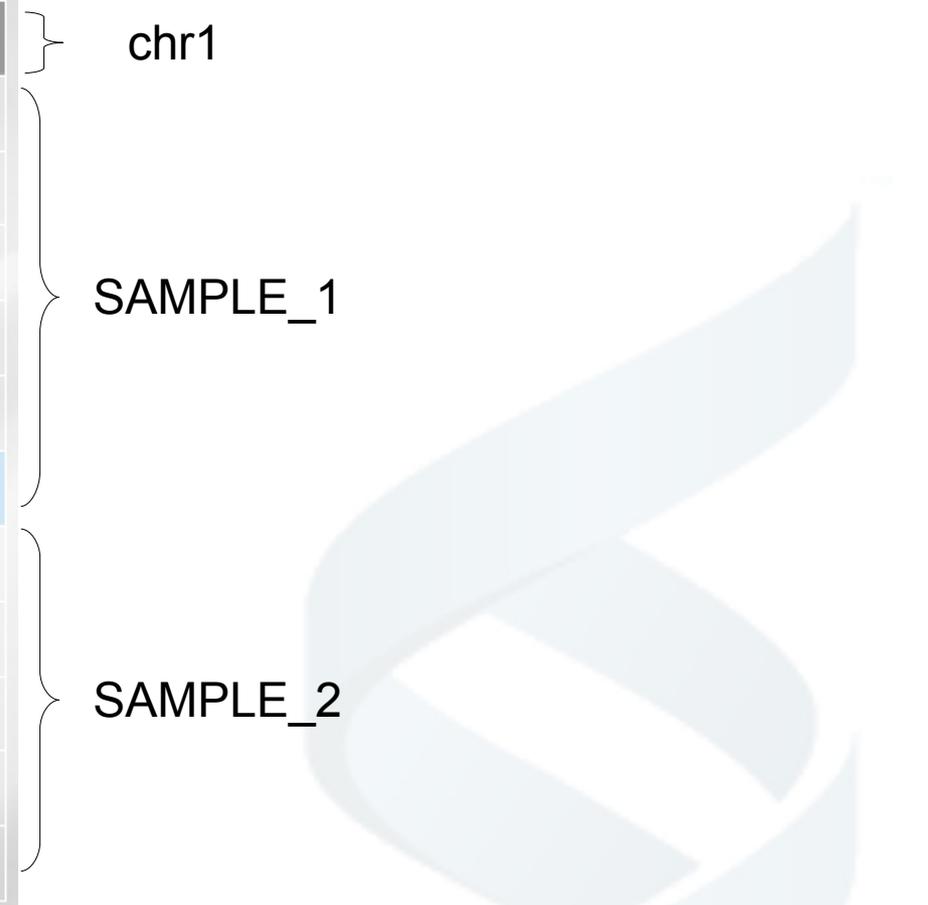
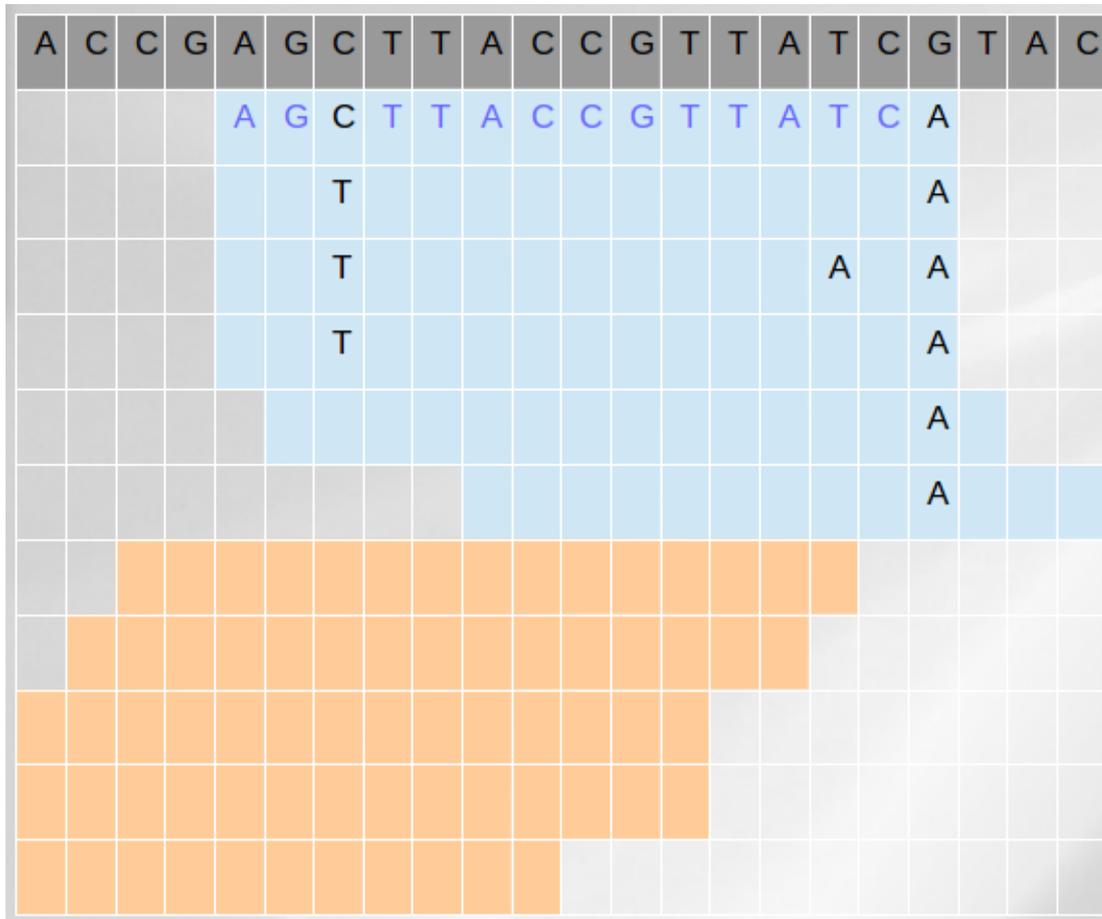
# The VCF format : example



#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



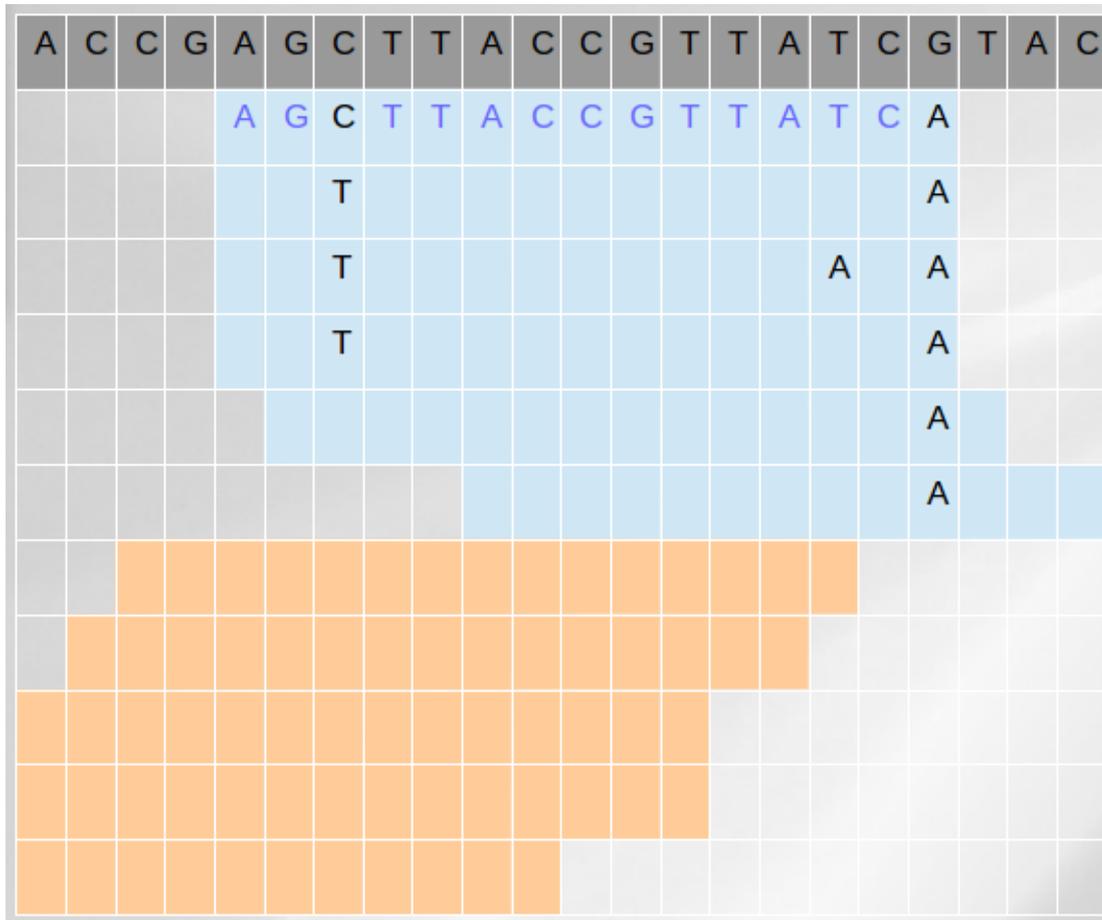
# The VCF format : example



#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# The VCF format : example



chr1

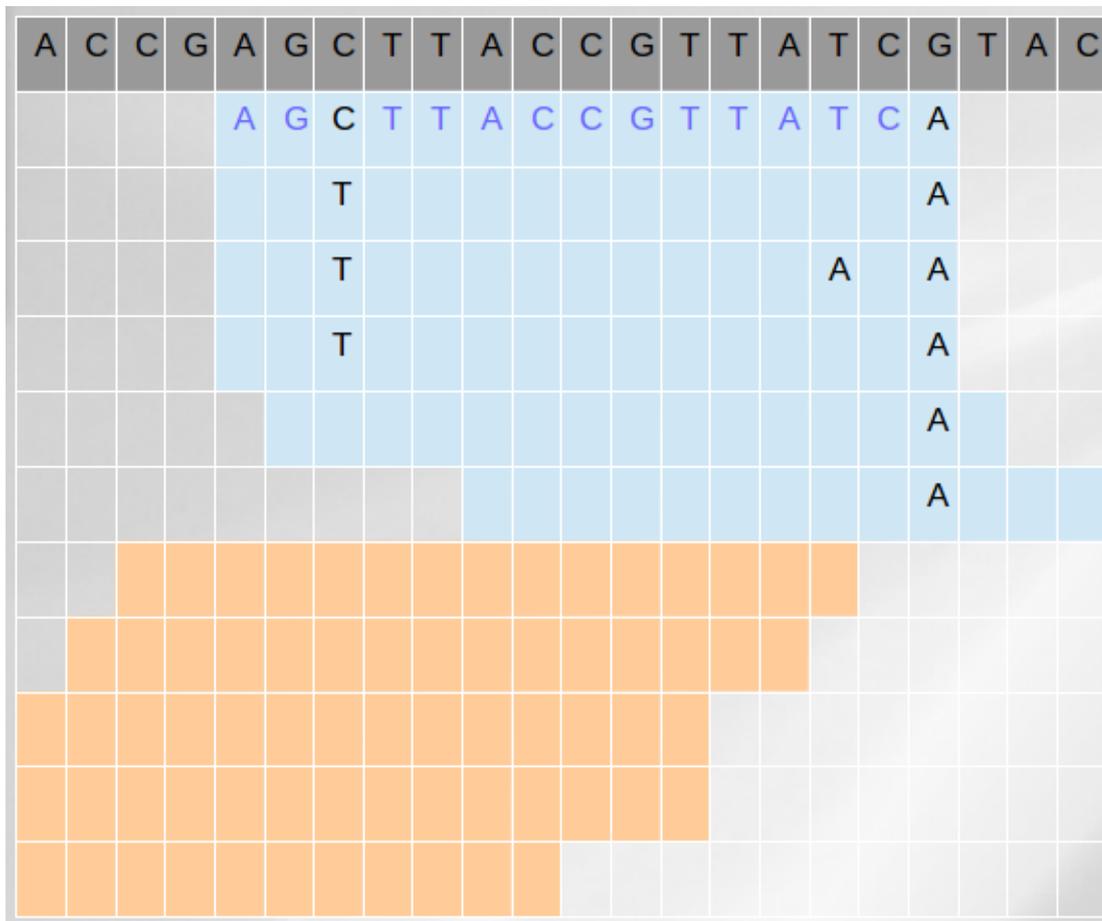
SAMPLE\_1

SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# The VCF format : example



chr1

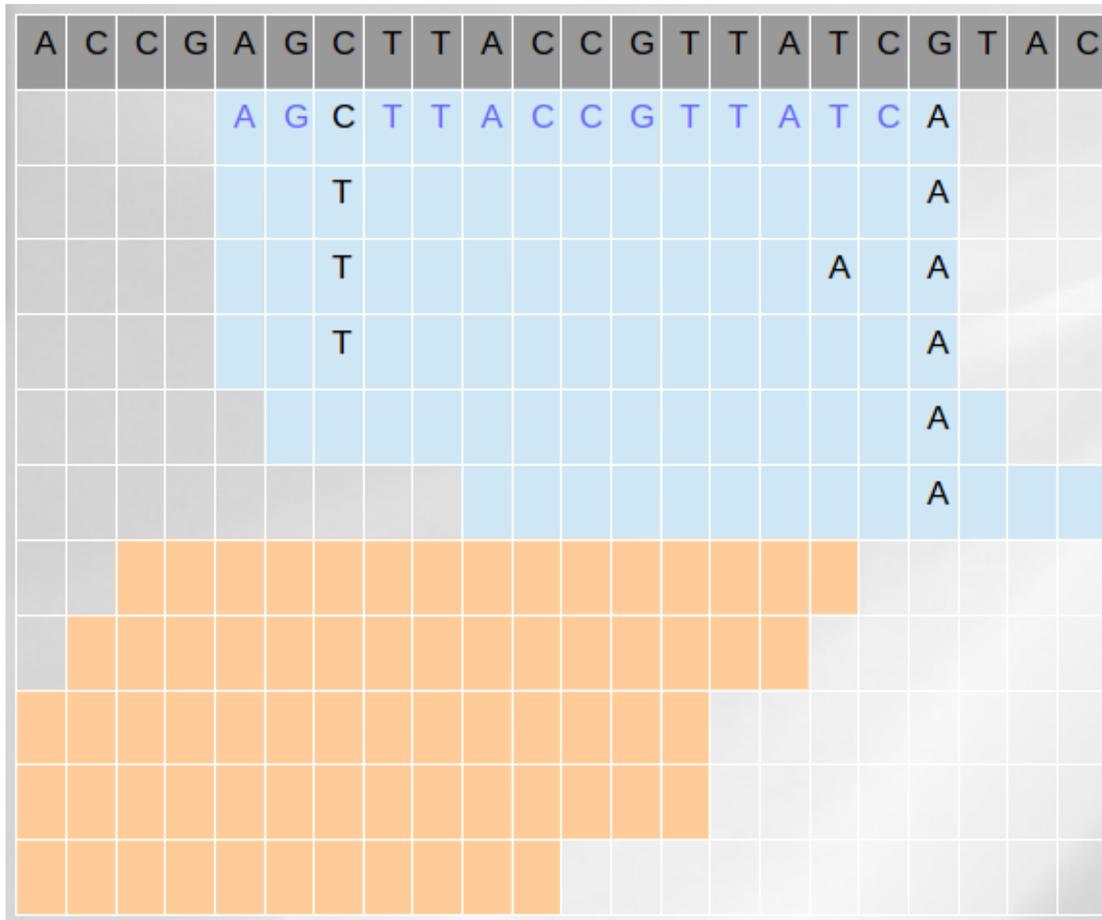
SAMPLE\_1

SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# The VCF format : example



chr1

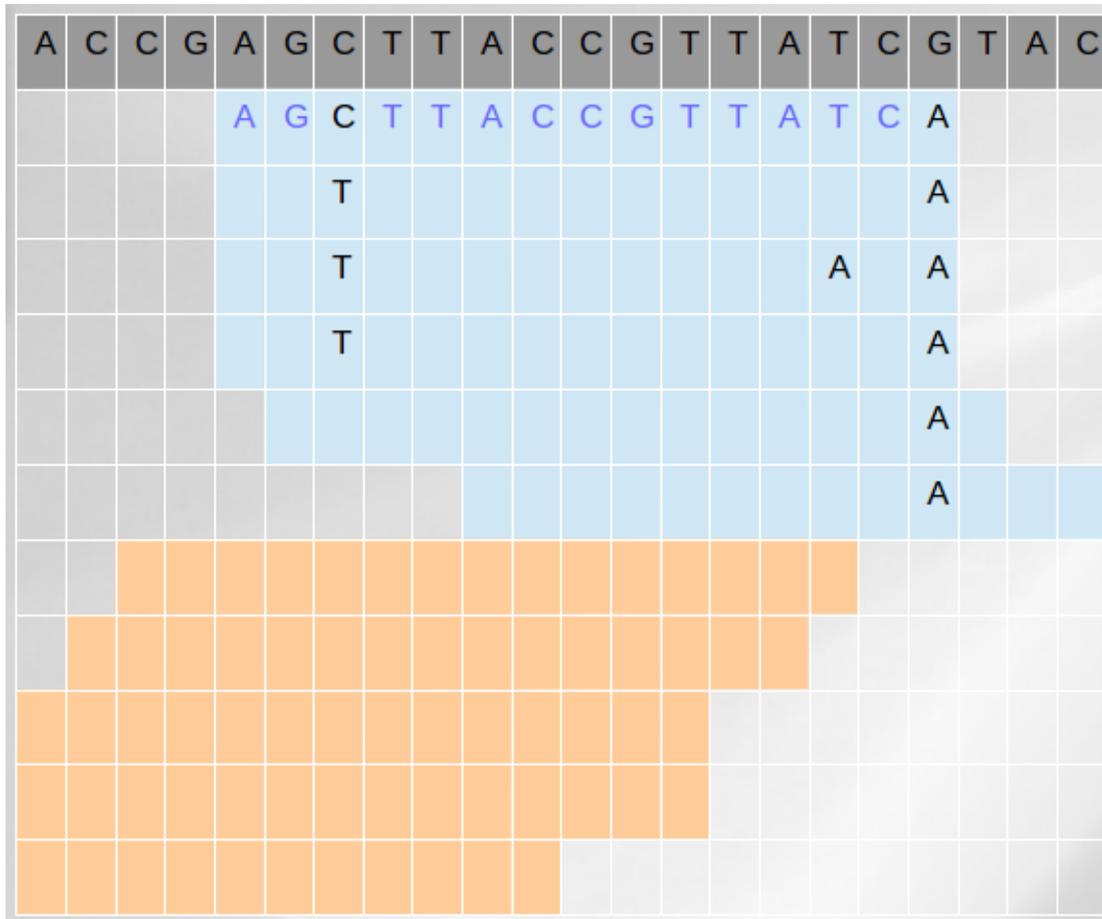
SAMPLE\_1

SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# The VCF format : example



chr1

SAMPLE\_1

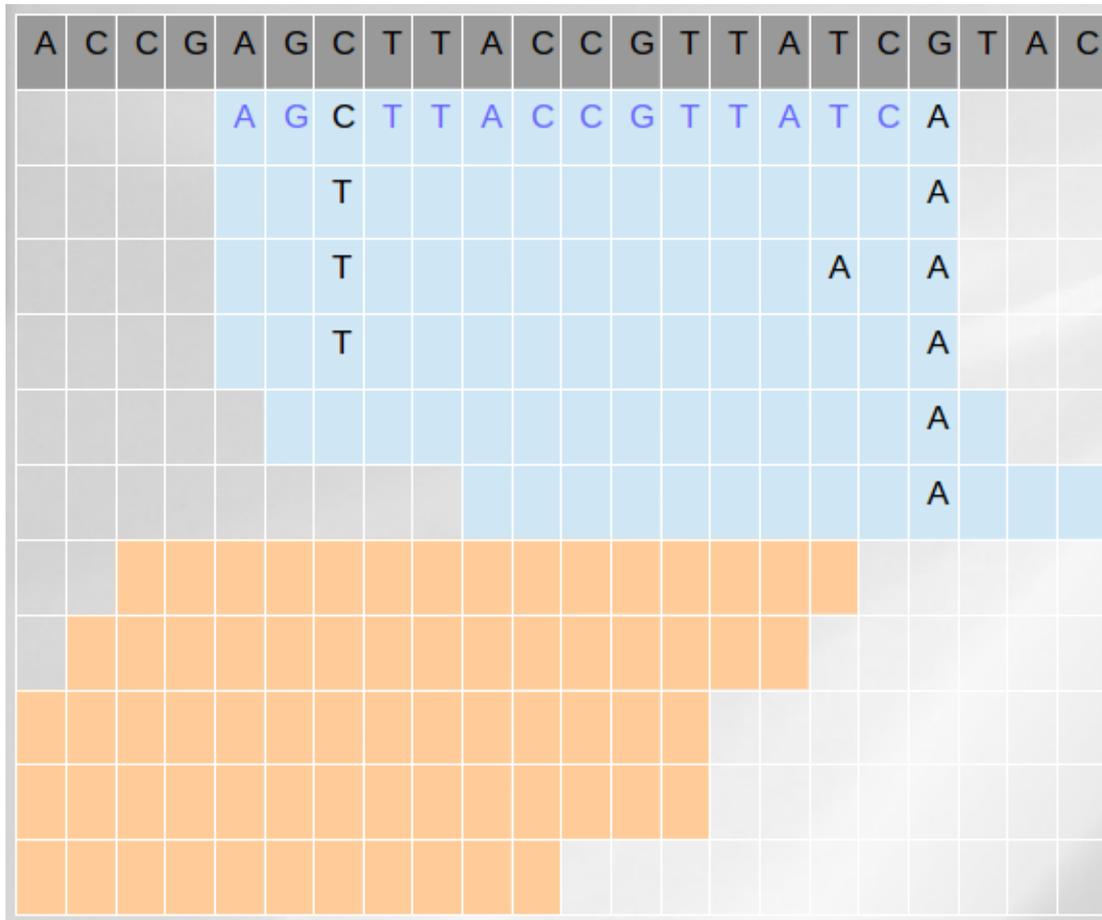
SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

The Phred scaled probability that a REF/ALT polymorphism exists at this site given sequencing data



# The VCF format : example



chr1

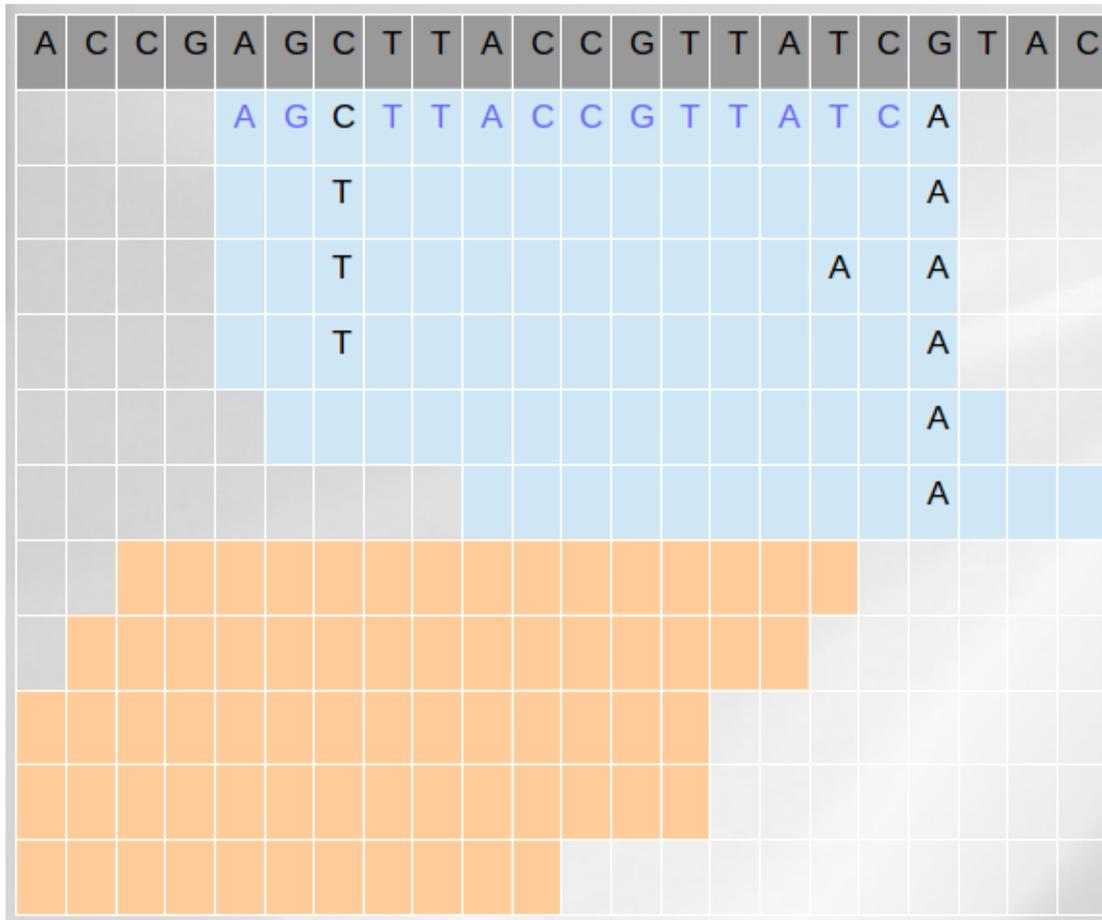
SAMPLE\_1

SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# The VCF format : example



chr1

SAMPLE\_1

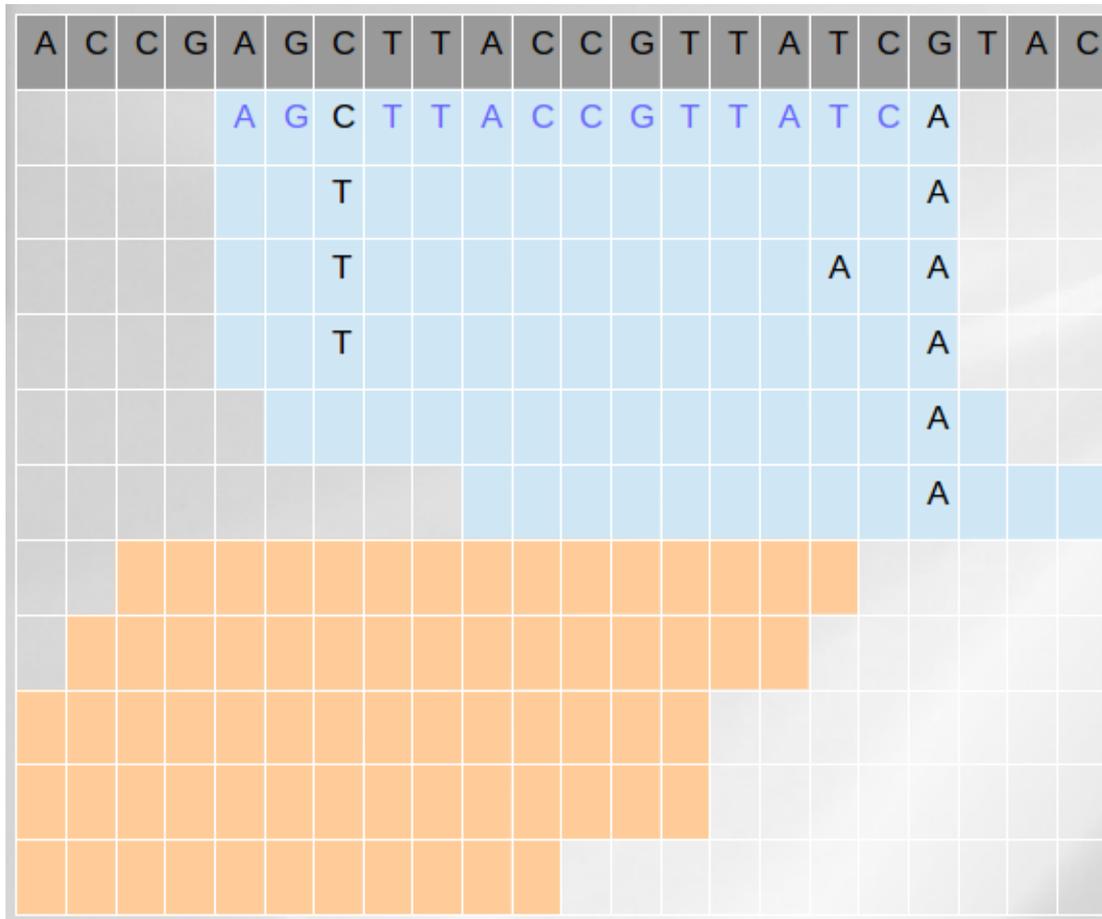
SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	INFOS	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

[TAG=VALUE]  
DP=45



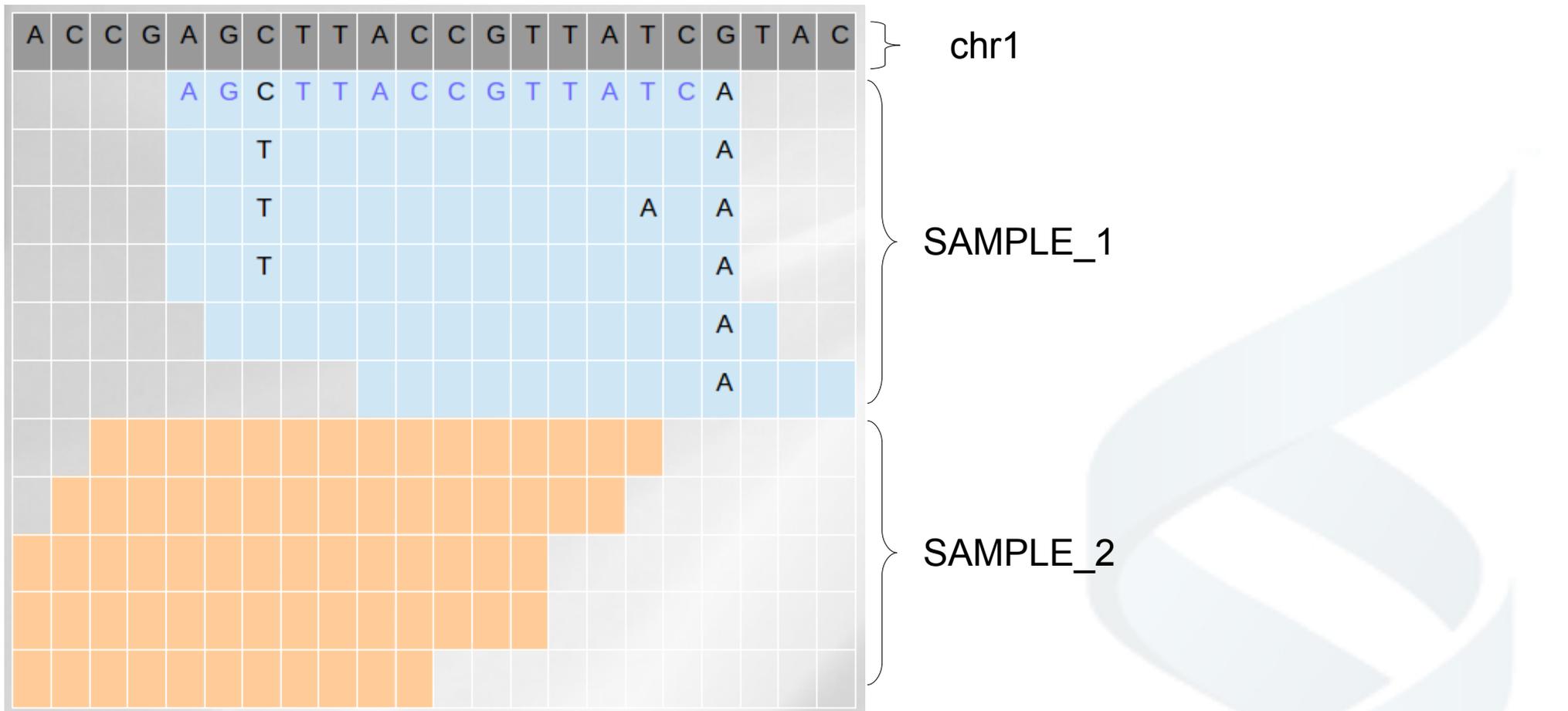
# The VCF format : example



#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	1/1:0,6:6:9.4:75,19,0
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# The VCF format : example

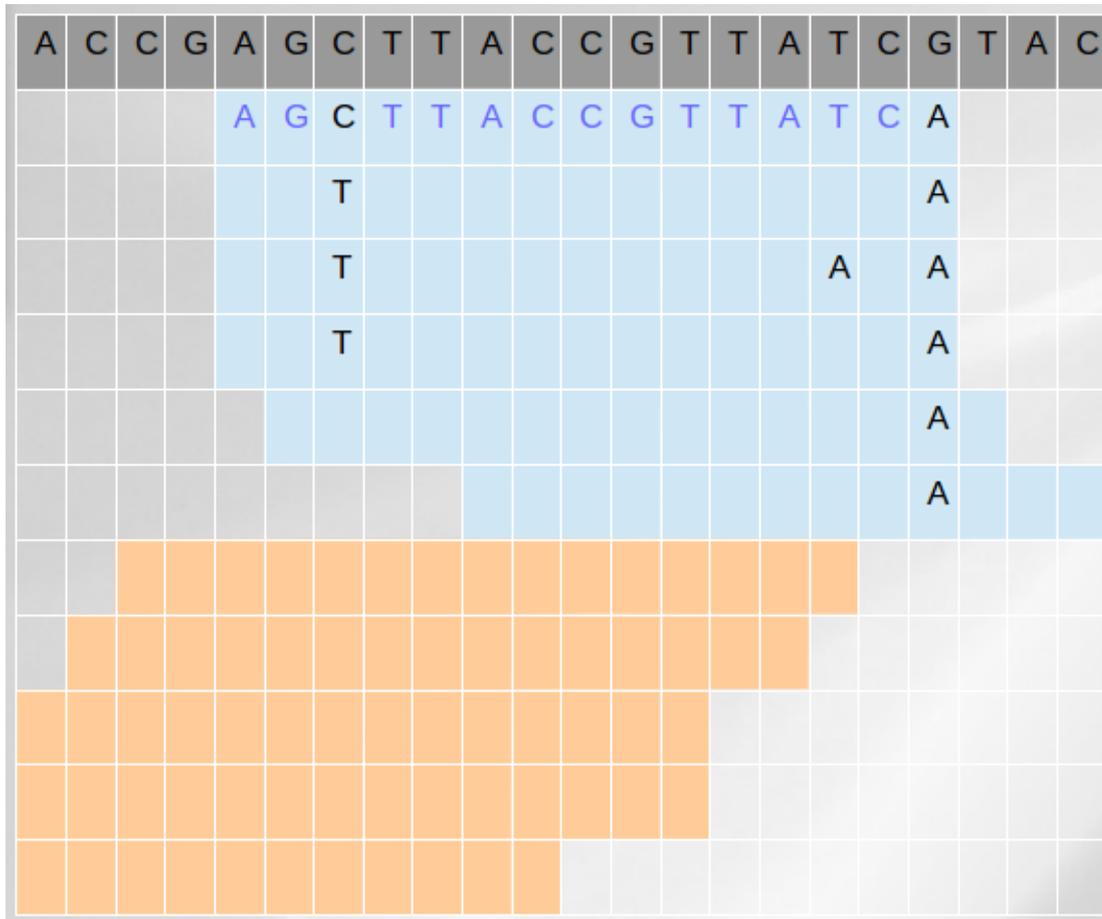


#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	1/1:0,6:6:9.4:75,19,0
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

0/1 : homozygous reference  
 0/1 : heterozygous  
 1/1 : homozygous alternative



# The VCF format : example



chr1

SAMPLE\_1

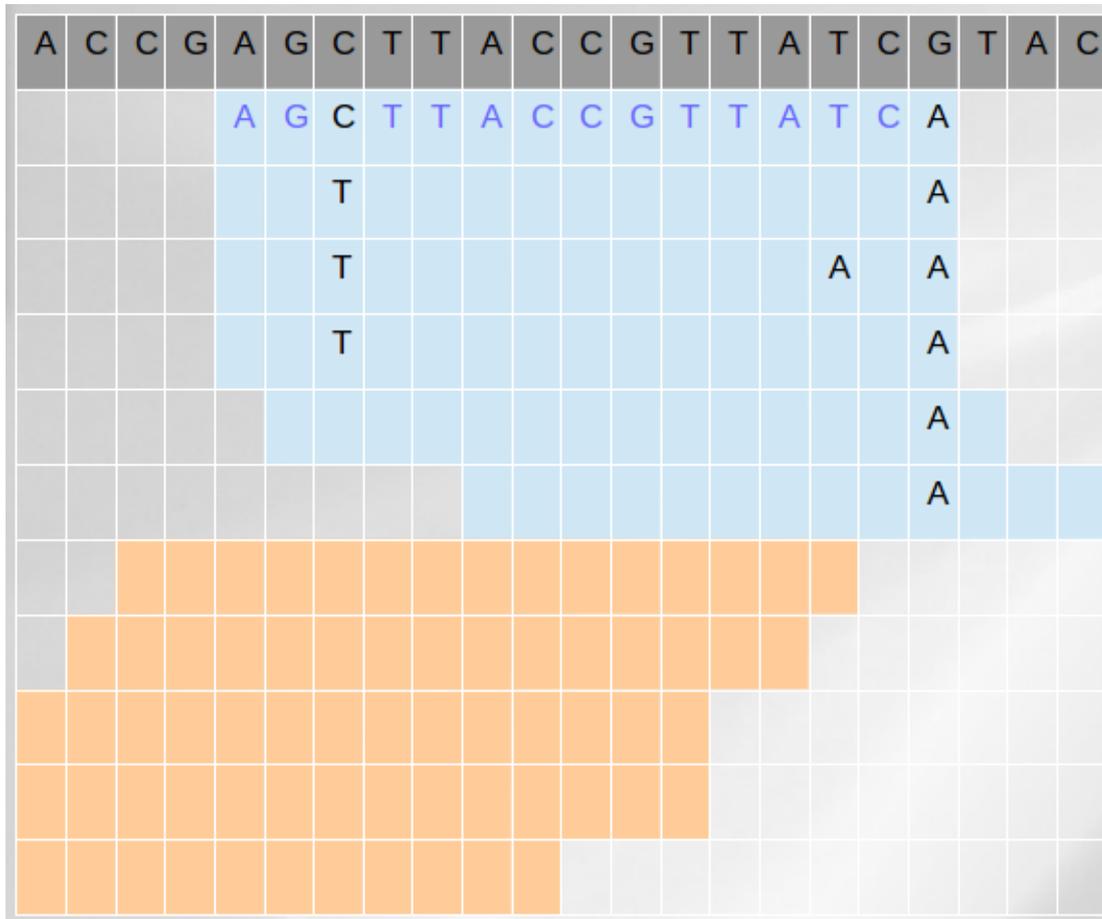
SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	1/1:0,6:6:9.4:75,19,0
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

count REF, count ALT [, count ALT2...]



# The VCF format : example



chr1

SAMPLE\_1

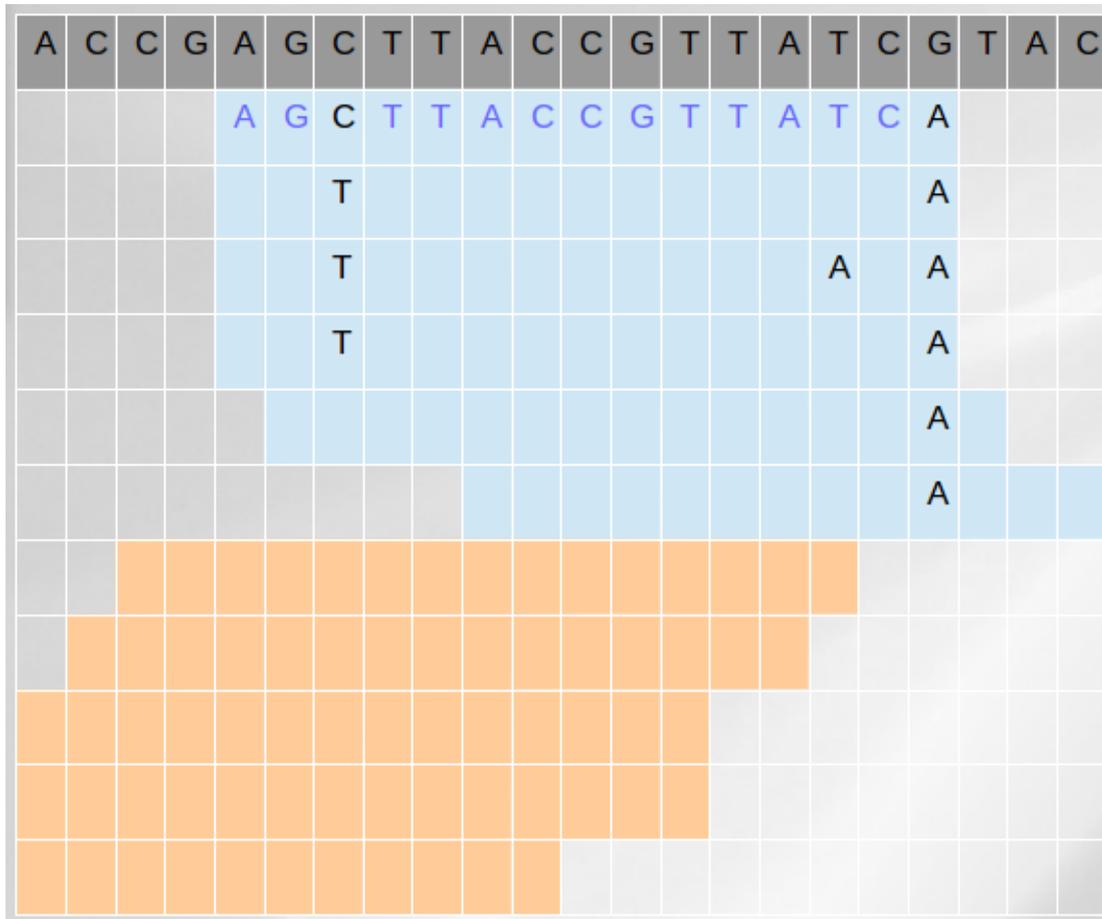
SAMPLE\_2

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	1/1:0,6:6:9.4:75,19,0
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

Depth position



# The VCF format : example

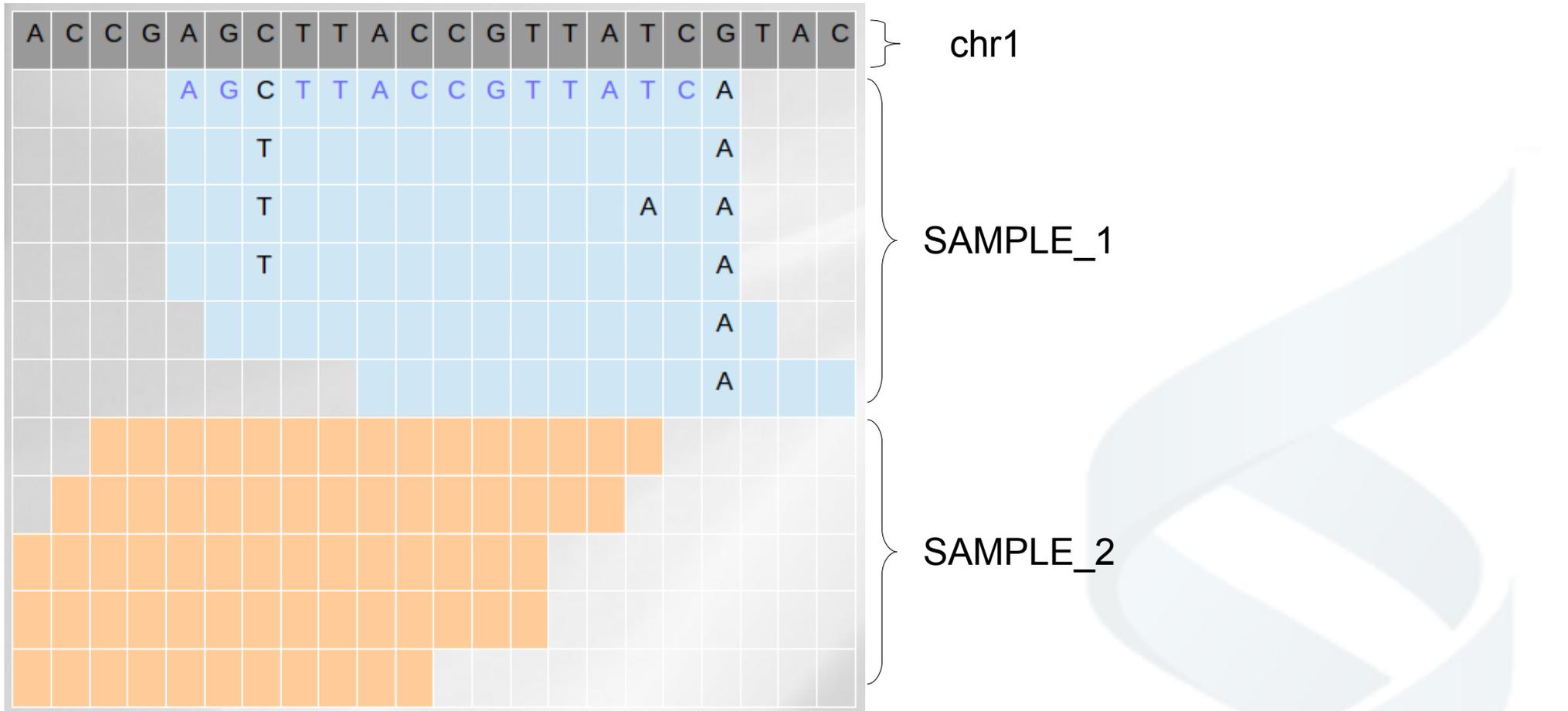


#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	1/1:0,6:6:9.4:75,19,0
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

*The Genotype Quality, or Phred-scaled confidence that the true genotype is the one provided in GT.*



# The VCF format : example

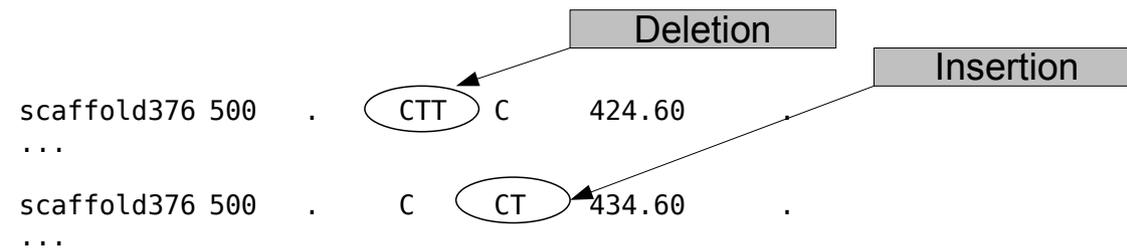


#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:48,0,26	1/1:0,6:6:9.4:75,19,0
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.

These are normalized, Phred-scaled likelihoods for each of the 0/0, 0/1, and 1/1, without priors.  
 Ex :  $PL(0/0) = 26$ , which corresponds to  $10^{(-2.6)}$ , or 0.0025



## → Small INDELS



## → Multi-allelic variants

scaffold376 577 . C (A,T) 2303.19 .  
...  
GT:AD:DP:GQ:PL 1/2:0,10,6:16:99:394,145,118,249,0,234 1/2:0,20,6:26:99:658,160,106,498,0,480



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```



# The VCF header



VCF version

## ##fileformat=VCFv4.1

```
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper=analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```

Fields description



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```

Tools & options used



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
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##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```

Genome informations



# The VCF header

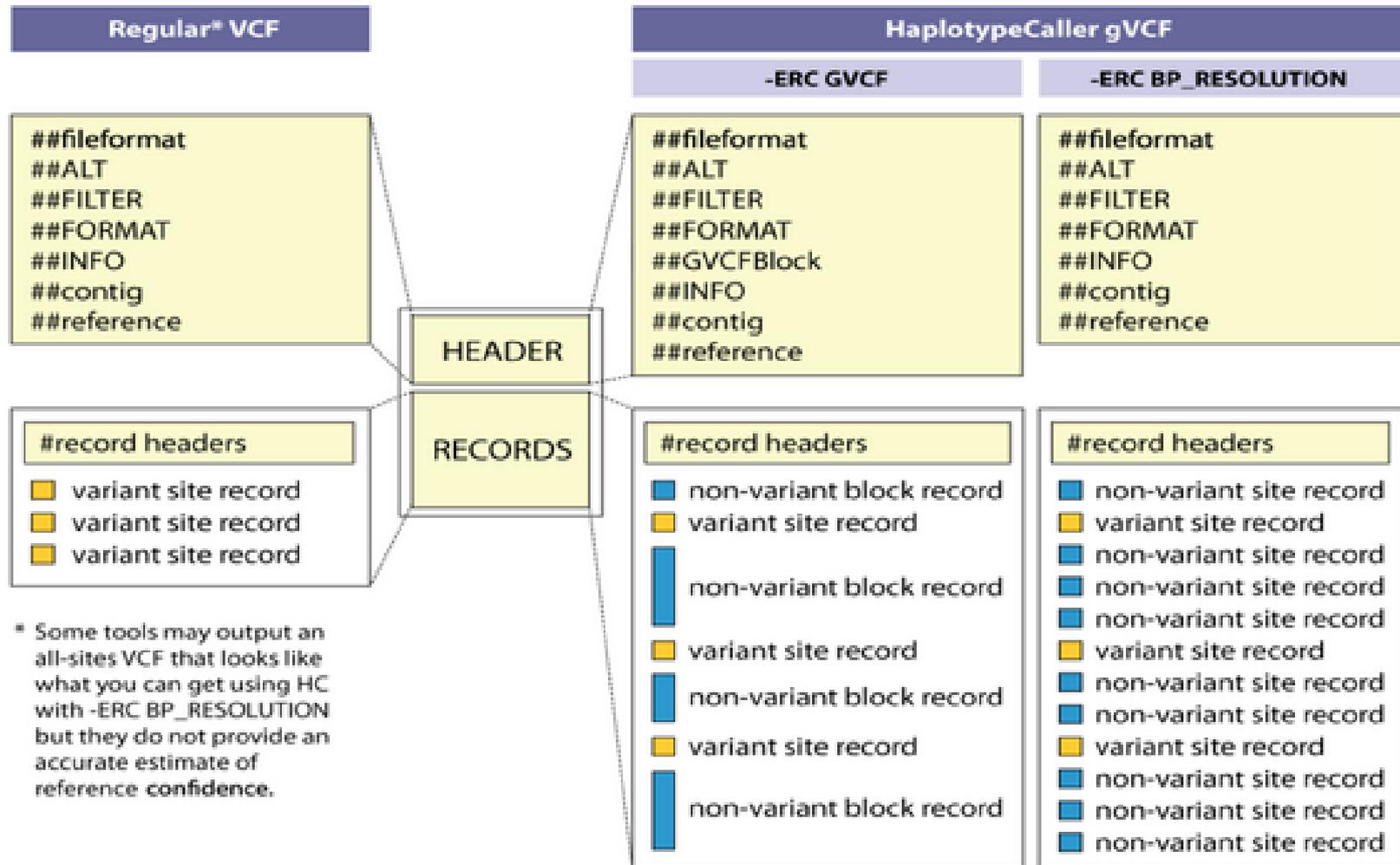


```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
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##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
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##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
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##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
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##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT L1 L2
```

Header line



# The VCF vs gVCF



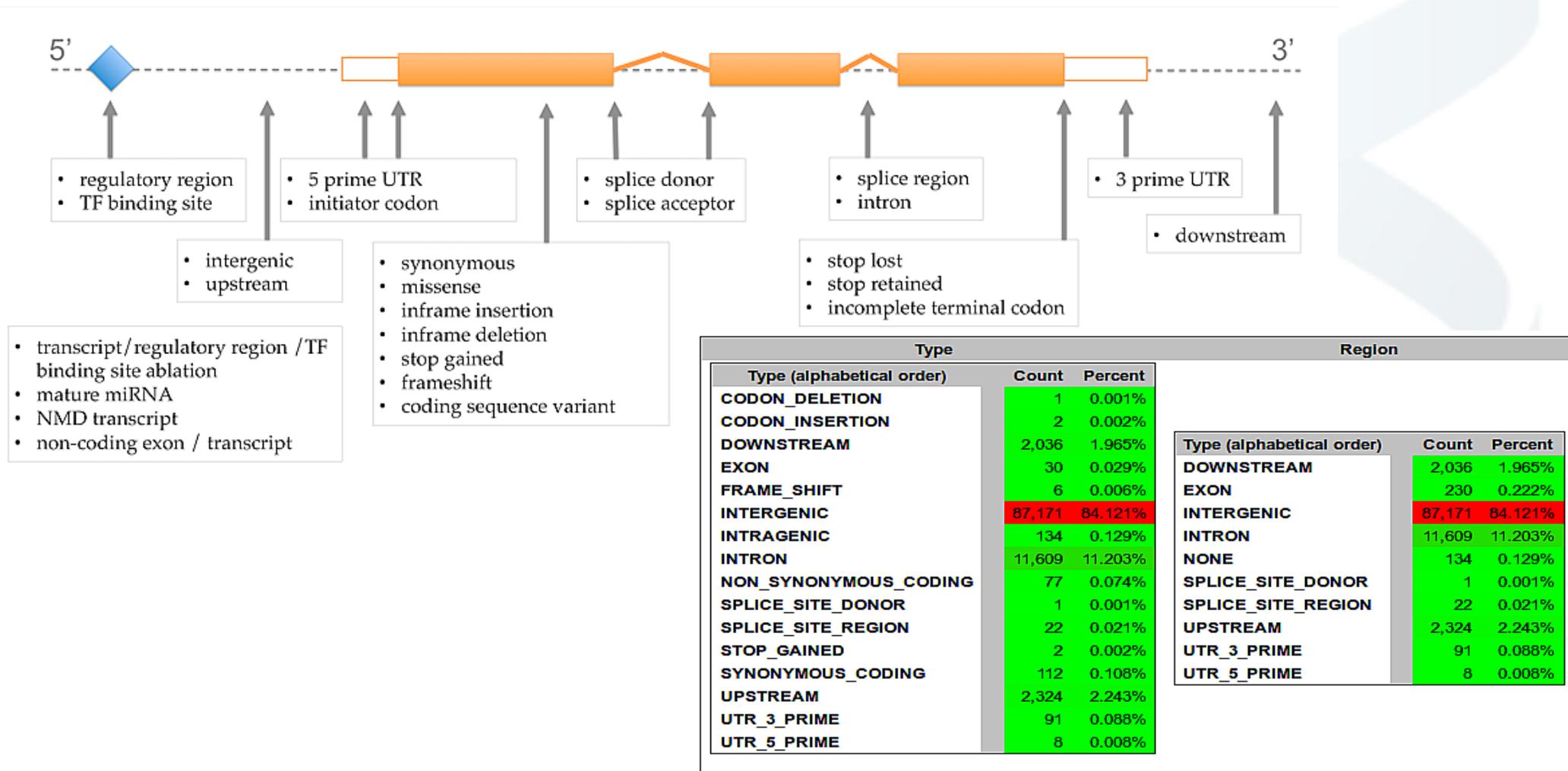
\* Some tools may output an all-sites VCF that looks like what you can get using HC with -ERC BP\_RESOLUTION but they do not provide an accurate estimate of reference confidence.



# Variant annotation



- Where are my SNPs ?
- Known or unknown ?
- Which effects ?





## A program for annotating and predicting the effects of single nucleotide polymorphisms, SnpEff: SNPs in the genome of *Drosophila melanogaster* strain *w*<sup>1118</sup>; *iso-2*; *iso-3*

Pablo Cingolani,<sup>1,3</sup> Adrian Platts,<sup>4</sup> Le Lily Wang,<sup>1</sup> Melissa Coon,<sup>2</sup> Tung Nguyen,<sup>2</sup> Luan Wang,<sup>1,2</sup> Susan J. Land,<sup>2</sup> Douglas M. Ruden<sup>1,2,\*</sup> and Xiangyi Lu<sup>1</sup>

<sup>1</sup>Institute of Environmental Health Sciences; Wayne State University; Detroit, MI USA; <sup>2</sup>Department of Obstetrics and Gynecology; Wayne State University School of Medicine; C.S. Mott Center; Detroit, MI USA; <sup>3</sup>School of Computer Science & Genome Quebec Innovation Centre; McGill University; Quebec, Canada; <sup>4</sup>Department of Bioinformatics; McGill University; Quebec, Canada; <sup>\*</sup>Department of Computer Sciences; Wayne State University; Detroit, MI USA

**Keywords:** personal genomes, *Drosophila melanogaster*, whole-genome SNP analysis, next generation DNA sequencing

We describe a new computer program, SnpEff, for rapidly categorizing the effects of single nucleotide polymorphisms (SNPs) and other variants such as multiple nucleotide polymorphism (MNPs) and insertion-deletions (Indels) in whole

<http://snpeff.sourceforge.net/>



# Variant annotation - SnpEff



## SnpEff input(s) :

- vcf file
- (annotation => over 2500 genomes pre-built databases / build one yourself)

## SnpEff outputs :

- html report
- vcf file (information added to the INFO fields)

**Table 4.** Information provided by SnpEff in variant call format (VCF)

Sub-field	Notes
Effect	Effect of this variant. See details below
Codon_Change	Codon change: old_codon/new_codon
Amino_Acid_change	Amino acid change: old_AA/new_AA
Warnings	Any warnings or errors
Gene_name	Gene name
Gene_BioType	BioType, as reported by ENSEMBL
Coding	[CODING   NON_CODING]. If information reported by ENSEMBL (e.g., has 'protein_id' information in GTF file)
Transcript	Transcript ID (usually ENSEMBL)
Exon	Exon ID (usually ENSEMBL)
Warnings	Any warnings or errors (not shown if empty)

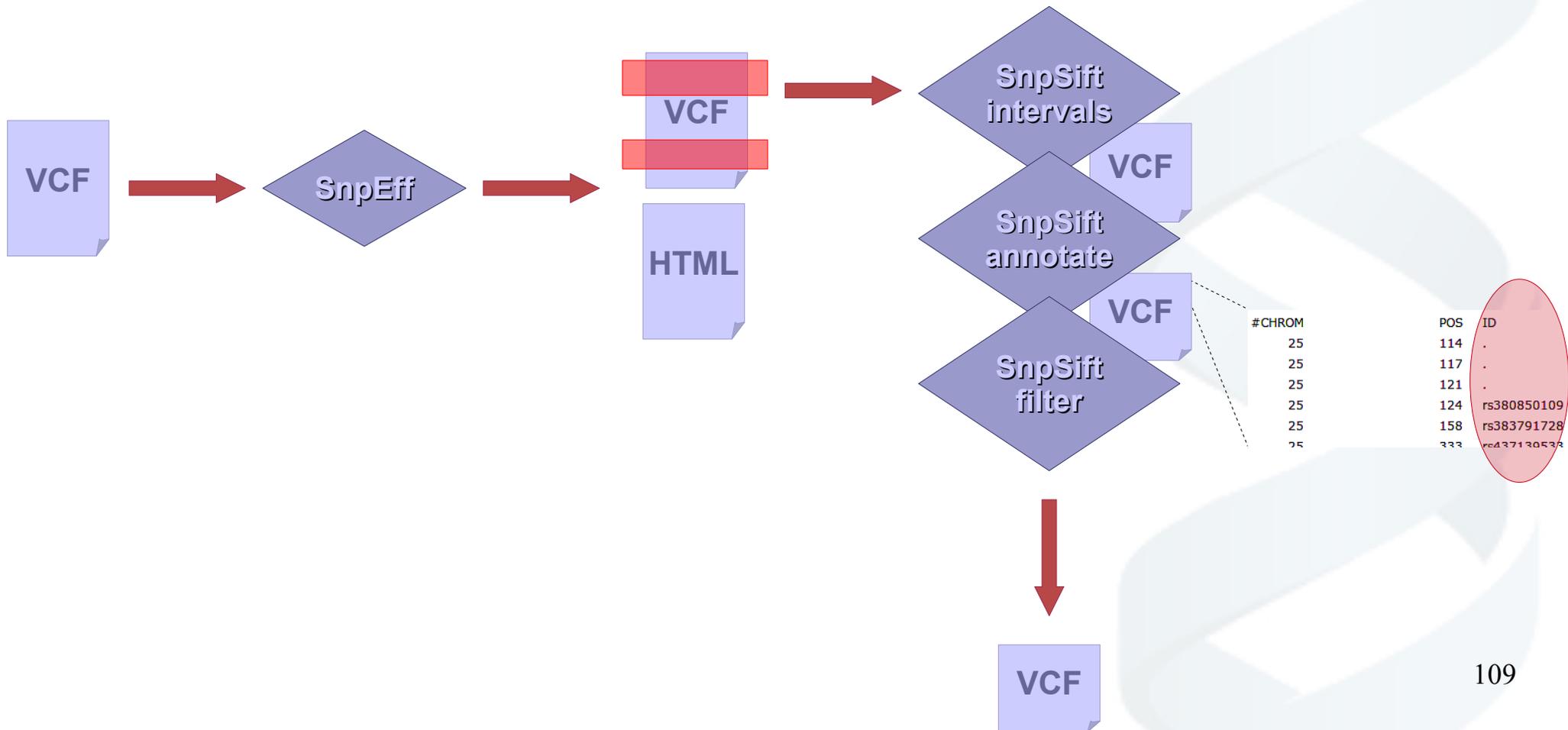
The information is added to the INFO fields using an tag 'EFF'. The format for each effect is "Effect (Effect\_Impact | Codon\_Change | Amino\_Acid\_change | Gene\_Name | Gene\_BioType | Coding | Transcript | Exon [ | ERRORS | WARNINGS])".



# Variant annotation – pipeline



- SnpEff : Variant effect and annotation
- SnpSift Intervals : Filter variants using intervals
- SnpSift Annotate SNPs from dbSnp
- SnpSift Filter : Filter variants using arbitrary expressions





## Filter variants using arbitrary expressions

<http://snpeff.sourceforge.net/SnpSift.html#filter>

Some examples:

*I want to filter out samples with quality less than 30:*

**( QUAL > 30 )**

*...but we also want InDels that have quality 20 or more:*

**(( exists INDEL ) & ( QUAL >= 20 )) | ( QUAL >= 30 )**

*...or any homozygous variant present in more than 3 samples:*

**(countHom() > 3) | (( exists INDEL ) & ( QUAL >= 20 )) | ( QUAL >= 30 )**

*...or any heterozygous sample with coverage 25 or more:*

**((countHet() > 0) & (DP >= 25)) | (countHom() > 3) | (( exists INDEL ) & ( QUAL >= 20 )) | ( QUAL >= 30 )**

*I want to keep samples where the genotype for the first sample is homozygous variant and the genotype for the second sample is reference:*

**isHom( GEN[0] ) & isVariant( GEN[0] ) & isRef( GEN[1] )**

#CHR	POS	ID	REF	ALT	QUAL	FILTER	[INFOS]	FORMAT	SAMPLE_1	SAMPLE_2
chr1	7	.	C	T	247.82	.	[INFOS]	GT:AD:DP:GQ:PL	0/1:2,3:5:9.2:20,0,15	0/1:2,3:5:9.01:10,1,6
chr1	19	.	G	A	124.34	.	[INFOS]	GT:AD:DP:GQ:PL	0/0:5,0:5:20.2:0,42,94	./.



# SnpSift Filter – Variables



- **Fields** names: "CHROM, POS, ID, REF, ALT, QUAL or FILTER" Examples:

- Any variant in chromosome 1:

```
"( CHROM = 'chr1' )"
```

- Variants between two positions:

```
"( POS > 123456 ) & ( POS < 654321 )"
```

- Has an ID and it matches the regular expression 'rs':

```
"(exists ID) & ( ID =~ 'rs' )"
```

- The reference is 'A':

```
"( REF = 'A' )"
```

- The alternative is 'T':

```
"( ALT = 'T' )"
```

- Quality over 30:

```
"( QUAL > 30 )"
```

- Filter value is either 'PASS' or it is missing:

```
"( na FILTER ) | ( FILTER = 'PASS' )"
```

- **INFO** field names in the INFO field. E.g. if the info field has "DP=48;AF1=0;..." you can use something like

```
( DP > 10 ) & ( AF1 = 0 )
```



## Genotype fields

Vcf genotype fields can be accessed individually using array notation.

- **Genotype fields** are accessed using an index (sample number) followed by a variable name. E.g. If the genotypes are "GT:PL:GQ 1/1:255,66,0:63 0/1:245,0,255:99" You can write something like

```
" ( GEN[0].GQ > 60 ) & ( GEN[1].GQ > 90 ) "
```

You may use an asterisk to represent 'ANY' field

```
" ( GEN[*].GQ > 60 ) "
```

- **Genotype multiple fields** are accessed using an index (sample number) followed by a variable name and then another index. E.g. If the genotypes are "GT:PL:GQ 1/1:255,66,0:63 0/1:245,0,255:99" You can write something like

```
" ( GEN[0].PL[2] = 0 ) "
```

You may use an asterisk to represent 'ANY' field

```
" ( GEN[0].PL[*] = 0 ) "
```

...or even

```
" ( GEN[*].PL[*] = 0 ) "
```

Rq : You can create an expression using sample names instead of genotype numbers !



## SnpEff 'EFF' fields

SnpEff annotations are parsed, so you can access individual sub-fields:

Effect fields (from SnpEff) are accessed using an index (effect number) followed by a sub-field name.

Available `EFF` sub-fields are:

- EFFECT: Effect (e.g. SYNONYMOUS\_CODING, NON\_SYNONYMOUS\_CODING, FRAME\_SHIFT, etc.)
- IMPACT: { HIGH, MODERATE, LOW, MODIFIER }
- FUNCLASS: { NONE, SILENT, MISSENSE, NONSENSE }
- CODON: Codon change (e.g. 'ggT/ggG')
- AA: Amino acid change (e.g. 'G156')
- GENE: Gene name (e.g. 'PSD3')
- BIOTYPE: Gene biotype, as described by the annotations (e.g. 'protein\_coding')
- CODING: Gene is { CODING, NON\_CODING }
- TRID: Transcript ID
- RANK: Exon or Intron rank (i.e. exon number in a transcript)

For example, you may want only the lines where the first effect is a NON\_SYNONYMOUS variants:

```
"( EFF[0].EFFECT = 'NON_SYNONYMOUS_CODING' )"
```

...but this probably doesn't make much sense. What you may really want are lines where ANY effect is NON\_SYNONYMOUS:

```
"( EFF[*].EFFECT = 'NON_SYNONYMOUS_CODING' )"
```

Maybe you want only the ones that affect gene 'TCF7L2'

```
"( EFF[*].EFFECT = 'NON_SYNONYMOUS_CODING' ) & ( EFF[*].GENE = 'TCF7L2' )"
```



# SnpSift Filter - Available operands and functions



Operand	Description	Data type	Example
=	Equality test	FLOAT, INT or STRING	(REF = 'A')
>	Greater than	FLOAT or INT	(DP > 20)
≥	Greater or equal than	FLOAT or INT	(DP ≥ 20)
<	Less than	FLOAT or INT	(DP < 20)
≤	Less or equal than	FLOAT or INT	(DP ≤ 20)
~=	Match regular expression	STRING	(REL ~= 'AC')
!~	Does not match regular expression	STRING	(REL !~ 'AC')
&	AND operator	Boolean	(DP > 20) & (REF = 'A')
	OR operator	Boolean	(DP > 20)   (REF = 'A')
!	NOT operator	Boolean	! (DP > 20)
exists	The variable exists (not missing)	Any	( <b>exists</b> INDEL)
has	The right hand side expression is equal to any of the items in a list consisting of separating the left hand side expression using delimiters: '&', '+', ':', '!', '(', ')', '[', ']' Example: If the expression is: ANN[*].EFFECT <b>has</b> 'missense_variant' If left hand side (ANN[*].EFFECT) has value 'missense_variant&splice_region_variant', then it is transformed to a list: ['missense_variant', 'splice_region_variant'] Since the right hand side ('missense_variant') is in the list, the expression evaluates to 'true'	Any	(ANN[*].EFFECT <b>has</b> 'missense_variant')



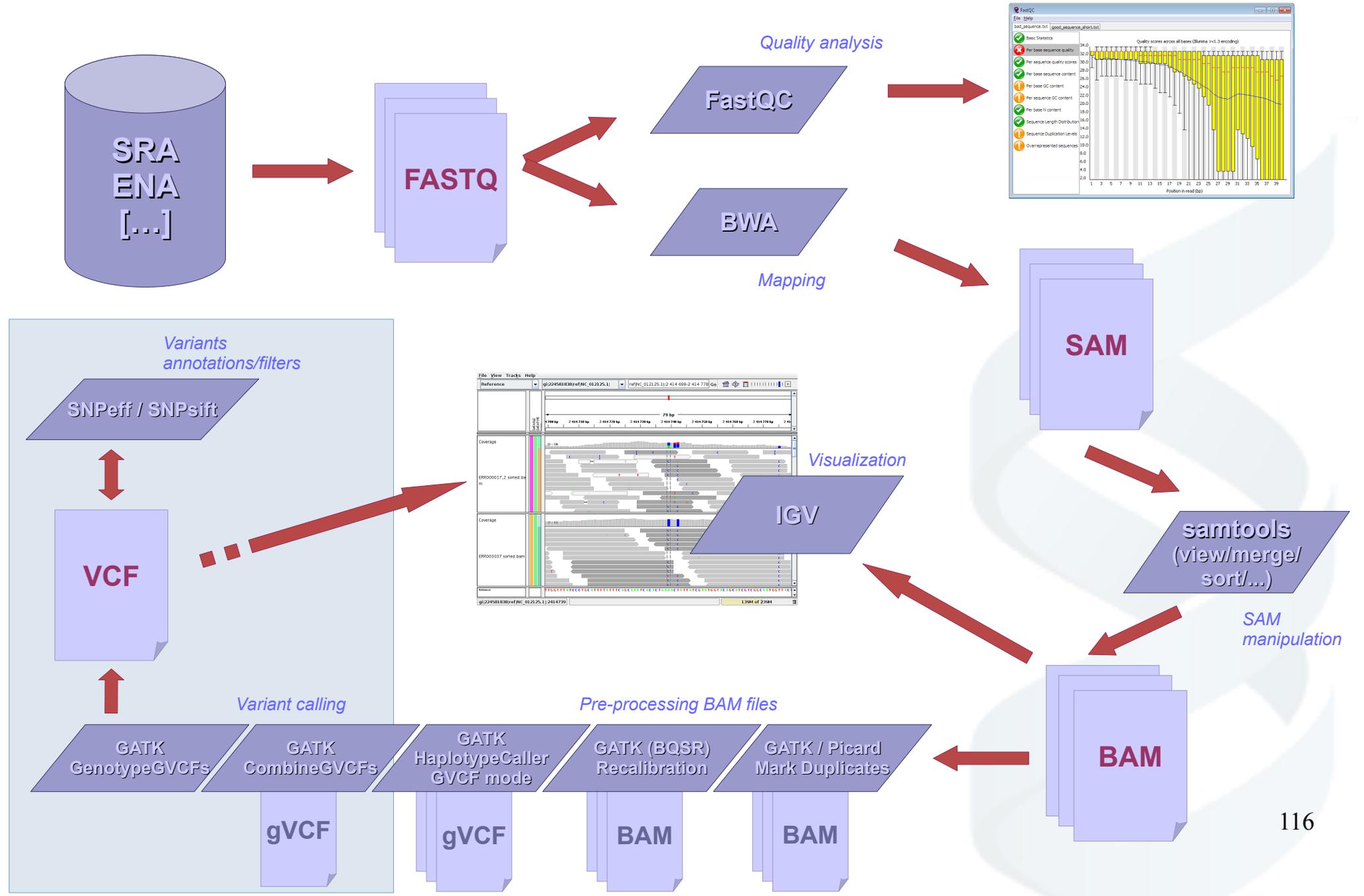
# SnpSift Filter - Available operands and functions



Function	Description	Data type	Example
countHom()	Count number of homozygous genotypes	No arguments	(countHom() > 0)
countHet()	Count number of heterozygous genotypes	No arguments	(countHet() > 2)
countVariant()	Count number of genotypes that are variants (i.e. not reference 0/0)	No arguments	(countVariant() > 5)
countRef()	Count number of genotypes that are NOT variants (i.e. reference 0/0)	No arguments	(countRef() < 1)
Genotype Function	Description	Data type	Example
isHom	Is homozygous genotype?	Genotype	isHom( GEN[0] )
isHet	Is heterozygous genotype?	Genotype	isHet( GEN[0] )
isVariant	Is genotype a variant? (i.e. not reference 0/0)	Genotype	isVariant( GEN[0] )
isRef	Is genotype a reference? (i.e. 0/0)	Genotype	isRef( GEN[0] )



# Synthesis





# Exercise / set 6





## Resources for SNPs

- *True sites training resource: HapMap*  
This resource is a SNP call set that has been validated to a very high degree of confidence. The program will consider that the variants in this resource are representative of true sites (truth=true), and will use them to train the recalibration model (training=true). We will also use these sites later on to choose a threshold for filtering variants based on sensitivity to truth sites. The prior likelihood we assign to these variants is Q15 (96.84%).
- *True sites training resource: Omni*  
This resource is a set of polymorphic SNP sites produced by the Omni geno- typing array. The program will consider that the variants in this resource are representative of true sites (truth=true), and will use them to train the recalibration model (training=true). The prior likelihood we assign to these variants is Q12 (93.69%).
- *Non-true sites training resource: 1000G*  
This resource is a set of high-confidence SNP sites produced by the 1000 Genomes Project. The program will consider that the variants in this re- source may contain true variants as well as false positives (truth=false), and will use them to train the recalibration model (training=true). The prior likelihood we assign to these variants is Q10 (90%).
- *Known sites resource, not used in training: dbSNP*  
This resource is a call set that has not been validated to a high degree of confidence (truth=false). The program will not use the variants in this resource to train the recalibration model (training=false). However, the program will use these to stratify output metrics such as Ti/Tv ratio by whether variants are present in dbsnp or not (known=true). The prior likelihood we assign to these variants is Q2 (36.90%).

<http://gatkforums.broadinstitute.org/gatk/discussion/1259/which-training-sets-arguments-should-i-use-for-running-vqsr>