



Genotoul  
**Bioinfo**

# **Aligning SGS reads**

## **Calling SNP**



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Cédric Cabau



## **25 nov. (9h30-12h20)**

- Sequence quality
- Read mapping

## **25 nov. (13h30-16h20)**

- SAM format
- Visualisation

## **26 nov. (8h00-12h20)**

- Variant calling (VCF)
- Variant filtering/annotation

## **26 nov. (13h30-17h30)**

- TA

## **27 nov. (8h00-12h20)**

- TA

## **1 dec. (8h00-10h50)**

- TA

## **1 dec. (11h00-12h20)**

- Retours TA
- Nextflow / Sarek



All the course material (slides, input and output data, practical exercises, correction) is available online:

→ [https://web-genobioinfo.toulouse.inrae.fr/~formation/16\\_SGS-SNP/](https://web-genobioinfo.toulouse.inrae.fr/~formation/16_SGS-SNP/)



# What are you going to learn?



- To extract reads and reference genome from the NCBI
- To verify the read quality
- To align the reads on the reference genome
- To improve alignment and to recalibrate SGS data
- To call variants (SNP and INDEL)
- To visualise the alignments and variations
- To annotate variants
- To filter variants



# What you should already know?



- How to connect to a remote unix server (mobaXterm)?
- What a unix command looks like?
- How to move around the unix environment?
- How to submit jobs?
- How to edit a file?

The screenshot shows a MobaXterm window titled 'sigenae@genologin'. The interface includes a menu bar (Terminal, Sessions, View, X server, Tools, Settings, Macros, Help), a toolbar, and a sidebar with 'Quick connect...', 'Sessions', 'Tools', 'Macros', and 'Log'. The main terminal area displays an SSH session to 'sigenae@genologin.toulouse.inra.fr'. A pop-up box provides details about the SSH session, including compression, browser, X11-forwarding, and DISPLAY settings. The terminal output shows the user's last login and a directory listing of the remote server. The directory listing shows a total size of 553M and a list of files and directories, including 'bin', 'Desktop', 'Downloads', 'igv', 'logs', 'profile.d', 'rezlog', 'slurm', 'tmp', and 'work'.

```
sigenae@genologin1 ~ $ ls
bin/          GBS/          index.e2672097  juicebox/      logs/          profile.d/      rezlog/          slurm-28305225.out  tmp_job/      work@
Desktop/      gm_key_64@    index.e2672097  julie.bam      ncbi/          public_html@   slurm-21279244.out  tmp_modulelist  tmp toto
Downloads/    igv@          intel/          julie.bam.bai  ncbi_error_report.xml  R@
sigenae@genologin1 ~ $ ll
total 553M
lrwxrwxrwx 1 sigenae SIGENAE 8 Jan 10 2014 bin -> save/bin/
lrwxrwxrwx 1 sigenae SIGENAE 13 Dec 20 2011 Desktop -> save/Desktop//
drwxrwxr-x 2 sigenae SIGENAE 0 May 27 2019 Downloads/
drwxrwxr-x 3 sigenae SIGENAE 173 Jan 16 2020 GBS/
lrwxrwxrwx 1 sigenae SIGENAE 67 Sep 4 2020 gm_key_64 -> /usr/local/bioinfo/src/GeneMark/GeneMark-v4.33/gmes_petap/gm_key_64
lrwxrwxrwx 1 sigenae SIGENAE 8 Dec 20 2011 igv -> save/igv/
-rw-rw-r-- 1 sigenae SIGENAE 5.5K Jul 26 2019 index.e2672097
-rw-rw-r-- 1 sigenae SIGENAE 223 Jul 26 2019 index.e2672097
drwxrwxr-x 3 sigenae SIGENAE 21 Jul 2 2019 intel/
drwxrwxr-x 2 sigenae SIGENAE 0 Nov 25 2020 juicebox/
-rw-rw-r-- 1 sigenae SIGENAE 479M Aug 17 11:53 julie.bam
-rw-rw-r-- 1 sigenae SIGENAE 3.5M Aug 17 11:58 julie.bam.bai
drwxr-x-- 3 sigenae SIGENAE 24 Aug 17 16:12 logs/
drwxrwxr-x 3 sigenae SIGENAE 24 Mar 20 2019 ncbi/
-rw-rw-r-- 1 sigenae SIGENAE 11K Jan 22 2021 ncbi_error_report.xml
drwxrwxr-x 2 sigenae SIGENAE 13 Dec 20 2011 profile.d/
lrwxrwxrwx 1 root nobody 25 Sep 25 2013 public_html -> /save/sigenae/public_html/
lrwxrwxrwx 1 sigenae SIGENAE 6 Dec 4 2015 R -> save/R/
drwxrwxr-x 2 sigenae SIGENAE 152 Sep 23 2015 rezlog/
lrwxrwxrwx 1 sigenae SIGENAE 13 Dec 20 2011 save -> /save/sigenae/
-rw-rw-r-- 1 sigenae SIGENAE 825 Nov 25 2020 slurm-21279244.out
-rw-rw-r-- 1 sigenae SIGENAE 274 Sep 3 15:42 slurm-28305225.out
drwxrwxr-x 2 sigenae SIGENAE 1.0K Sep 10 2015 stat/
lrwxrwxrwx 1 sigenae SIGENAE 9 Apr 3 2012 tmp -> work/tmp/
drwxrwxr-x 2 sigenae SIGENAE 0 Jun 23 13:13 tmp_job/
-rw-rw-r-- 1 sigenae SIGENAE 40K May 21 2019 tmp_modulelist
-rw-rw-r-- 1 sigenae SIGENAE 0 Sep 7 15:57 tmp_toto
lrwxrwxrwx 1 sigenae SIGENAE 21 Oct 19 2017 work -> /work/project/sigenae/
lrwxrwxrwx 1 sigenae SIGENAE 22 Oct 10 2016 work_project -> /work/project/sigenae//
sigenae@genologin1 ~ $
```



# Genomic variants - who are you?



- Genomic variants are differences in the DNA sequence among individuals.
- Range from single nucleotide changes (SNPs) to large structural variations.
- Basis of genetic diversity and can influence traits such as physical characteristics, disease susceptibility, and response to drugs.



# Types of genomic variants



- Single Nucleotide Polymorphisms (SNPs)
  - ◆ the most common type of genetic variation, involving a change in a single base pair.
- Insertions and Deletions (Indels)
  - ◆ variations involving the addition or removal of base pairs in the DNA sequence.
- Structural Variants
  - ◆ large-scale alterations in the DNA, including duplications, inversions, and translocations.



# Why study genomic variants? (human context)



- Understanding disease
  - ◆ many diseases are influenced by genetic variants, including cancer, heart disease, and neurological disorders.
- Personalized medicine
  - ◆ knowledge of a patient's genomic variants can guide treatment decisions and drug dosing.
- Evolution and population genetics
  - ◆ studying genomic variants can provide insights into human evolution and population history.



# Why study genomic variants? (agronomic context)



- Genomic prediction
  - ◆ identification of genomic variants causing variation in quantitative traits.
- Population genomics
  - ◆ identification of genomic regions linked to traits or evolutionary processes to study evolutionary relationships of a population, including population size, structure, demographic history and phylogenetic relationships.
- Adaptive genetic variations
  - ◆ analyze genetic variations that underlie adaptive changes.
- Crop and livestock health
  - ◆ identification of variations responsible for disease susceptibility.
  - ◆ Identify variations that modulate the response to prophylactic treatments



# Methods for detecting genomic variants



## → Sequencing

- ◆ next-generation sequencing technologies can identify variants across the entire genome.

## → Genotyping

- ◆ detect specific variants of interest that can lead to major changes in phenotype.

## → Bioinformatics

- ◆ computational tools are used to analyze sequencing data and predict the effects of variants.



# The 1000 Genomes Project



- International effort to establish the most detailed catalogue of human genetic variation.
- The project sequenced the genomes of over 2500 individuals from 26 populations, identifying over 88 million variants.
- The data from the 1000 Genomes Project is a valuable resource for researchers studying human genetics and disease.



# The pieces of software



- Fastqc : quality control
- BWA : alignment
- Samtools & Picard-tools : manipulation of SAM/BAM files
- IGV : visualisation
- GATK : preprocess and variant calling
- SNPeff / SNPsift : annotate/filter variants



| Manual Reference Pages - bwa (1) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| NAME                             | bwa - Burrows-Wheeler Alignment Tool                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| CONTENTS                         | <a href="#">Synopsis</a><br><a href="#">Description</a><br><a href="#">Commands And Options</a><br><a href="#">See Alignment Format</a><br><a href="#">Notes On Short-read Alignment</a><br><a href="#">Alignment Accuracy</a><br><a href="#">Estimating Insert Size Distribution</a><br><a href="#">Memory Requirement</a><br><a href="#">Speed</a><br><a href="#">Notes On Long-read Alignment</a><br><a href="#">See Also</a><br><a href="#">Author</a><br><a href="#">License And Citation</a><br><a href="#">History</a> |



SnpEff & SnpSift

SAMtools

Picard



# The 1000 genomes project



- Joint project NCBI / EBI
- Common data formats :
  - ◆ FASTQ (Raw data)
  - ◆ SAM (Sequence Alignment/Map)
  - ◆ VCF (Variant Call Format)

**1000 Genomes**  
A Deep Catalog of Human Genetic Variation

Home About Data Analysis Participants Contact Browser Wiki

### LATEST ANNOUNCEMENTS

#### March 2010 Data Release

31 MARCH 2010

**Final release of pilot project SNP calls**

The final set of SNPs from Pilots 1, 2 and 3 are now available in VCF format. All 1000 Genomes pilot project files reference the NCBI build 36 assembly of the human genome.

Data access links: [EBI / NCBI](#)

Link to additional information: [README file](#)

#### Recent project announcements

29 APRIL 2010 **Additional main project sequence files**  
New main project sequence files are available on the FTP site.

Link to additional information: [20100429.sequence.index / README.sequence\\_data / README.populations](#)

16 APRIL 2010 **Patched mask files available**  
The Pilot 1 mask files have been patched to support creation of \*.bai files with SAMtools.

Data access links: [EBI / NCBI](#)

### LOG IN

Username:

Password:

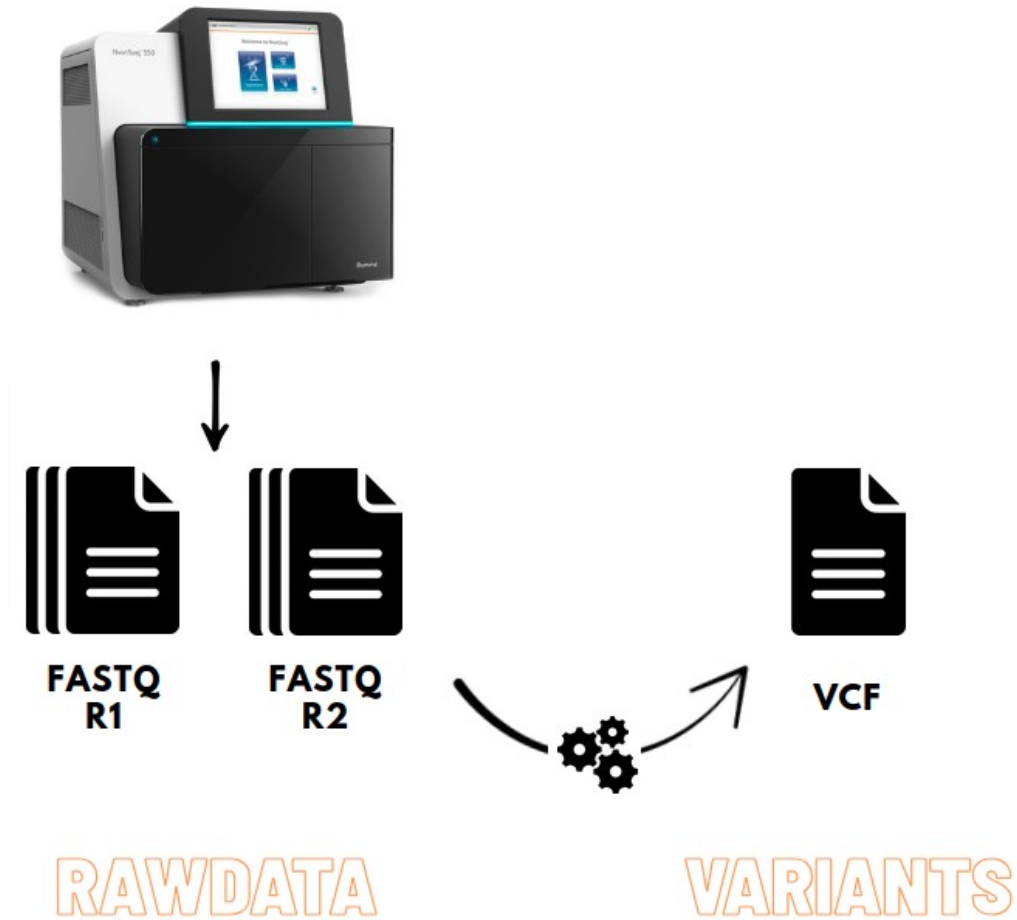
([Send me my password](#))

### LINKS

- [All Project Announcements](#)
- [Sample and Project Information](#)
- [Media Archive](#)

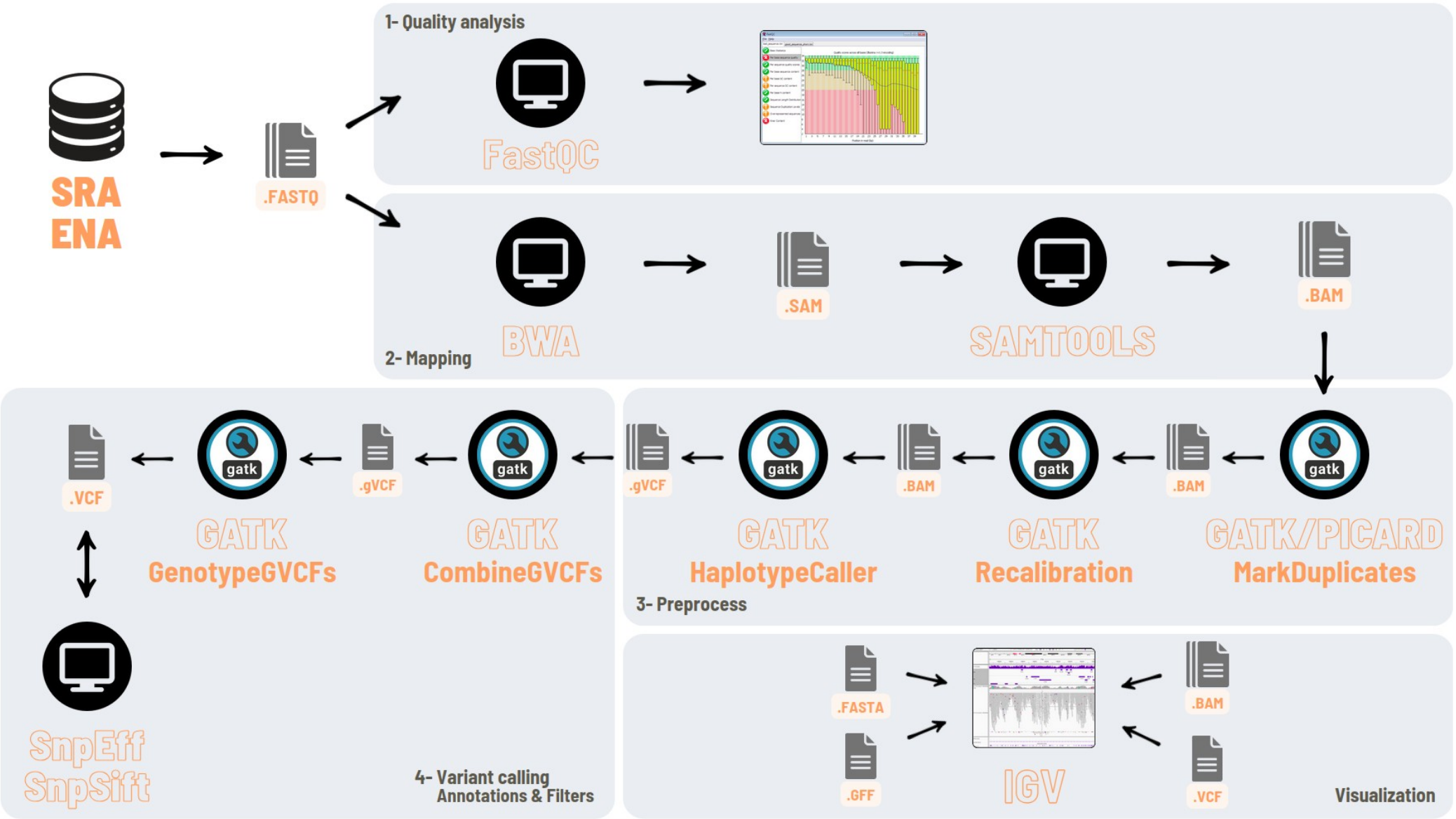


# Overview



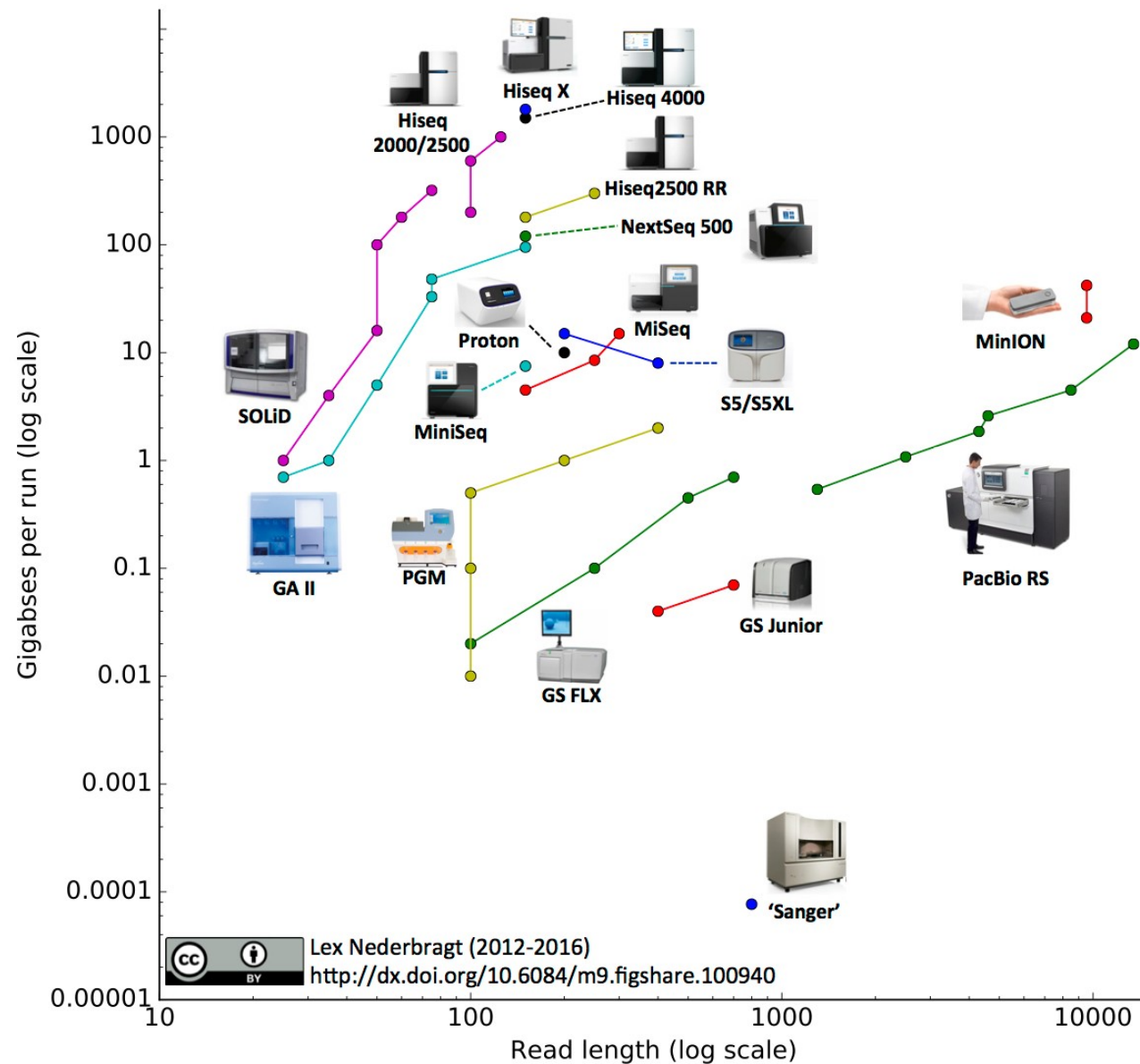


# Overview



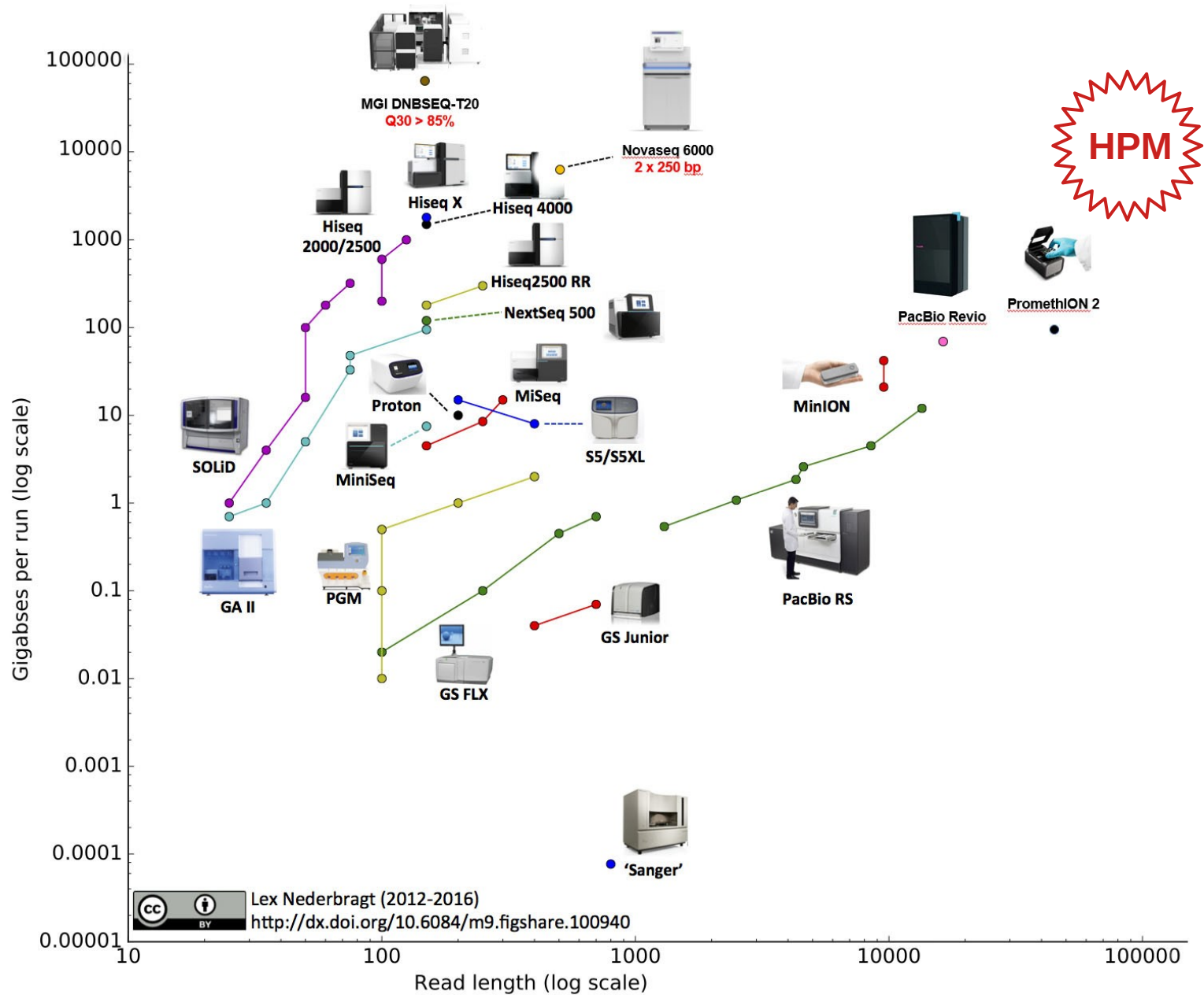


# SGS platforms





# SGS platforms

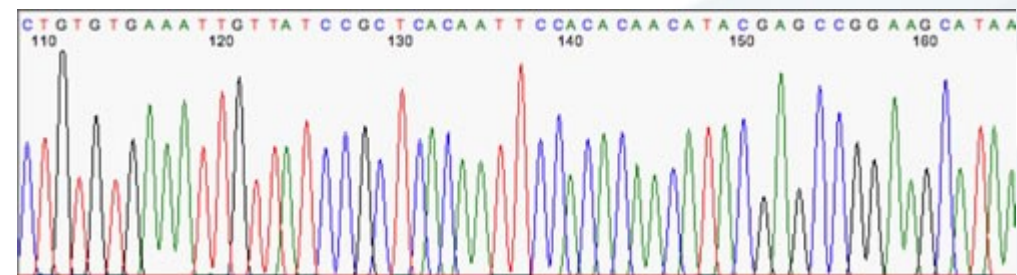
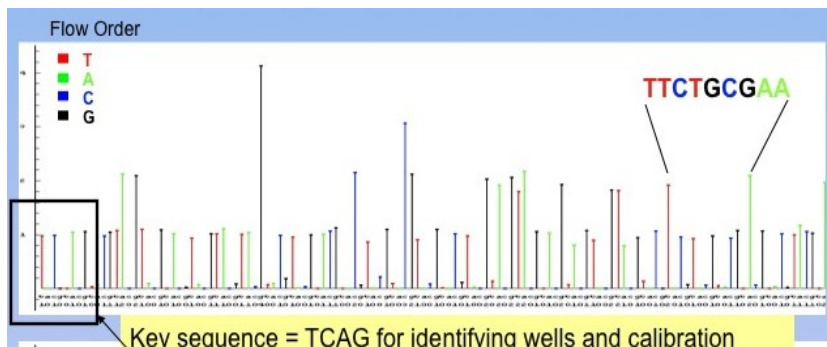




# Sequencing bias



| Technology       | Main Biases                                           | Dominant Errors            | Typical Error Rate |
|------------------|-------------------------------------------------------|----------------------------|--------------------|
| Sanger           | Extreme GC, secondary structures, drop at end of read | Substitutions              | 0.001–0.01%        |
| 454 Roche        | Homopolymers highly problematic                       | Indels ↑                   | ~1%                |
| Ion Torrent      | Homopolymer saturation                                | Indels ↑                   | 1–2%               |
| Illumina         | GC bias, PCR bias, end of read                        | Substitutions              | 0.05–0.2%          |
| MGI / DNBseq     | Moderate GC bias                                      | Substitutions              | 0.05–0.15%         |
| PacBio CLR       | Few biases but depends on long DNA                    | Indels ↑                   | 10–15%             |
| PacBio HiFi      | Very low bias                                         | Substitutions              | 0.03–0.1%          |
| ONT R9.4         | Homopolymers, extreme GC, motifs                      | Indels (deletions)         | 4–8%               |
| ONT R10.4.1 Q20+ | Improved homopolymer handling                         | Substitutions ≈ Indels     | ~1%                |
| ONT Duplex       | Strongly reduced biases                               | Substitutions & indels low | 0.1–0.3%           |

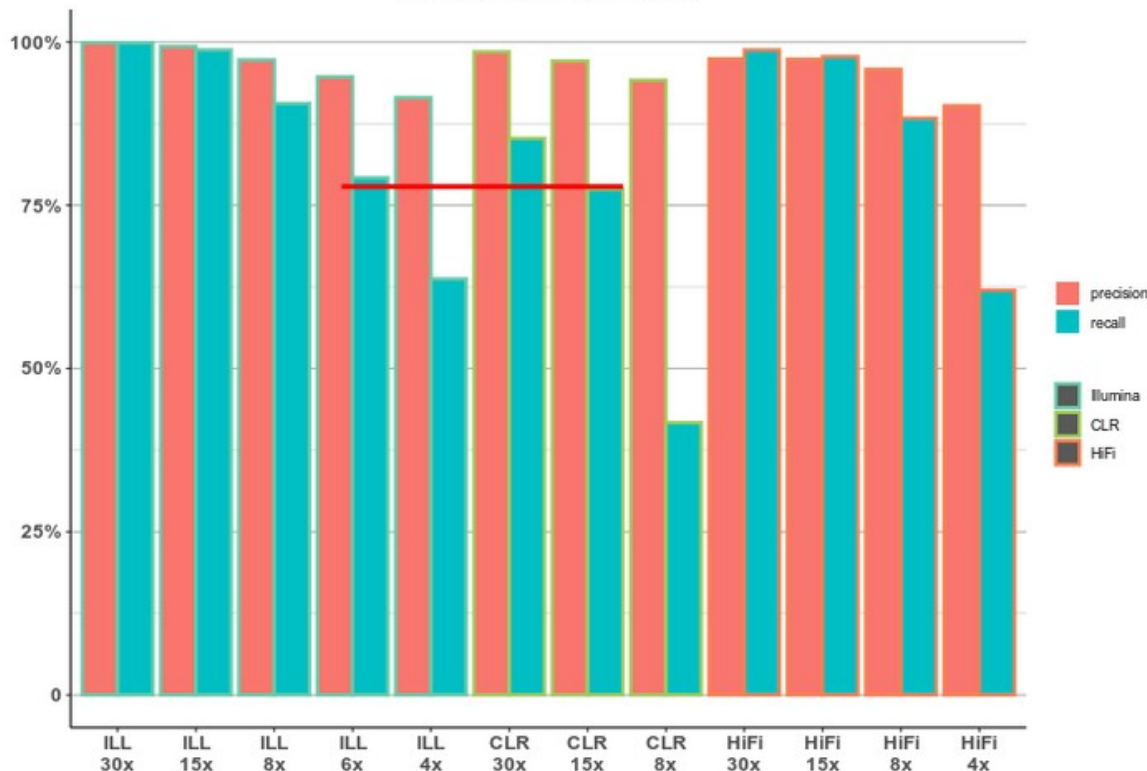


## SeqOccin Human SNP calling Giab as reference set

$$\text{Precision} = \frac{tp}{tp + fp}$$

$$\text{Recall} = \frac{tp}{tp + fn}$$

SNPs+Indels calling on HG002



- Illumina donne les meilleurs résultats
- HiFi est équivalent
- CLR gardent des hautes valeurs de précision
- CLR a des valeurs de sensibilité "correctes" sachant que Longshot ne détectent pas les Indels
- 15x en CLR a une sensibilité équivalente à 6x en Illumina

Pour mémoire, taux d'erreur

- Illumina : 0.2 %
- HiFi : 1 %
- CLR : 15%



# What data will we use?



- The needed data :
  - A reference sequence :
    - Genome
    - Parts of the genome
    - Transcriptome
  - Short reads





# Where to get reference genome?



Assemble your own OR use a public assembly (NCBI / EBI)

**NIH** National Library of Medicine  
National Center for Biotechnology Information

Search NCBI

Results found in 26 databases

**TAXONOMY** Was this helpful?

 **Gallus gallus**  
Gallus gallus (chickens) is a species of bird in the family Phasianidae (turkeys).  
Taxonomy ID: 9031

 **Genomes**  
Browse all Gallus gallus genomes

| Literature             | Genes                | Proteins                        |
|------------------------|----------------------|---------------------------------|
| Bookshelf 1,480        | Gene 64,955          | Conserved Domains 17            |
| MeSH 166               | GEO DataSets 32,904  | Identical Protein Groups 78,582 |
| NLM Catalog 256        | GEO Profiles 245,610 | Protein error                   |
| PubMed 156,209         |                      | Protein Family Models 30        |
| PubMed Central 125,745 |                      | Structure 2,696                 |

| Genomes                                | Clinical             | PubChem        |
|----------------------------------------|----------------------|----------------|
| Assembly / Genome <b>NCBI Datasets</b> | ClinicalTrials.gov 0 | BioAssays 9    |
| BioCollections 0                       | ClinVar 5            | Compounds 2    |
| BioProject 2,972                       | dbGaP 1              | Pathways 1,845 |
| BioSample 239,743                      | dbSNP 0              | Substances 122 |
| Nucleotide 2,004,775                   | dbVar 0              |                |
| SRA 182,455                            | GTR 2                |                |
| Taxonomy 1                             | MedGen 0             |                |
|                                        | OMIM 12              |                |



# Where to get short reads?



- Produce your own sequences :
  - ◆ CNS
  - ◆ Local platform
  - ◆ Private company
  
- Use public data :
  - ◆ SRA : NCBI Sequence Read Archive
  - ◆ ENA : EMBL/EBI European Nucleotide Archive



# NCBI SRA?



**National Library of Medicine**  
*National Center for Biotechnology Information*

Log in

Search NCBI

gallus gallus

Search

Results found in 26 databases

TAXONOMY

Was this helpful?  

**Gallus gallus**  
Gallus gallus (chickens) is a species of bird in the family Phasianidae (turkeys).  
Taxonomy ID: 9031

 **Genomes**  
Browse all Gallus gallus genomes

Literature

|                |         |
|----------------|---------|
| Bookshelf      | 1,480   |
| MeSH           | 166     |
| NLM Catalog    | 256     |
| PubMed         | 156,209 |
| PubMed Central | 125,745 |

Genes

|              |         |
|--------------|---------|
| Gene         | 64,955  |
| GEO DataSets | 32,904  |
| GEO Profiles | 245,610 |

Proteins

|                          |        |
|--------------------------|--------|
| Conserved Domains        | 17     |
| Identical Protein Groups | 78,582 |
| Protein                  | error  |
| Protein Family Models    | 30     |
| Structure                | 2,696  |

Genomes

|                   |               |
|-------------------|---------------|
| Assembly / Genome | NCBI Datasets |
| BioCollections    | 0             |
| BioProject        | 2,972         |
| BioSample         | 239,743       |
| Nucleotide        | 2,004,775     |
| SRA               | 182,455       |
| Taxonomy          | 1             |

Clinical

|                    |    |
|--------------------|----|
| ClinicalTrials.gov | 0  |
| ClinVar            | 5  |
| dbGaP              | 1  |
| dbSNP              | 0  |
| dbVar              | 0  |
| GTR                | 2  |
| MedGen             | 0  |
| OMIM               | 12 |

PubChem

|            |       |
|------------|-------|
| BioAssays  | 9     |
| Compounds  | 2     |
| Pathways   | 1,845 |
| Substances | 122   |



## → Meta data structure :

- ◆ Experiment
- ◆ Sample
- ◆ Study
- ◆ Run
- ◆ Data file

[NCBI](#) | [Site map](#) | [All databases](#) | [PubMed](#) | [Search](#)

**Sequence Read Archive**

[Main](#) | [Browse](#) | [Search](#) | [Download](#) | [Submit](#) | [Documentation](#) | [Software](#) | [Trace Archive](#) | [Trace Assembly](#) | [Trace Home](#) | [Trace BLAST](#)

**Studies** | [Samples](#) | [Analyses](#) | [Run Browser](#) | [Entrez](#)

**ERP000014** **Detecting variation in Salmonella Paratyphi A by sequencing pooled DNA**

Study Type: Whole Genome Sequencing

Submission: [ERA000083](#) by SC on 2010-02-19 13:22:30

Abstract: Here we present a method for estimating the frequencies of SNP alleles present within pooled samples of DNA using high-throughput short-read sequencing. The method was tested on real data from six strains of the highly monomorphic pathogen *Salmonella Paratyphi A*, sequenced individually and in a pool. A variety of read mapping and quality-weighting procedures were tested to determine the optimal parameters, which afforded  $\geq 80\%$  sensitivity of SNP detection and strong correlation with true SNP frequency at poolwide read depth of 40x, declining only slightly at read depths 20x-40x.

Description: n/a

[Download fastq for entire study](#)

**Experiments**

[Show RUNs for each experiment](#)

| Accession                 | Spots        | Bases       |
|---------------------------|--------------|-------------|
| <b>Total: 7</b>           | <b>37.7M</b> | <b>1.3G</b> |
| <a href="#">ERX000291</a> | 5.4M         | 193.7M      |
| <a href="#">ERX000292</a> | 5.3M         | 191.6M      |
| <a href="#">ERX000293</a> | 3.6M         | 129.6M      |
| <a href="#">ERX000294</a> | 5.6M         | 201.5M      |
| <a href="#">ERX000295</a> | 5.4M         | 195.4M      |
| <a href="#">ERX000296</a> | 6.0M         | 215.9M      |
| <a href="#">ERX000297</a> | 6.3M         | 209.4M      |

[Write to the Help Desk](#) | [Privacy Notice](#) | [Disclaimer](#) | [Accessibility](#)  
National Center for Biotechnology Information | U.S. National Library of Medicine



# What is a fastq file?



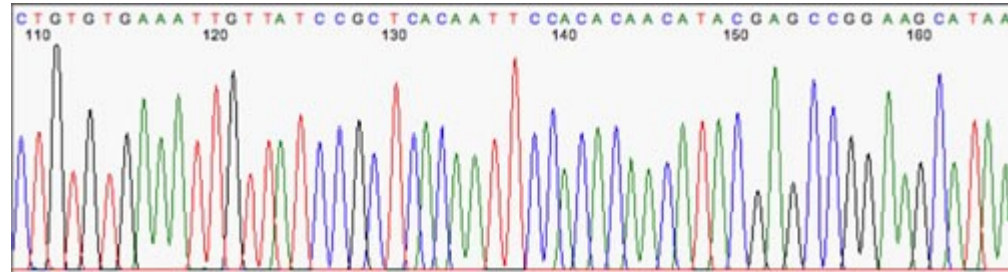
FASTQ format stores sequences and Phred qualities in a single file. It is concise and compact. FASTQ is first widely used in the Sanger Institute and therefore we usually take the Sanger specification and the standard FASTQ format, or simply FASTQ format. Although Solexa/Illumina read file looks pretty much like FASTQ, they are different in that the qualities are scaled differently. In the quality string, if you can see a character with its ASCII code higher than 90, probably your file is in the Solexa/Illumina format.

## Example

```
@EAS54_6_R1_2_1_413_324
CCCTTCTTGTCTTCAGCGTTTCTCC
+
;;3;::::::::::::7;::::::::88
@EAS54_6_R1_2_1_540_792
TTGGCAGGCCAAGGCCGATGGATCA
+
::::::::::::7;::::-::;3;83
@EAS54_6_R1_2_1_443_348
GTTGCTTCTGGCGTGGGTGGGGGGG
+EAS54_6_R1_2_1_443_348
::::::::::::9;7;;.7;393333
```



→ Phred : base calling



## What is Phred Quality?

Traditionally, Phred quality is defined on base calls. Each base call is an estimate of the true nucleotide. It is a random variable and can be wrong. The probability that a base call is wrong is called error probability.

Explanation about the quality values :

[source http://maq.sourceforge.net/qual.shtml](http://maq.sourceforge.net/qual.shtml)



# Sequence quality



**Phred quality scores are logarithmically linked to error probabilities**

| <b>Phred Quality Score</b> | <b>Probability of incorrect base call</b> | <b>Base call accuracy</b> |
|----------------------------|-------------------------------------------|---------------------------|
| 10                         | 1 in 10                                   | 90%                       |
| 20                         | 1 in 100                                  | 99%                       |
| 30                         | 1 in 1000                                 | 99.9%                     |
| 40                         | 1 in 10,000                               | 99.99%                    |
| 50                         | 1 in 100,000                              | 99.999%                   |
| 60                         | 1 in 1,000,000                            | 99.9999%                  |



# Which reads should I keep?



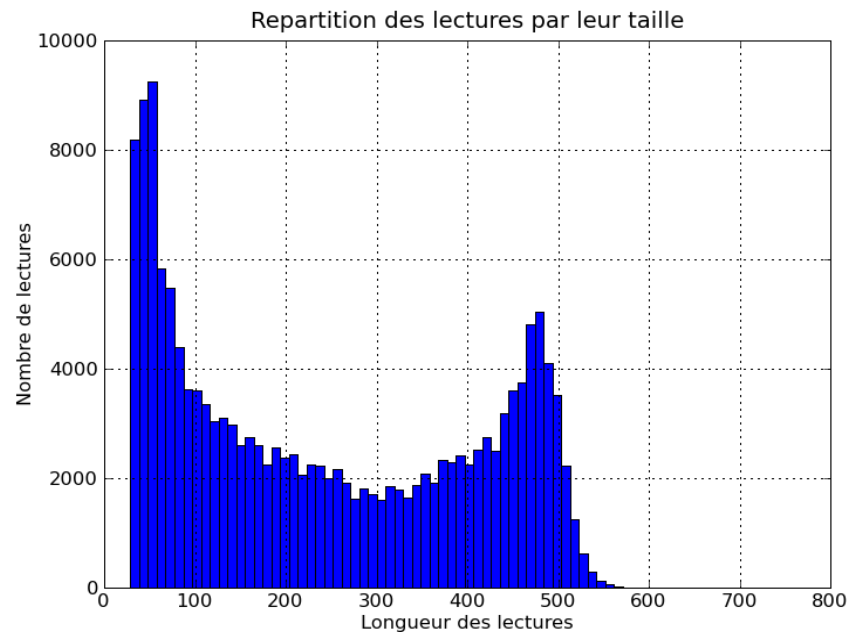
- All
- Some : what criteria and threshold should I use
  - ◆ Composition (number of Ns, complexity, ...)
  - ◆ Quality
  - ◆ Alignment based criteria
- Should I trim the reads using :
  - ◆ Composition
  - ◆ Quality



# Basic reads statistics



- Number of reads
- Length histogram
- Number of Ns in the reads
- Reads quality
- Reads redundancy
- Reads complexity

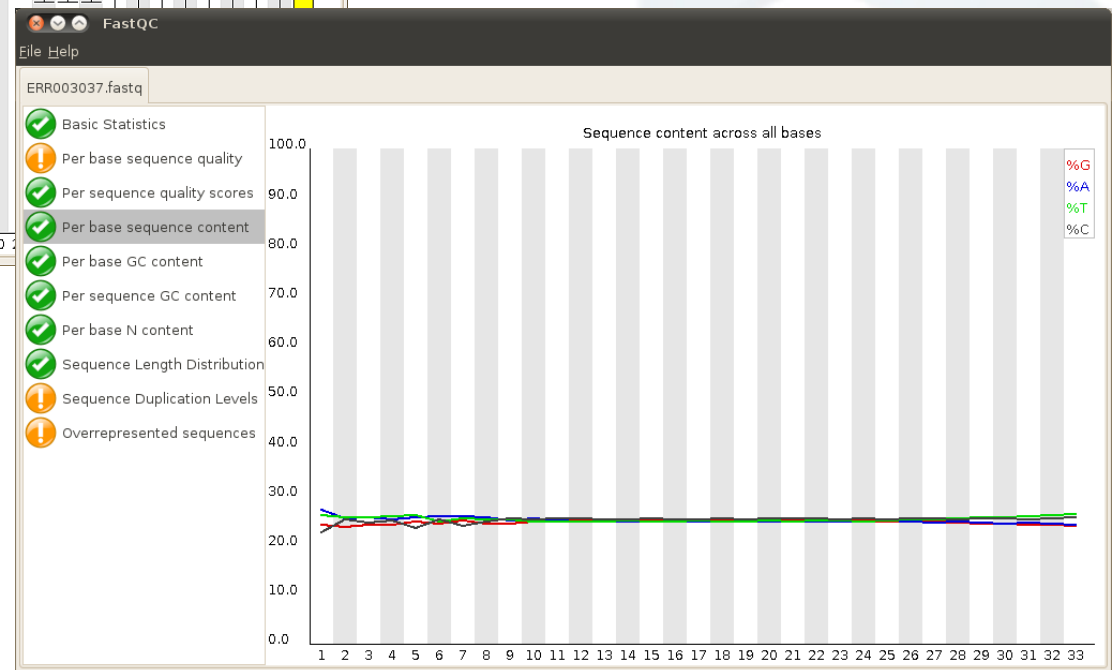
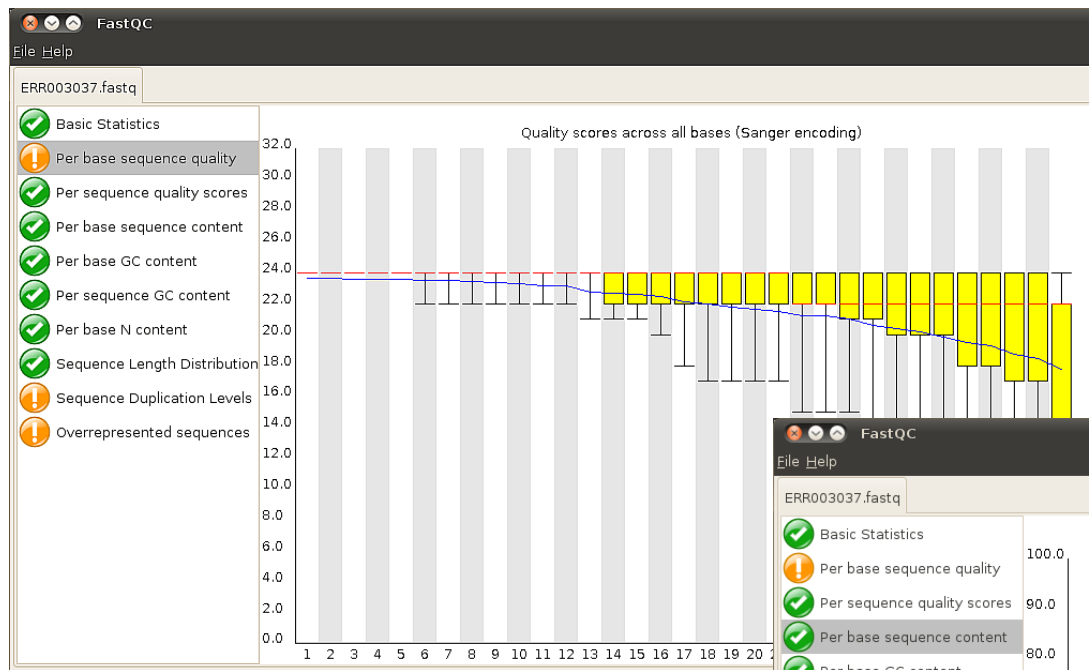
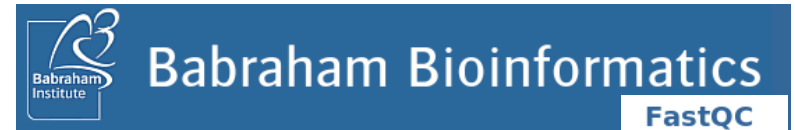




# Sequence quality analysis



→ FastQC :





Some basics to help you solve exercises.

## Looping Constructs

The for command syntax

```
for name [ [in words ...] ; ] do commands; done
```

Example

```
for i in *.fastq.gz; do echo $i; done
```



## Shell Parameter Expansion

[https://www.gnu.org/software/bash/manual/html\\_node/Shell-Parameter-Expansion.html](https://www.gnu.org/software/bash/manual/html_node/Shell-Parameter-Expansion.html)

The '\$' character introduces parameter expansion.

The basic form

```
$ string=abcd
```

```
$ echo $string
```

```
abcd
```

```
$ echo ${string}
```

```
abcd
```

`${parameter}` substitutes the value of parameter.



## Shell Parameter Expansion

`${parameter%pattern}`

`${parameter%%pattern}`

If pattern matches a trailing portion of the expanded value, delete:

- the shortest matching pattern, the '%' case
- the longest matching pattern, the '%%' case

Example

```
$ file=myFastFile.fastq.gz
```

```
$ echo ${file%.*}
```

```
myFastFile.fastq
```

```
$ echo ${file%%.*}
```

```
myFastFile
```



## Shell Parameter Expansion

`${parameter#pattern}`

`${parameter##pattern}`

If pattern matches the beginning of the expanded value, delete:

- the shortest matching pattern, the '#' case
- the longest matching pattern, the '##' case

Example

```
$ file=myFastFile.fastq.gz
```

```
$ echo ${file#*.*}
```

```
fastq.gz
```

```
$ echo ${file##*.*}
```

```
gz
```



# Exercises / set 1



<https://bios4biol.pages.mia.inra.fr/training-aln-variant-calling/>

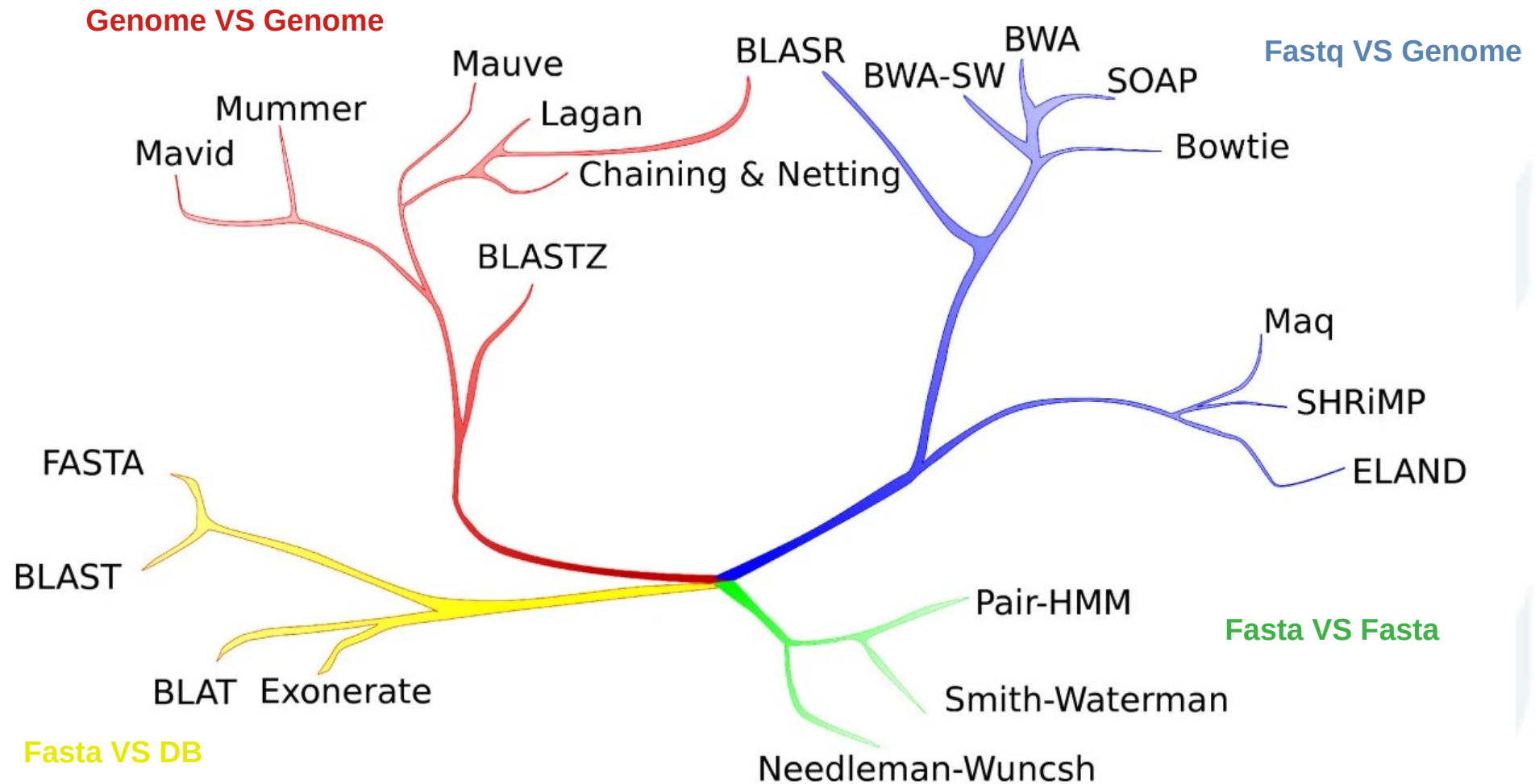


The different software generations :

- ◆ Smith-Waterman / Needleman-Wunch (1970)
- ◆ BLAST (1990)
- ◆ MAQ (2008)
- ◆ BWA (2009)
- ◆ Minimap2 (2018)



# Reads alignment



**An illustration of relationships between alignment methods.** The applications / corresponding computational restrictions shown are (green) short pairwise alignment / detailed edit model; (yellow) database search / divergent homology detection; (red) whole genome alignment / alignment of long sequences with structural rearrangements; and (blue) short read mapping / rapid alignment of massive numbers of short sequences. Although solely illustrative, methods with more similar data structures or algorithmic approaches are on closer branches. The BLASR method combines data structures from short read alignment with optimization methods from whole genome alignment.



# Reads alignment



| Thématique           | Exemples                            | Année (approx.)                                                |
|----------------------|-------------------------------------|----------------------------------------------------------------|
| Séquence vs séquence | Needleman–Wunsch, Smith–Waterman    | 1970 (NW), 1981 (SW)                                           |
| Séquence vs base     | FASTA, BLAST, HMMER                 | 1985 (FASTA), 1990 (BLAST), 1998 (HMMER)                       |
| Génome vs génome     | MUMmer, LASTZ, Mauve, Cactus        | 1999 (MUMmer), ~2004 (LASTZ), 2004 (Mauve), 2010s (Cactus)     |
| Reads vs génome      | Bowtie, BWA, STAR, HISAT2, Minimap2 | 2009 (Bowtie/BWA), 2013 (STAR), 2015 (HISAT2), 2018 (Minimap2) |
| Alignement multiple  | Clustal, MAFFT, MUSCLE              | 1987 (Clustal), 2002 (MAFFT), 2004 (MUSCLE)                    |
| Pangénomes           | VG, Giraffe                         | ~2015 (VG), 2021 (Giraffe)                                     |

| Thématique | Outils de référence                  | Particularités                          |
|------------|--------------------------------------|-----------------------------------------|
| DNA        | BWA, Bowtie2, Minimap2, Novoalign    | Reads courts/longs, génome de référence |
| RNA        | STAR, HISAT2, Salmon, Kallisto       | Épissage, quantification                |
| miRNA      | Bowtie, miRDeep2, ShortStack         | Reads très courts, annotation           |
| Bisulfite  | Bismark, BSMAP, WALT                 | Méthylation, épigénétique               |
| Pangénomes | VG, Giraffe, GraphAligner, Minigraph | Alignement sur graphes                  |



# Reads alignment



Most popular tools for mapping to a normal genomic reference (DNaseq, ChIP-Seq, sRNAseq, ...) :

Scoring of aligners for various sequencing parameters based on criteria evaluated in this study; + indicates low score, ++ indicates medium score, and +++ indicates high score.

|         | Execution time |     |     |     | Memory usage |     |     |     | Accuracy |     |     |     | % Prop. paired reads |     |     |     |
|---------|----------------|-----|-----|-----|--------------|-----|-----|-----|----------|-----|-----|-----|----------------------|-----|-----|-----|
|         | Ins. (bp)      |     | 350 |     | 550          |     | 350 |     | 550      |     | 350 |     | 550                  |     | 350 |     |
| RL (bp) | 100            | 150 | 100 | 150 | 100          | 150 | 100 | 150 | 100      | 150 | 100 | 150 | 100                  | 150 | 100 | 150 |
| BWA     | +              | +   | +   | +   | +            | +   | +   | +   | +++      | +++ | +++ | +++ | +++                  | +++ | +++ | +++ |
| Bowtie2 | ++             | ++  | ++  | ++  | +++          | +++ | ++  | ++  | +++      | +++ | +++ | +++ | ++                   | ++  | +++ | +++ |
| HISAT2  | +++            | +++ | +++ | +++ | +++          | +++ | +++ | +++ | ++       | ++  | ++  | ++  | +                    | +   | +   | +   |

<https://dx.doi.org/10.3389%2Ffgene.2018.00035>

Popular splice read aligners (RNAseq polyA+/total) :

**Table 1:** Sensitivity, precision, run times and memory usage of leading spliced aligners.

| Program | Sensitivity (%) | Precision (%) | Run time (min) | Memory usage (GB) |
|---------|-----------------|---------------|----------------|-------------------|
| HISAT2  | 97.3            | 94.8          | 26.7           | 4.3               |
| TopHat2 | 90.6            | 82.6          | 1,170          | 4.3               |
| STAR    | 96.3            | 88.3          | 25             | 28                |
| Bowtie2 | 91.1            | 79.2          | 13             | 14                |

<https://doi.org/10.23937/2378-3648/1410048>



- Fast and moderate memory footprint (<4GB)
- SAM output by default
- **Gapped** alignment for both SE and PE reads
- Effective pairing to achieve high alignment accuracy; suboptimal hits considered in pairing.
- Non-unique read is placed randomly with a mapping quality 0
- Limited number of errors (2 for 32bp, 4 for 100 bp, ...)
- The default configuration works for most typical input.
  - ◆ Automatically adjust parameters based on read lengths and error rates.
  - ◆ Estimate the insert size distribution on the fly

<http://bio-bwa.sourceforge.net/>

BIOINFORMATICS

ORIGINAL PAPER

Vol. 25 no. 14 2009, pages 1754–1760  
doi:10.1093/bioinformatics/btp324

*Sequence analysis*

## **Fast and accurate short read alignment with Burrows–Wheeler transform**

Heng Li and Richard Durbin\*

Wellcome Trust Sanger Institute, Wellcome Trust Genome Campus, Cambridge, CB10 1SA, UK

Received on February 20, 2009; revised on May 6, 2009; accepted on May 12, 2009

Advance Access publication May 18, 2009

Associate Editor: John Quackenbush



---

## *Manual Reference Pages - bwa (1)*

---

### NAME

bwa - Burrows-Wheeler Alignment Tool

### CONTENTS

- Synopsis
- Description
- Commands And Options
- Sam Alignment Format
- Notes On Short-read Alignment
  - Alignment Accuracy
  - Estimating Insert Size Distribution
  - Memory Requirement
  - Speed
- Changes In Bwa-0.6
- See Also
- Author
- License And Citation
- History

### SYNOPSIS

```
bwa index ref.fa

bwa mem ref.fa reads.fq > aln-se.sam

bwa mem ref.fa read1.fq read2.fq > aln-pe.sam

bwa aln ref.fa short_read.fq > aln_sa.sai

bwa samse ref.fa aln_sa.sai short_read.fq > aln-se.sam

bwa sampe ref.fa aln_sa1.sai aln_sa2.sai read1.fq read2.fq > aln-pe.sam

bwa bwsw ref.fa long_read.fq > aln.sam
```



→ Two steps :

◆ Indexation :

```
$ bwa index GENOME.fasta
```

◆ Alignment :

```
$ bwa mem \  
> -R "@RG\tID:1\tSM:SRR7062654\tPL:illumina\tLB:SRR7062654\tPU:1" \  
> -t4 \  
> GENOME.fa \  
> SRR7062654_R1.fastq.gz \  
> SRR7062654_R2.fastq.gz \  
> | samtools sort -> SRR7062654.bam
```

→ Meaning of the read group fields required (@RG) :

- **ID** = Read group identifier
- **PU** = Platform Unit
- **SM** = Sample
- **PL** = Platform/technology used to produce the read
- **LB** = DNA preparation library identifier



# Exercises / set 2





# Sequence Alignment/Map (SAM) format



- Data sharing was a major issue with the 1000 genomes
- Capture all of the critical information about NGS data in a single indexed and compressed file
- Generic alignment format
- Supports short and long reads (Illumina - Pacbio - ONT)
- Flexible in style, compact in size, efficient in random access

Website :

<https://www.htslib.org/>

Paper :

Twelve years of SAMtools and BCFtools

Petr Danecek, James K Bonfield, Jennifer Liddle, John Marshall, Valeriu Ohan, Martin O Pollard, Andrew Whitwham, Thomas Keane, Shane A McCarthy, Robert M Davies, Heng Li

GigaScience, Volume 10, Issue 2, February 2021, giab008, <https://doi.org/10.1093/gigascience/giab008>



# SAM format - Header section



- Header lines start with @ followed by a two-letter TYPE
- Header fields are TAG:VALUE pairs

| Type                     | Tag | Description                                                                                                              |
|--------------------------|-----|--------------------------------------------------------------------------------------------------------------------------|
| HD - header              | VN* | File format version.                                                                                                     |
|                          | SO  | Sort order. Valid values are: <i>unsorted</i> , <i>queryname</i> or <i>coordinate</i> .                                  |
|                          | GO  | Group order (full sorting is not imposed in a group). Valid values are: <i>none</i> , <i>query</i> or <i>reference</i> . |
| SQ - Sequence dictionary | SN* | Sequence name. Unique among all sequence records in the file. The value of this field is used in alignment records.      |
|                          | LN* | Sequence length.                                                                                                         |
|                          | AS  | Genome assembly identifier. Refers to the reference genome assembly in an unambiguous form. Example: HG18.               |
|                          | M5  | MD5 checksum of the sequence in the uppercase (gaps and space are removed)                                               |
|                          | UR  | URI of the sequence                                                                                                      |
|                          | SP  | Species.                                                                                                                 |
| RG - read group          | ID* | Unique read group identifier. The value of the ID field is used in the RG tags of alignment records.                     |
|                          | SM* | Sample (use pool name where a pool is being                                                                              |
|                          | LB  | Library                                                                                                                  |
|                          | DS  | Description                                                                                                              |
|                          | PU  | Platform unit (e.g. lane for Illumina or slide for                                                                       |
|                          | PI  | Predicted median insert size (maybe different from the actual median insert size)                                        |
|                          | CN  | Name of sequencing center producing the read.                                                                            |
|                          | DT  | Date the run was produced (ISO 8601 date or date/time).                                                                  |
|                          | PL  | Platform/technology used to produce the read.                                                                            |
|                          |     |                                                                                                                          |
| PG - Program             | ID* | Program name                                                                                                             |
|                          | VN  | Program version                                                                                                          |
|                          | CL  | Command line                                                                                                             |

```
@HD      VN:1.0
@SQ      SN:chr20  LN:62435964
@RG      ID:L1  PU:SC_1_10  LB:SC_1  SM:NA12891
@RG      ID:L2  PU:SC_2_12  LB:SC_2  SM:NA12891
```



Which informations are stored in a SAM file?

[https://web-genobioinfo.toulouse.inrae.fr/~formation/16\\_SGS-SNP/.formats/sam.html](https://web-genobioinfo.toulouse.inrae.fr/~formation/16_SGS-SNP/.formats/sam.html)



# SAM format - Alignment section



- 11 mandatory fields
  - Variable number of optional fields
  - Fields are tab delimited
1. **QNAME:** Query name of the read or the read pair
  2. **FLAG:** Bitwise flag (pairing, strand, mate strand, etc.)
  3. **RNAME:** Reference sequence name
  4. **POS:** 1-Based leftmost position of clipped alignment
  5. **MAPQ:** Mapping quality (Phred-scaled)
  6. **CIGAR:** Extended CIGAR string (operations: MIDNSHP)
  7. **MRNM:** Mate reference name ('=' if same as RNAME)
  8. **MPOS:** 1-based leftmost mate position
  9. **ISIZE:** Inferred insert size
  10. **SEQQuery:** Sequence on the same strand as the reference
  11. **QUAL:** Query quality (ASCII-33=Phred base quality)



# SAM format - Full example



```
@HD VN:1.5 SO:coordinate
```

```
@SQ SN:ref LN:45
```

```
r001 99 ref 7 30 8M2I4M1D3M = 37 39 TTAGATAAAGGATACTG *
r002 0 ref 9 30 3S6M1P1I4M * 0 0 AAAAGATAAGGATA *
r003 0 ref 9 30 5S6M * 0 0 GCCTAAGCTAA * SA:Z:ref,29,-,6H5M,17,0;
r004 0 ref 16 30 6M14N5M * 0 0 ATAGCTTCAGC *
r003 2064 ref 29 17 6H5M * 0 0 TAGGC * SA:Z:ref,9,+,5S6M,30,1;
r001 147 ref 37 30 9M = 7 -39 CAGCGGCAT * NM:i:1
```

Header  
section

Alignment  
section

Optional fields in the format of TAG:TYPE:VALUE

QUAL: read quality; \* meaning such information is not available

SEQ: read sequence

TLEN: the number of bases covered by the reads from the same fragment. Plus/minus means the current read is the leftmost/rightmost read. E.g. compare first and last lines.

PNEXT: Position of the primary alignment of the NEXT read in the template. Set as 0 when the information is unavailable. It corresponds to POS column.

RNEXT: reference sequence name of the primary alignment of the NEXT read. For paired-end sequencing, NEXT read is the paired read, corresponding to the RNAME column.

CIGAR: summary of alignment, e.g. insertion, deletion

MAPQ: mapping quality

POS: 1-based position

RNAME: reference sequence name, e.g. chromosome/transcript id

FLAG: indicates alignment information about the read, e.g. paired, aligned, etc.

QNAME: query template name, aka. read ID

|       |                                  |
|-------|----------------------------------|
| X?    | Reserved for end users           |
| NM    | Edit distance to the reference   |
| MD    | String for mismatching positions |
| RG    | Read group                       |
| SA    | Supp. alignment                  |
| [...] |                                  |

|   |                                |
|---|--------------------------------|
| A | Printable character            |
| I | Signed 32-bit integer          |
| F | Single-precision float number  |
| Z | Printable string               |
| H | Hex string (high nybble first) |



# SAM format - Flag field



FLAG: Combination of bitwise FLAGS.<sup>12</sup> Each bit is explained in the following table:

| Bit  | Description |                                                               |
|------|-------------|---------------------------------------------------------------|
| 1    | 0x1         | template having multiple segments in sequencing               |
| 2    | 0x2         | each segment properly aligned according to the aligner        |
| 4    | 0x4         | segment unmapped                                              |
| 8    | 0x8         | next segment in the template unmapped                         |
| 16   | 0x10        | SEQ being reverse complemented                                |
| 32   | 0x20        | SEQ of the next segment in the template being reverse comp    |
| 64   | 0x40        | the first segment in the template                             |
| 128  | 0x80        | the last segment in the template                              |
| 256  | 0x100       | secondary alignment                                           |
| 512  | 0x200       | not passing filters, such as platform/vendor quality controls |
| 1024 | 0x400       | PCR or optical duplicate                                      |
| 2048 | 0x800       | supplementary alignment                                       |

|                                           |
|-------------------------------------------|
| Read paired                               |
| Read mapped in proper pair                |
| Read unmapped                             |
| Mate unmapped                             |
| Read reverse strand                       |
| Mate reverse strand                       |
| First in pair                             |
| Second in pair                            |
| Not primary alignment                     |
| Read fails platform/vendor quality checks |
| Read is PCR or optical duplicate          |
| Supplementary alignment                   |

<https://broadinstitute.github.io/picard/explain-flags.html>



# SAM format - Extended CIGAR



Ref: GCATTCAGATGCAGTACGC

Read: ccTCAG--GCATTAgtg

POS CIGAR

5 2S4M2D6M3S

| Op | BAM | Description                                           |
|----|-----|-------------------------------------------------------|
| M  | 0   | alignment match (can be a sequence match or mismatch) |
| I  | 1   | insertion to the reference                            |
| D  | 2   | deletion from the reference                           |
| N  | 3   | skipped region from the reference                     |
| S  | 4   | soft clipping (clipped sequences present in SEQ)      |
| H  | 5   | hard clipping (clipped sequences NOT present in SEQ)  |
| P  | 6   | padding (silent deletion from padded reference)       |
| =  | 7   | sequence match                                        |
| X  | 8   | sequence mismatch                                     |



# SAM format - Extended CIGAR



|   |   |                                                 |
|---|---|-------------------------------------------------|
| P | 6 | padding (silent deletion from padded reference) |
|---|---|-------------------------------------------------|

```
REF: CACGATCA**GACCGATACGTCCGA
READ1:  CGATCAGAGACCGATA
READ2:  ATCA*AGACCGATAC
READ3:  GATCA**GACCG
READ1: 6M2I8M
READ2: 4M1P1I9M
READ3: 5M2P5M
```

```
REF: CACGATCA**GACCGATACGTCCGA
READ1:  CGATCAGAGACCGATA
READ2:  ATCAA*GACCGATAC
READ3:  GATCA**GACCG
READ1: 6M2I8M
READ2: 4M1I1P9M
READ3: 5M2P5M
```

|   |   |                                   |
|---|---|-----------------------------------|
| N | 3 | skipped region from the reference |
|---|---|-----------------------------------|

```
REF: AGCTAGCATCGTGTCGCCCGTCTAGCATACGCATGATCGACTGTCAGCTAGTCAGACTAGTCGATCGATGTG
READ: GTGTAACCC.....TCAGAATA
```

where ‘...’ on the read sequence indicates the intron. The CIGAR for this alignment is: 9M32N8M.

All you need to keep in mind to work with SAM files

<https://www.samformat.info/>



# BAM / CRAM formats



## **BAM** ([format spec.](#))

- Binary representation of SAM
- Compressed by BGZF library
- Greatly reduces size to about 27% of original SAM

## **CRAM** ([format spec.](#))

- More highly compressed alternative to BAM
- Reference based compression
- Only base calls that differ need to be stored



- Library and software package
- Create, sort and index BAM files from SAM files
- Remove PCR duplicates
- Merge alignments
- Visualization of alignments from BAM files
- SNP and short INDELs detection

<https://www.htslib.org/doc/samtools.html>



- SAMtools complementary package
- Numerous tools for manipulating SAM/BAM (n > 80)
- More format conversion than SAMtools
- More tools to collect metrics
- Natively compatible with GATK
- Provided as a single executable jar file

```
java jvm-args -jar picard.jar PicardToolName \  
  OPTION1=value1 OPTION2=value2...
```

<https://broadinstitute.github.io/picard/>



# Exercise / set 3





# Visualizing the alignment - IGV



## IGV Integrative Genomics Viewer

<https://software.broadinstitute.org/software/igv/>



Integrative  
Genomics  
Viewer

- Home
- Downloads
- Documents
  - FAQ
    - IGV Quick Start
    - IGV User Guide
    - File Formats
    - Release Notes
    - Acknowledgments
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### Home



### What's New

**May 10, 2010.** New and updated genomes have been added: Zebrafish (danRer 6), Opossum (monDom5), and Rice (O. Sativia release 6.1).

**February 5, 2010.** IGV version 1.4.2 has been released. See the [release notes](#) for details.

[More](#)

### Downloads

Please [register](#) to download IGV. After registering, you can log in at any time using your email address.

### Funding

Development of IGV is made possible by funding from the



# Visualizing the alignment - IGV

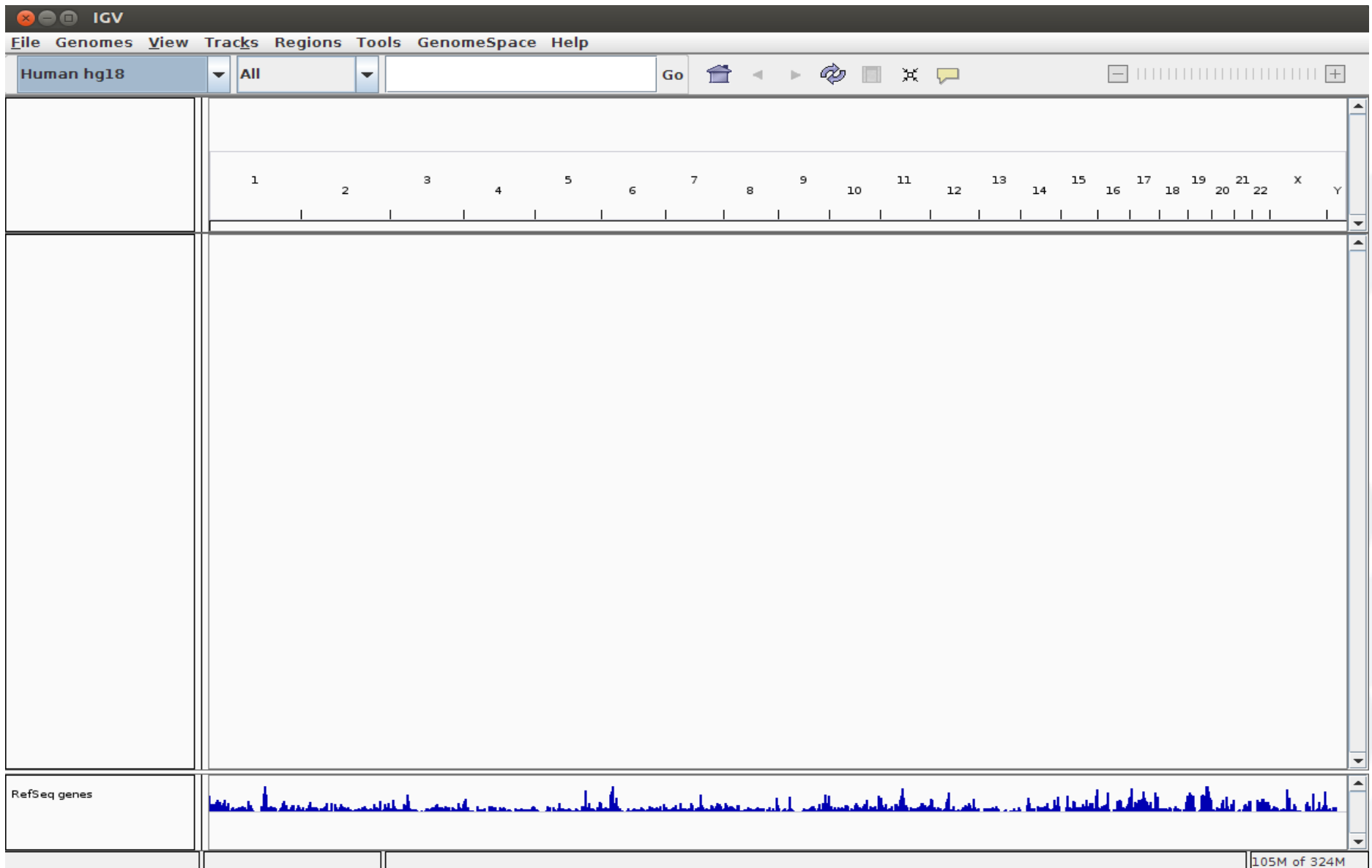


- High-performance visualization tool
- Interactive exploration of large, integrated datasets
- Supports a wide variety of data types
- Documentations
- Developed at the Broad Institute of MIT and Harvard

- [BAM](#)
- [BED](#)
- [BEDPE](#)
- [BedGraph](#)
- [bigBed](#)
- [bigWig](#)
- [Birdsuite Files](#)
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- [CBS](#)
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- [FASTA](#)
- [GCT](#)
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- [genePred](#)
- [GFF/GTF](#)
- [GISTIC](#)
- [Goby](#)
- [GWAS](#)
- [IGV](#)
- [LOH](#)
- [MAF \(Multiple Alignment Format\)](#)
- [MAF \(Mutation Annotation Format\)](#)
- [Merged BAM File](#)
- [MUT](#)
- [narrowPeak](#)
- [PSL](#)
- [RES](#)
- [RNA Secondary Structure Formats](#)
- [SAM](#)
- [Sample Info \(Attributes\) file](#)
- [SEG](#)
- [TDF](#)
- [Track Line](#)
- [Type Line](#)
- [VCF](#)
- [WIG](#)

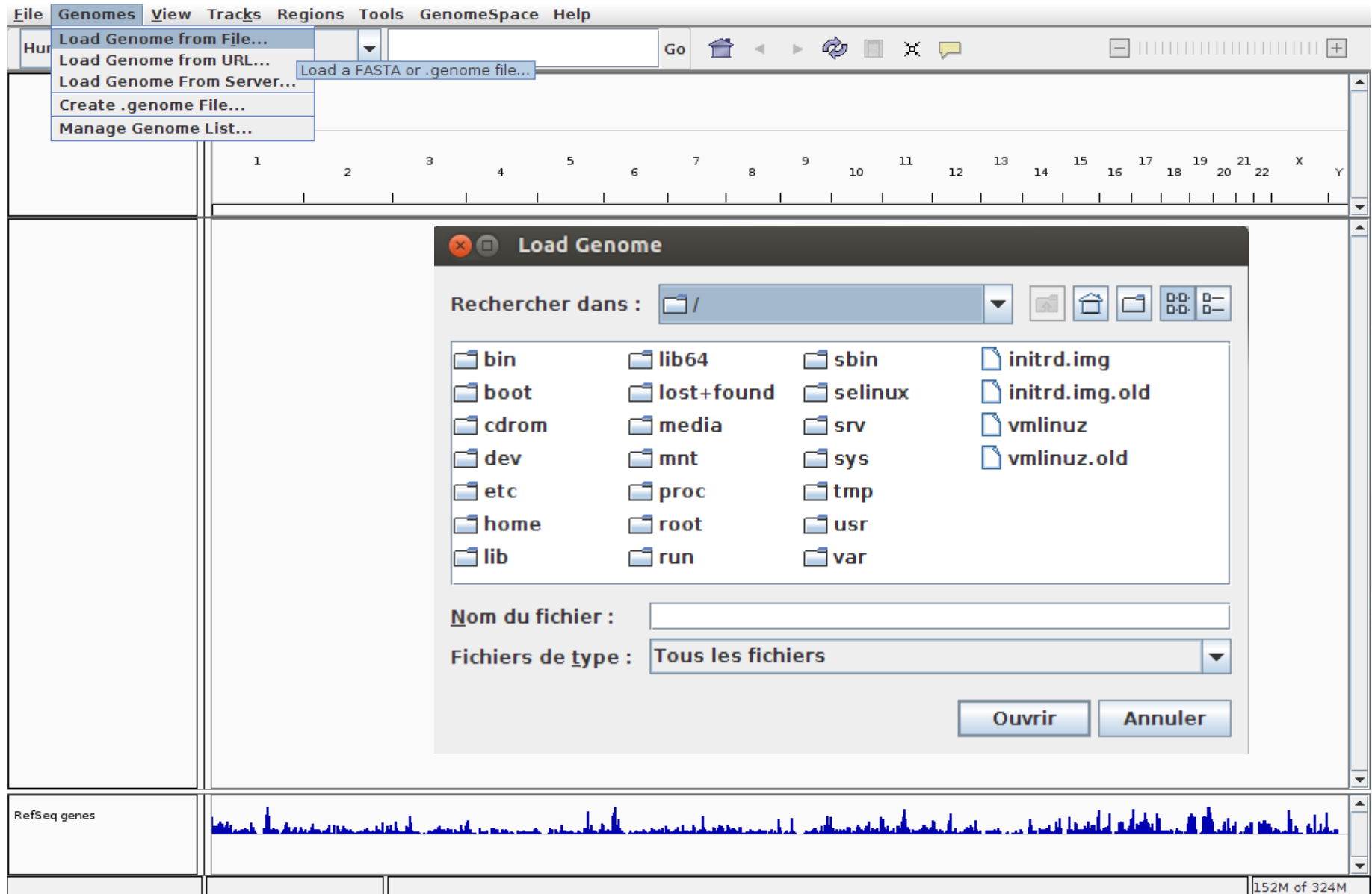


# Visualizing the alignment - IGV



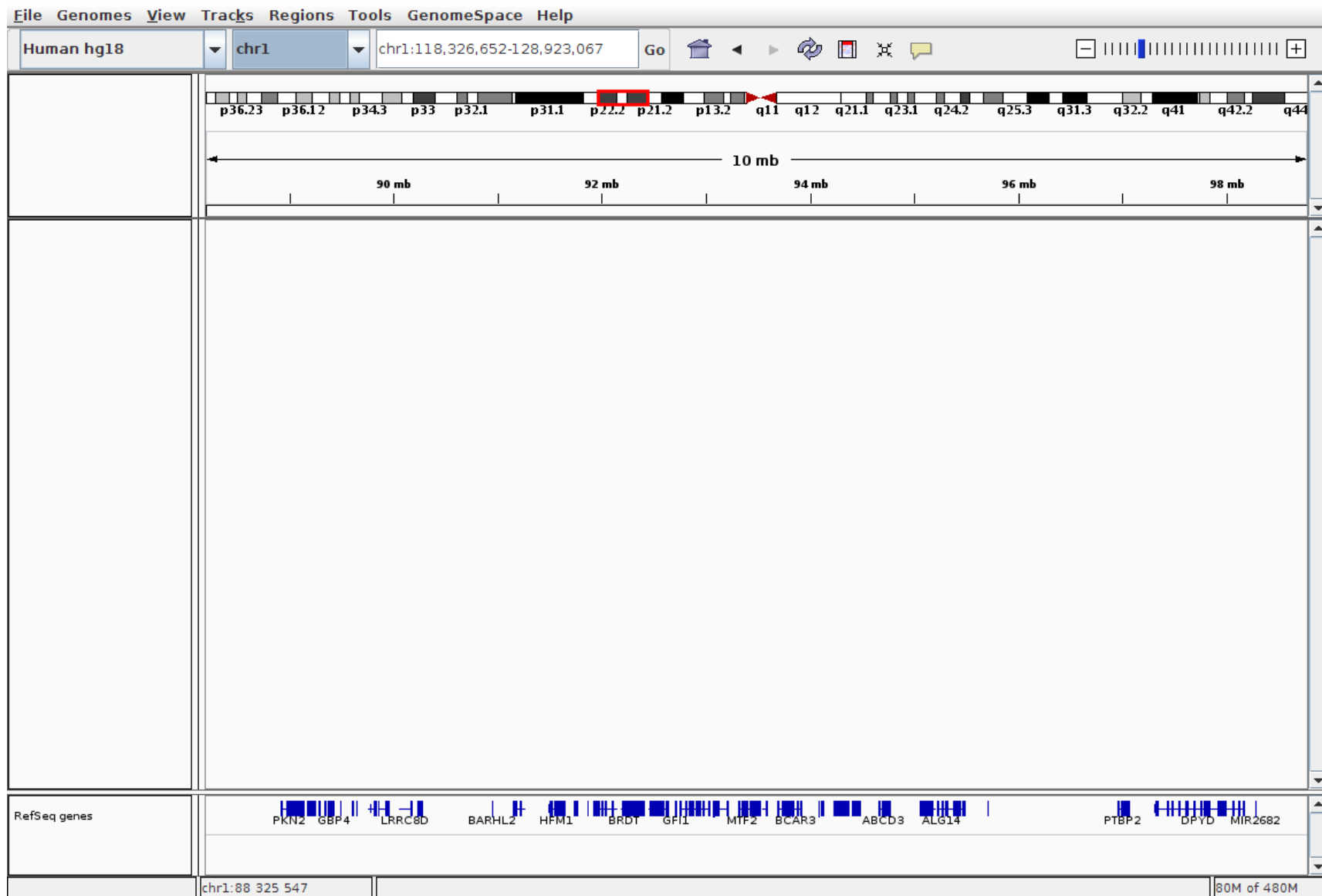


# IGV - Loading the reference





# IGV - Loading the reference





# IGV - Loading the bam file



File Genomes View Tracks Regions Tools GenomeSpace Help

Load from File... Load from URI... Load from Server... Load from DAS... New Session... Open Session... Save Session... Save Image ... Exit

chr1 chr1:118,326,652-128,923,067 Go

Rechercher dans : CORRECTION

- bam.intervals
- empty.vcf
- empty.vcf.idx
- ERR000017.bam
- ERR000017.bam.bai
- ERR000017.fastq
- ERR000017.sai
- ERR000017.sam
- ERR000017\_rmdup.bam
- ERR000017\_rmdup.bam.bai
- ERR000017\_rmdup\_realign.bai
- ERR000017\_rmdup\_realign.bam
- ERR000017\_rmdup\_realign\_reo
- ERR000017\_rmdup\_realign\_reo
- ERR003037.bam
- ERR003037.bam.bai
- ERR003037.fastq
- ERR003037.sai
- ERR003037.sam
- ERR003037\_rmdup.bam
- ERR003037\_rmdup.bam.bai
- ERR003037\_rmdup\_realign.bai
- ERR003037\_rmdup\_realign.bar
- ERR003037\_rmdup\_realign\_reo

Nom de fichier : "ERR000017.bam" "ERR003037.bam"

Fichiers du type : Tous les fichiers

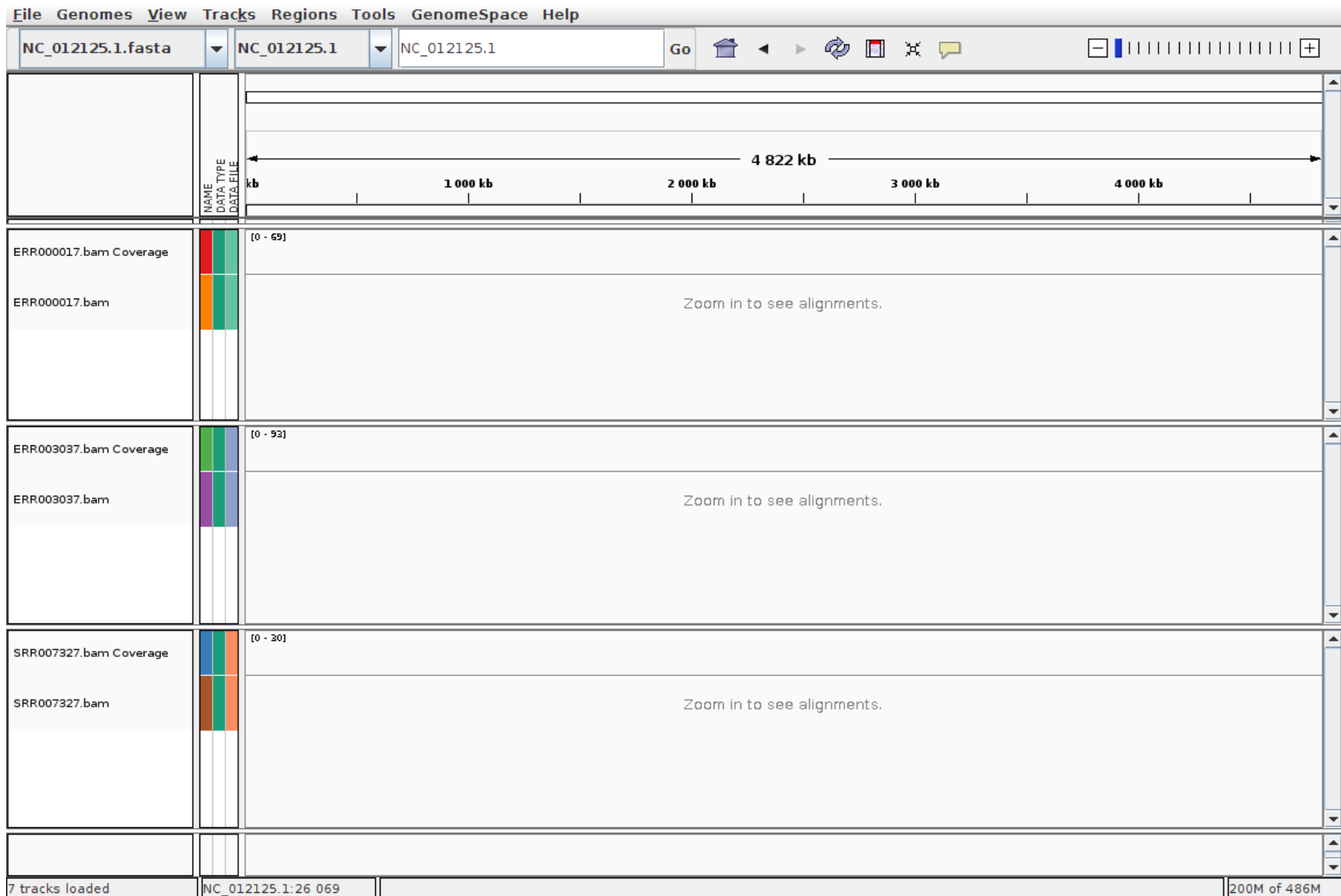
Ok Annuler

RefSeq genes

2 tracks loaded chr1:88 899 520 106M of 480M

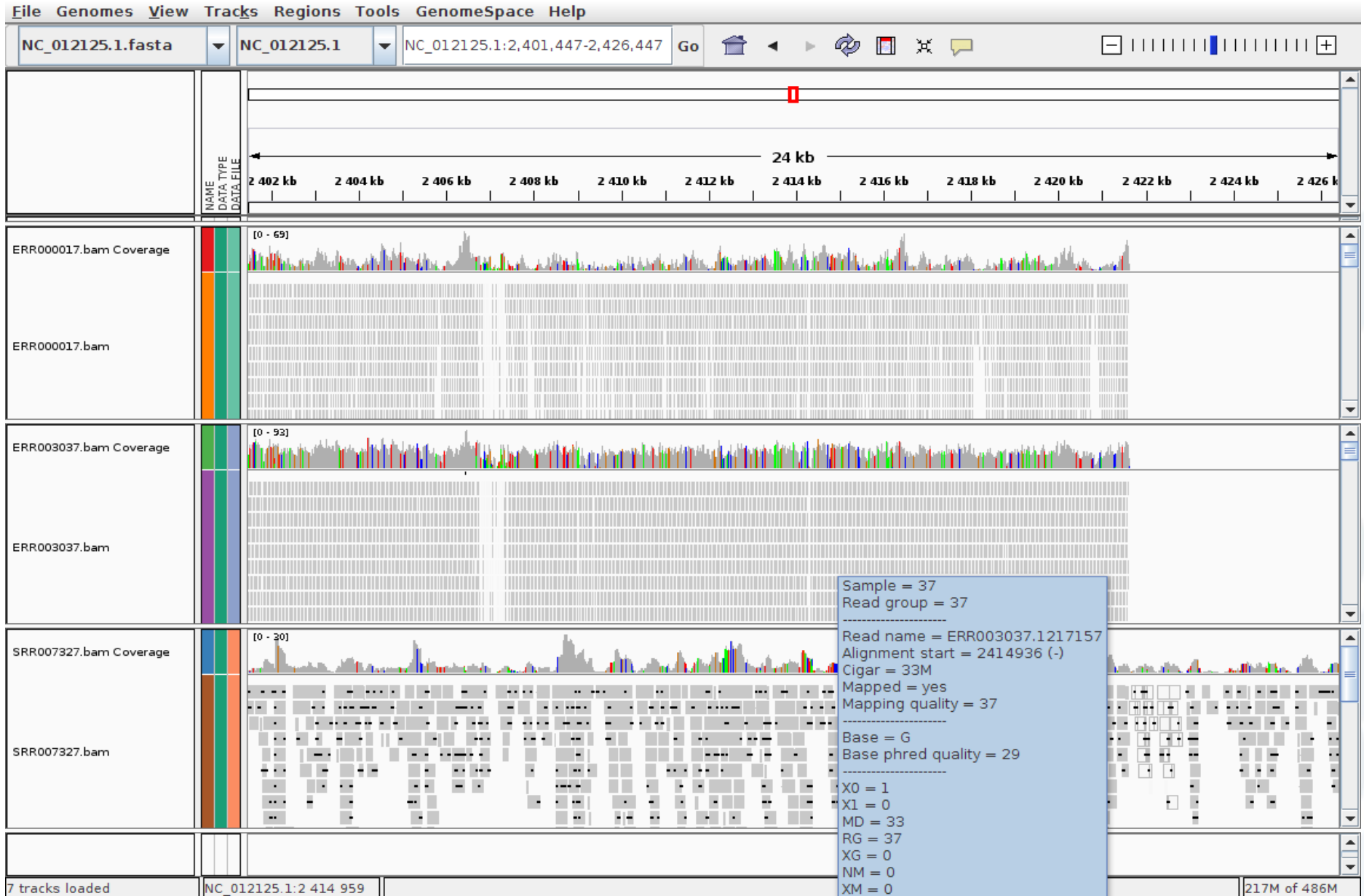


# IGV - Loading the bam file





# IGV - Zoom

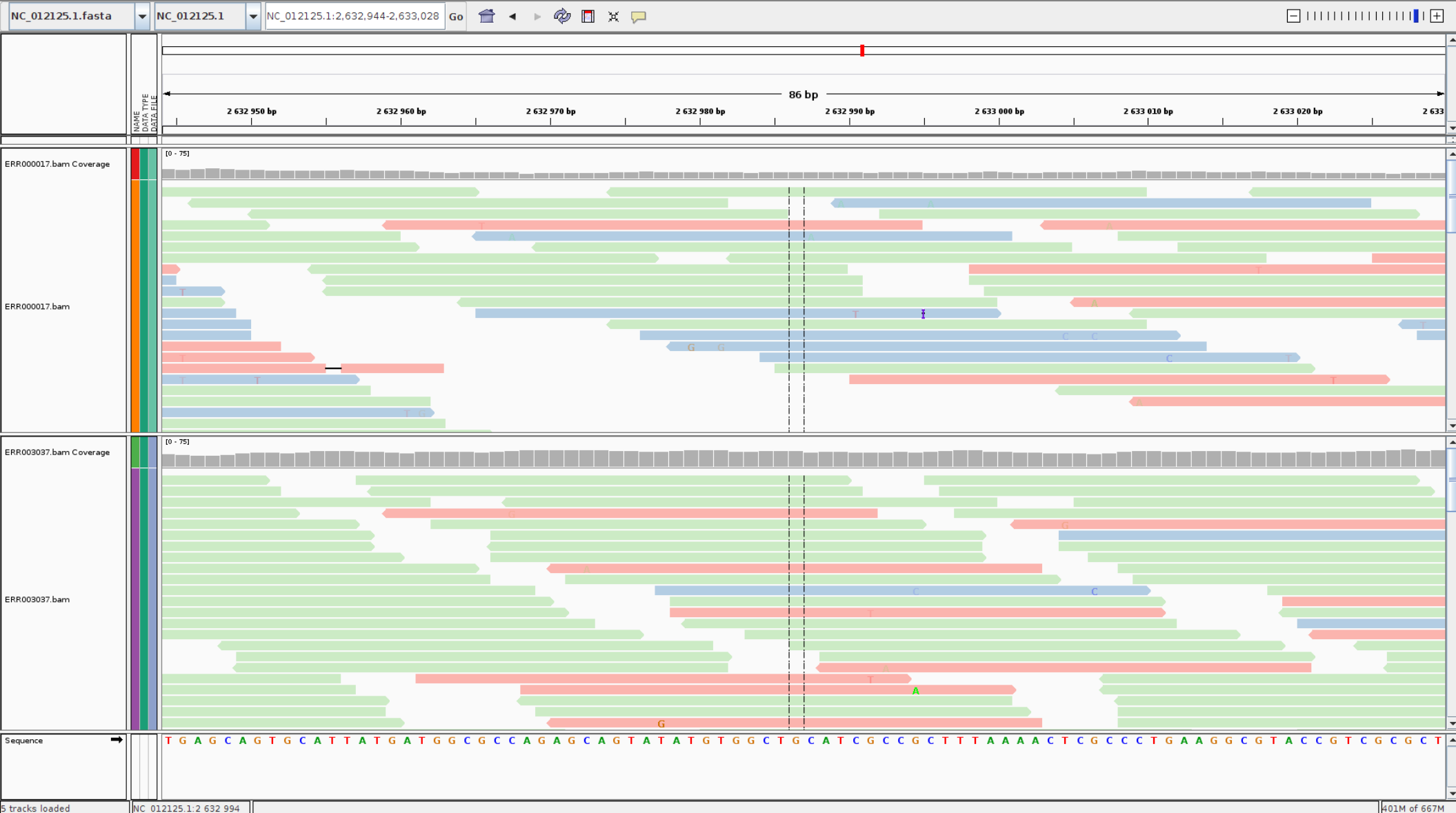




# IGV - Zoom



File Genomes View Tracks Regions Tools GenomeSpace Help



5 tracks loaded NC\_012125.1:2 632 994 401M of 667M

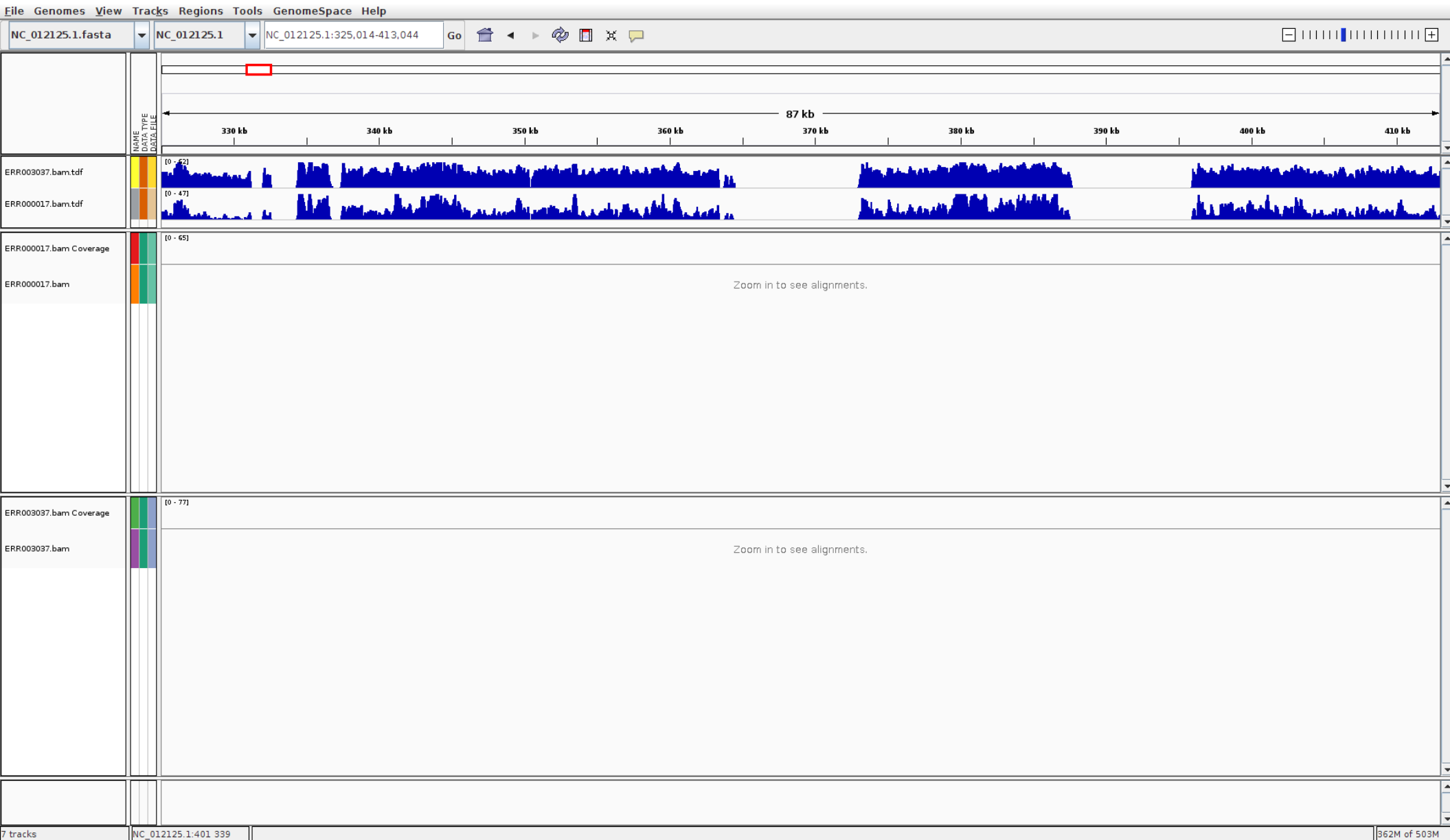


# IGV - Loading an annotation file





# IGV - Coverage





# Exercise / set 4





# Variant Calling methodology



→ Several Variant callers :

- Samtools mpileup
- GATK

- FreeBayes
- DeepVariant

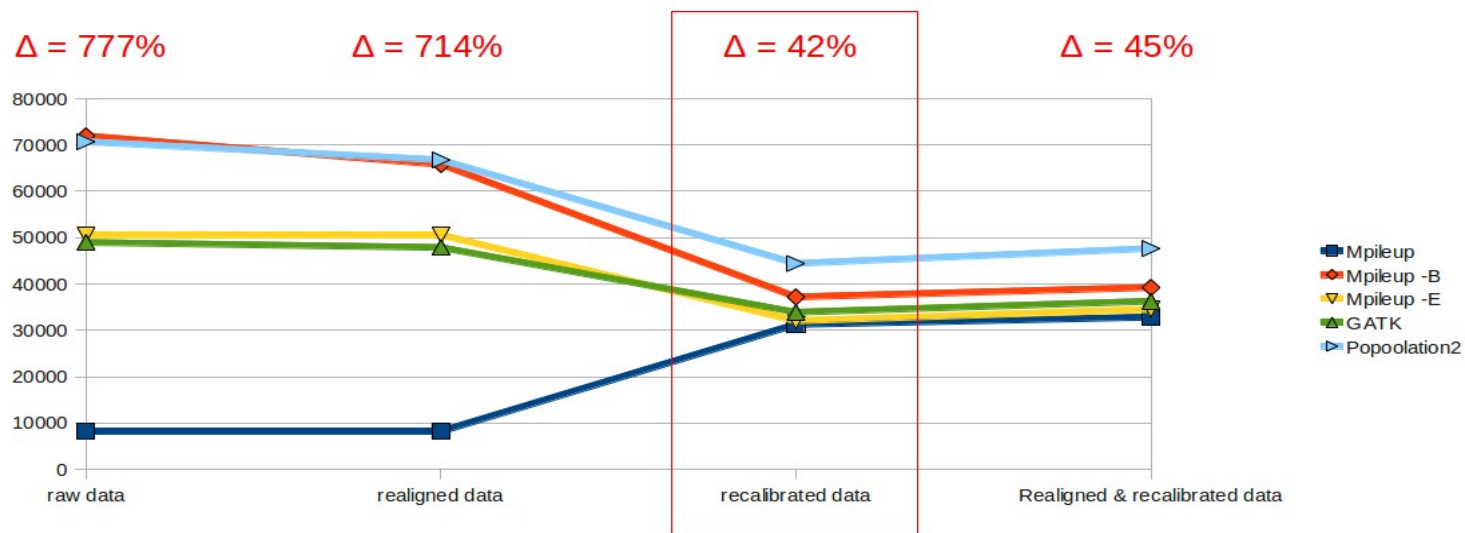
- ...



# Why GATK ?

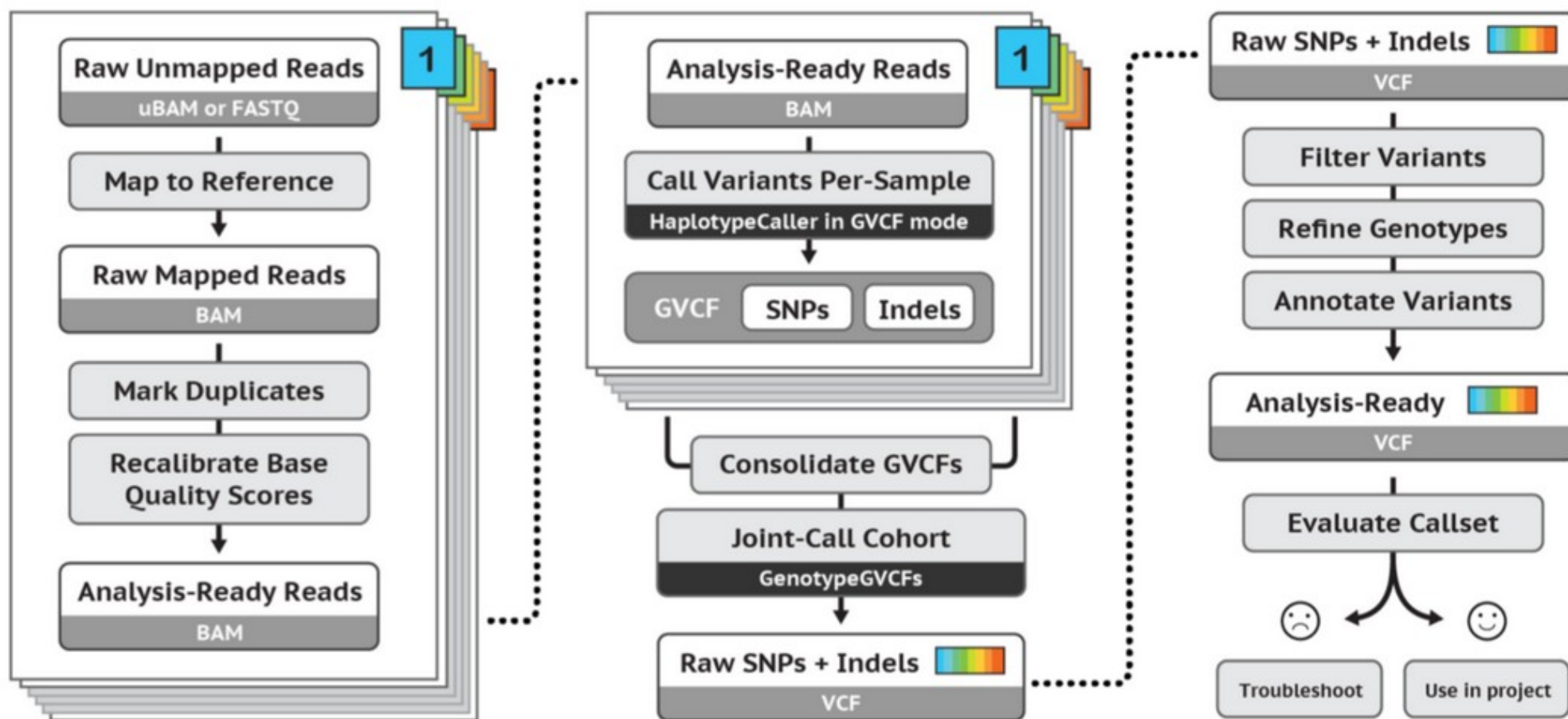


- 1000 genomes project
- Very used and well documented
- RNAseq – DNaseq
- Several technologies supported
- Tested on our data :





# THE GATK best practices





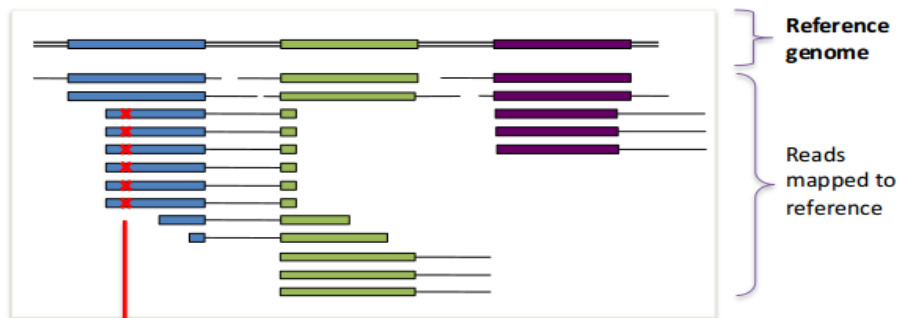
# Pre-processing – MarkDuplicates



→ Remove/mark duplicates (PCR and/or Optical)

The reason why duplicates are bad

✗ = sequencing error propagated in duplicates



FP variant call  
(bad)

After marking duplicates, the GATK will only see :



... and thus be more likely to make the right call

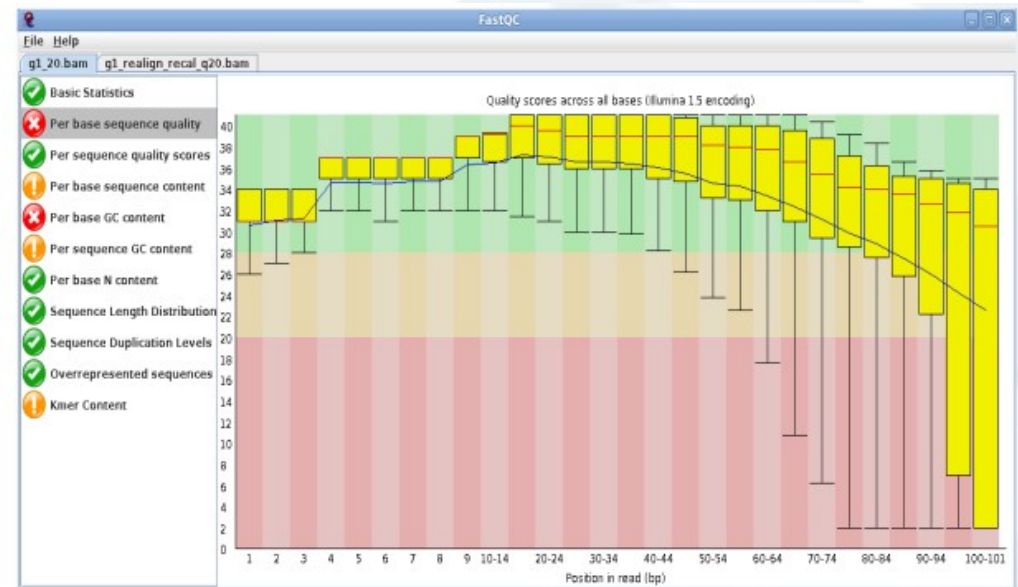
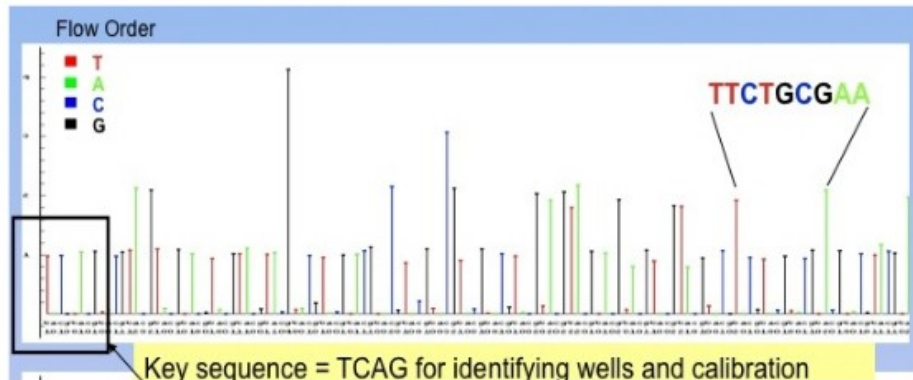
```
$ gatk --java-options "-Xms4000m -Xmx7g" MarkDuplicates \  
> --INPUT SRR7062654.bam --METRICS_FILE SRR7062654.bam.metrics \  
> --TMP_DIR . \  
> --ASSUME_SORT_ORDER coordinate \  
> --CREATE_INDEX true \  
> --OUTPUT SRR7062654.md.bam
```



# Pre-processing – BQSR



- Short variant calling algorithms rely heavily on the quality score
- Scores produced by the machines are subject to various sources of systematic (non-random) technical error
- The base quality provided by the sequencers is too inaccurate to be kept





# Pre-processing – BQSR step1



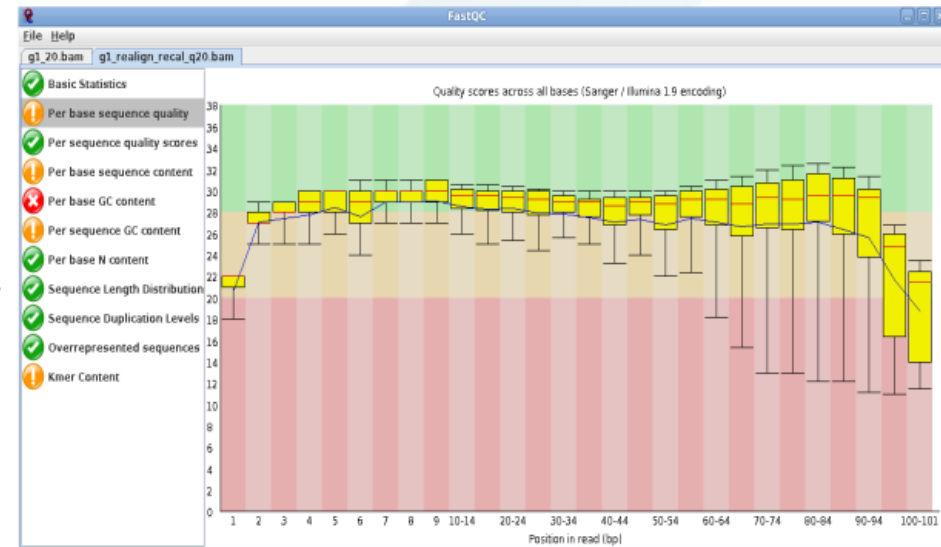
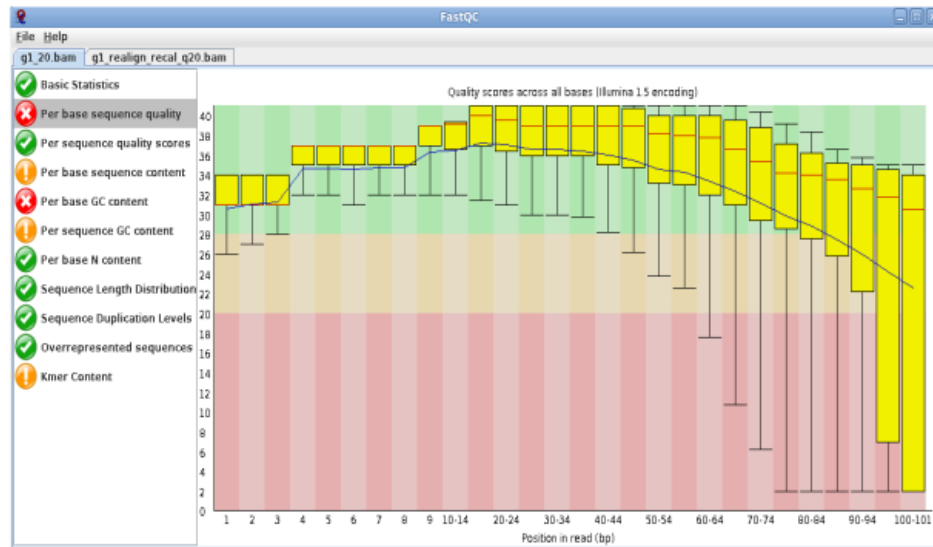
- **Needs knowledge of real SNP** => mask out bases at sites of real (expected) variation
- BaseRecalibrator builds the model:
  - ◆ Read group the read belongs to
  - ◆ Quality score reported by the machine
  - ◆ Machine cycle producing this base (Nth cycle = Nth base from the start of the read)
  - ◆ Current base + previous base (dinucleotide)



# Pre-processing – BQSR step2



→ ApplyBQSR adjusts the scores



<https://gatk.broadinstitute.org/hc/en-us/articles/360035890531-Base-Quality-Score-Recalibration-BQSR->



→ Two steps :

- ◆ BaseRecalibrator builds the model

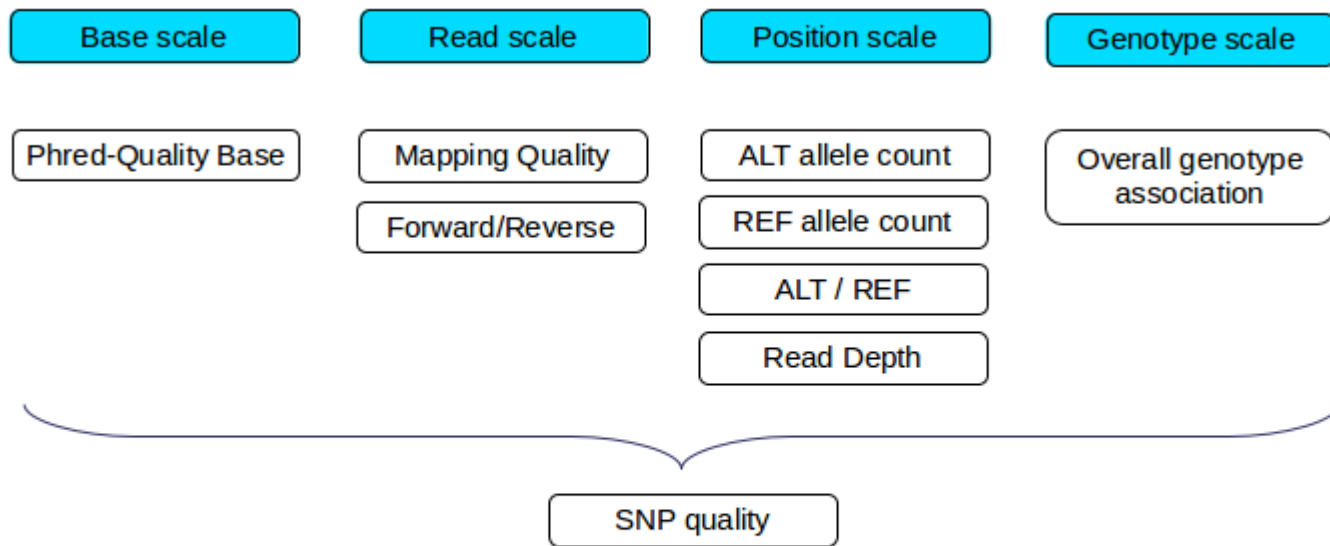
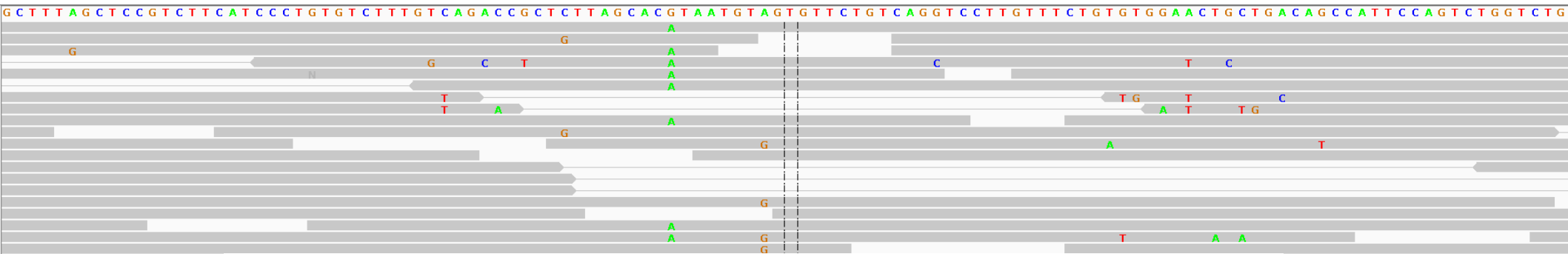
```
$ gatk --java-options -Xmx7g BaseRecalibrator \  
> -I SRR7062654.md.bam \  
> -O SRR7062654.md.recal.table \  
> --tmp-dir . \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> --known-sites Gallus_gallus_incl_consequences_chr25-26.vcf \  
> --verbosity INFO
```

- ◆ ApplyBQSR adjusts the scores :

```
$ gatk --java-options -Xmx14g ApplyBQSR \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> --input SRR7062654.md.bam \  
> --output SRR7062654.md.recal.bam \  
> --bqsr-recal-file SRR7062654.md.recal.table
```



# Variant discovery



$$10 \Rightarrow P_{\text{error}} = 1 / 10$$

$$30 \Rightarrow P_{\text{error}} = 1 / 1000$$



# GATK – HaplotypeCaller



- Call SNPs and indels via local re-assembly of haplotypes
- How HaplotypeCaller work:
  1. Define active regions
  2. Determine haplotypes by assembly of the active regions
    1. Reassemble region and identifies haplotypes (De Bruijn-like graph)
    2. realigns each haplotype against the reference haplotype (Smith-Waterman) in order to identify potentially variant sites
  3. Determine likelihoods of the haplotypes given the read data
  4. Assign sample genotypes

```
$ gatk --java-options "-Xmx10g -Xms1000m" HaplotypeCaller \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> -I SRR7062654.md.recal.bam \  
> -O SRR7062654.md.recal.g.vcf \  
> -ERC GVCF
```



# GATK – CombineGVCFs



- Merges one or more HaplotypeCaller GVCF files into a single GVCF
- Combine per-sample gVCF files produced by HaplotypeCaller into a multi-sample gVCF file

```
$ gatk --java-options -Xmx10g CombineGVCFs \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> -O SRR.g.vcf \  
> --variant SRR7062654.md.recal.g.vcf \  
> --variant SRR7062655.md.recal.g.vcf
```



# GATK – GenotypeGVCFs

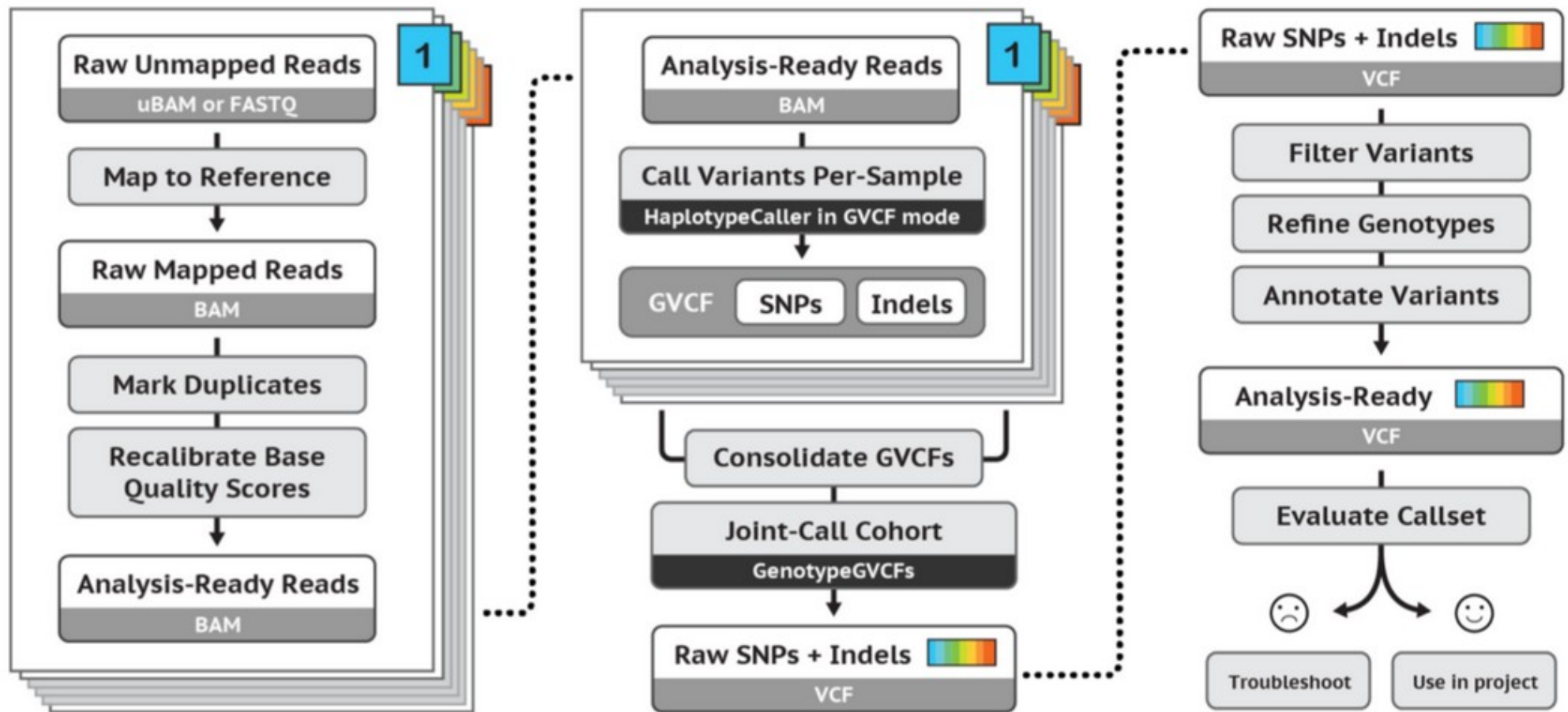


- Perform joint genotyping on one or more samples pre-called with HaplotypeCaller

```
$ gatk --java-options "-Xmx10g" GenotypeGVCFs \  
> -R Gallus_gallus.Gallus_gallus-5.0.dna.toplevel_chr25-26.fa \  
> -V SRR.g.vcf \  
> -O SRR.vcf.gz
```



# THE GATK best practices





# Exercise / set 5





→ Which informations have been stored in VCF ?

[https://web-genobioinfo.toulouse.inrae.fr/~formation/16\\_SGS-SNP/.formats/vcf.html](https://web-genobioinfo.toulouse.inrae.fr/~formation/16_SGS-SNP/.formats/vcf.html)



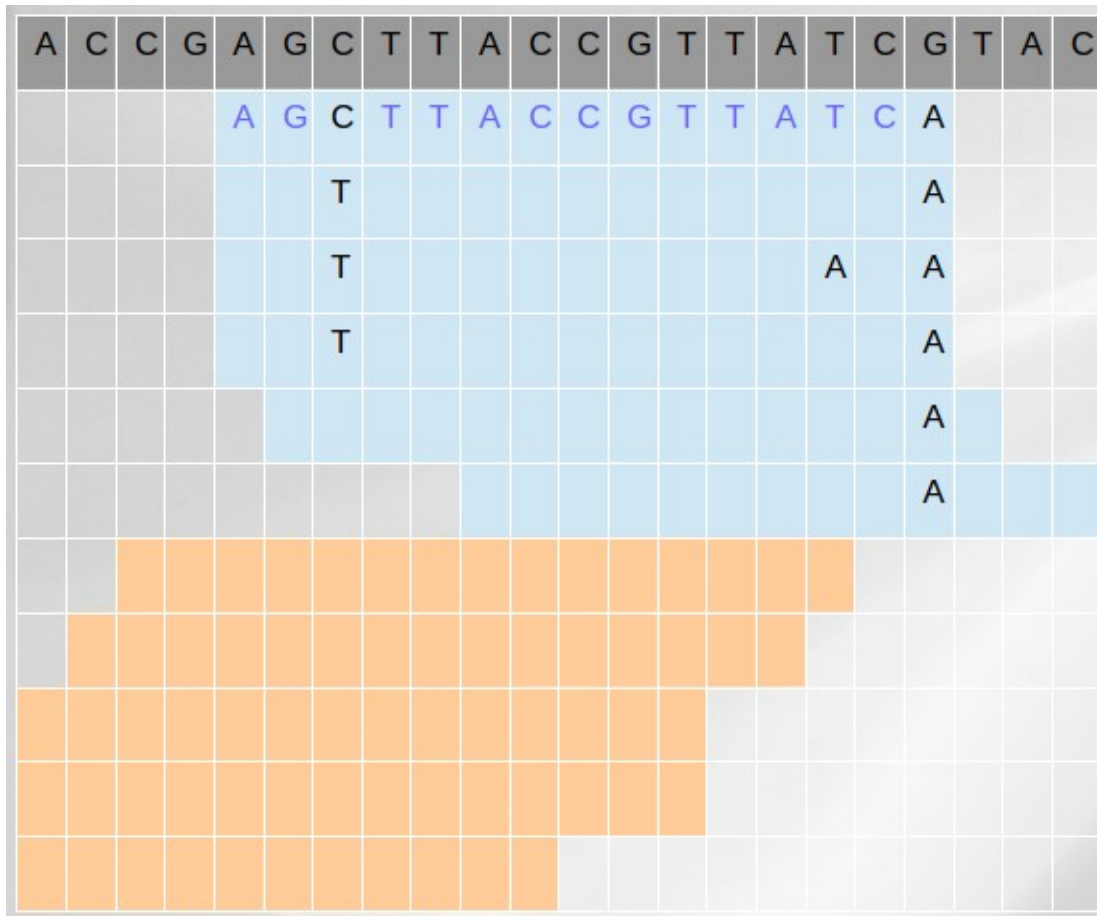
# Variant calling Format (VCF)



- <http://vcftools.sourceforge.net/specs.html>
- Tab-delimited file
- Basic documentation inside
- Header lines start with ## or #
- Give informations of data/tools/parameters used
- Variant lines represent a position on the genome



# The VCF format : example



chr1

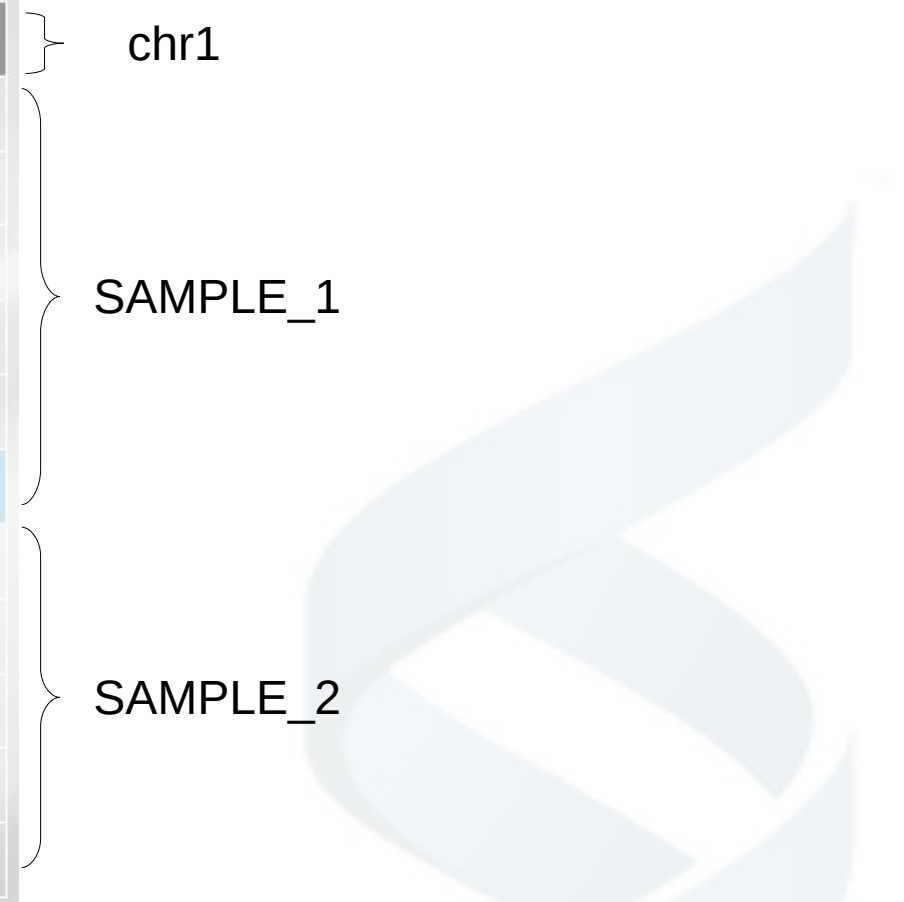
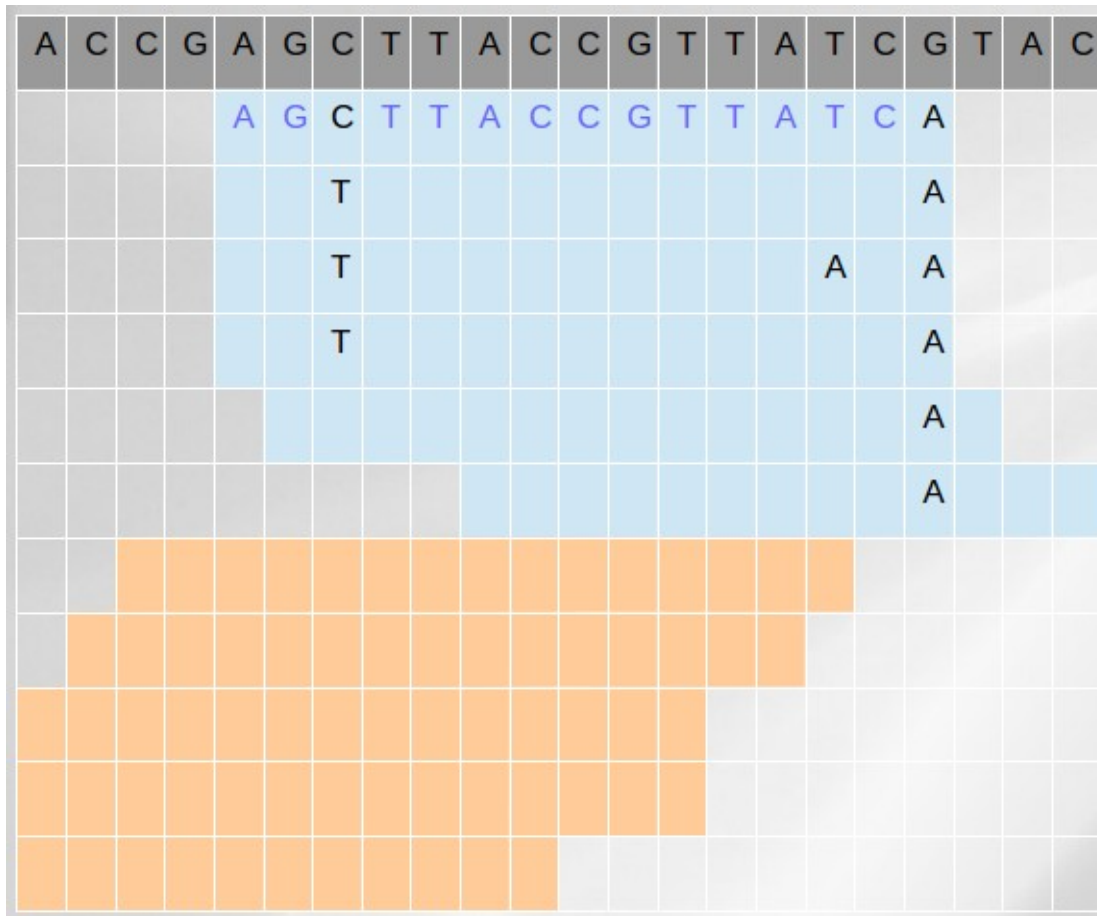
SAMPLE\_1

SAMPLE\_2

| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |



# The VCF format : example

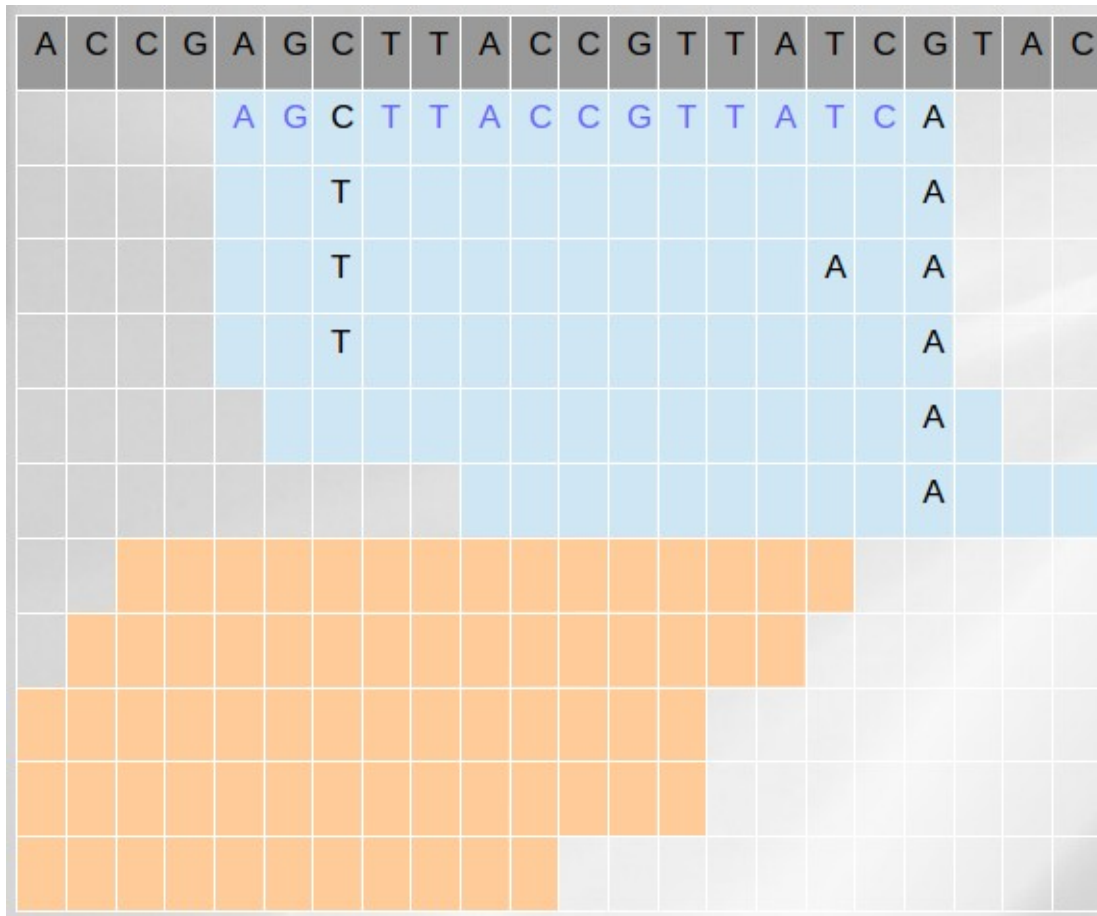


| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

The Phred scaled probability that a REF/ALT polymorphism exists at this site given sequencing data



# The VCF format : example



chr1

SAMPLE\_1

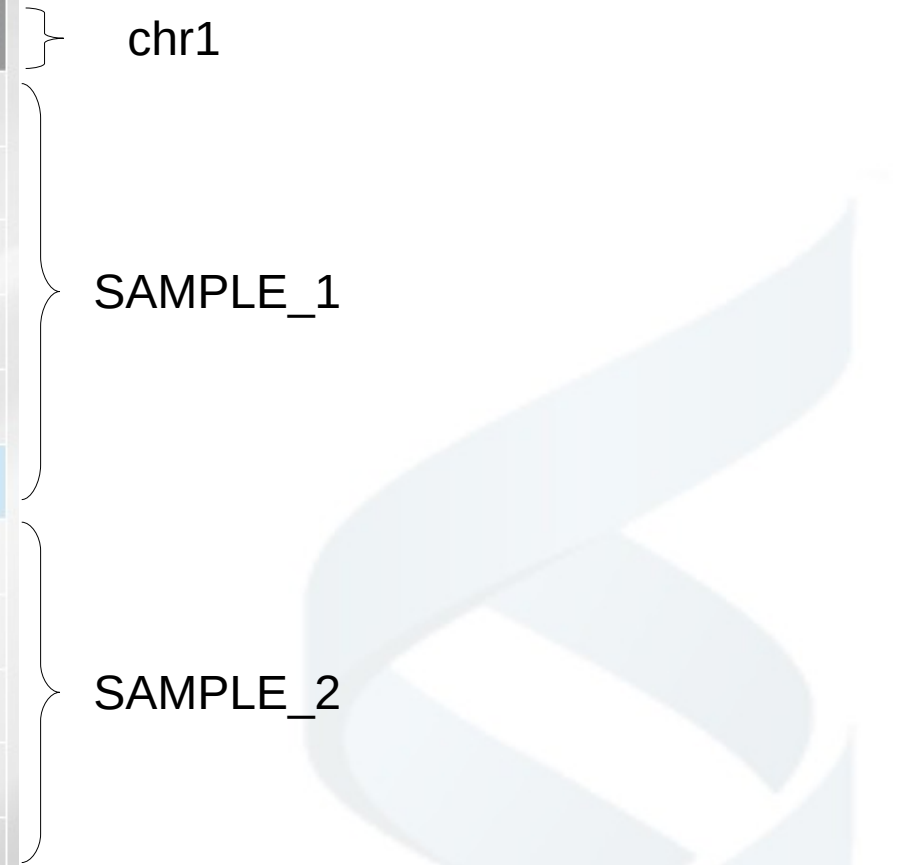
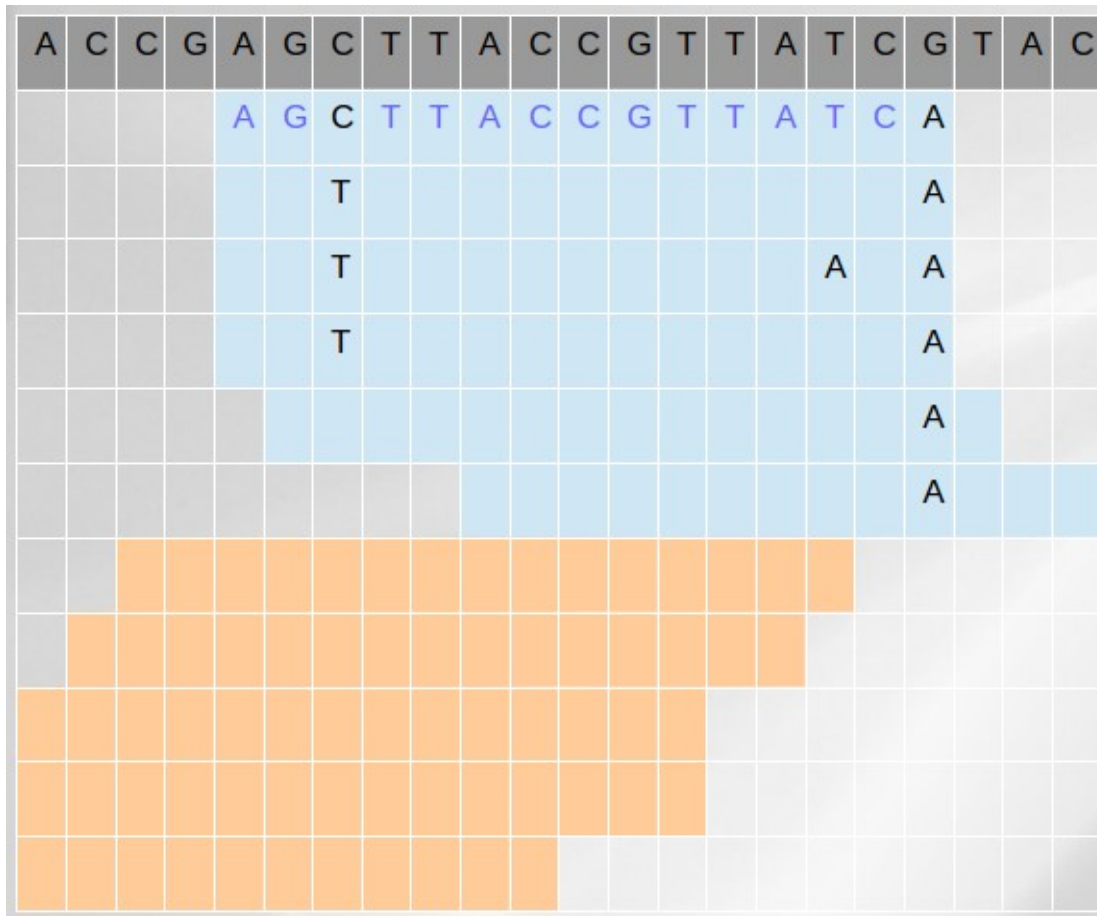
SAMPLE\_2

| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

[TAG=VALUE]  
DP=45



# The VCF format : example

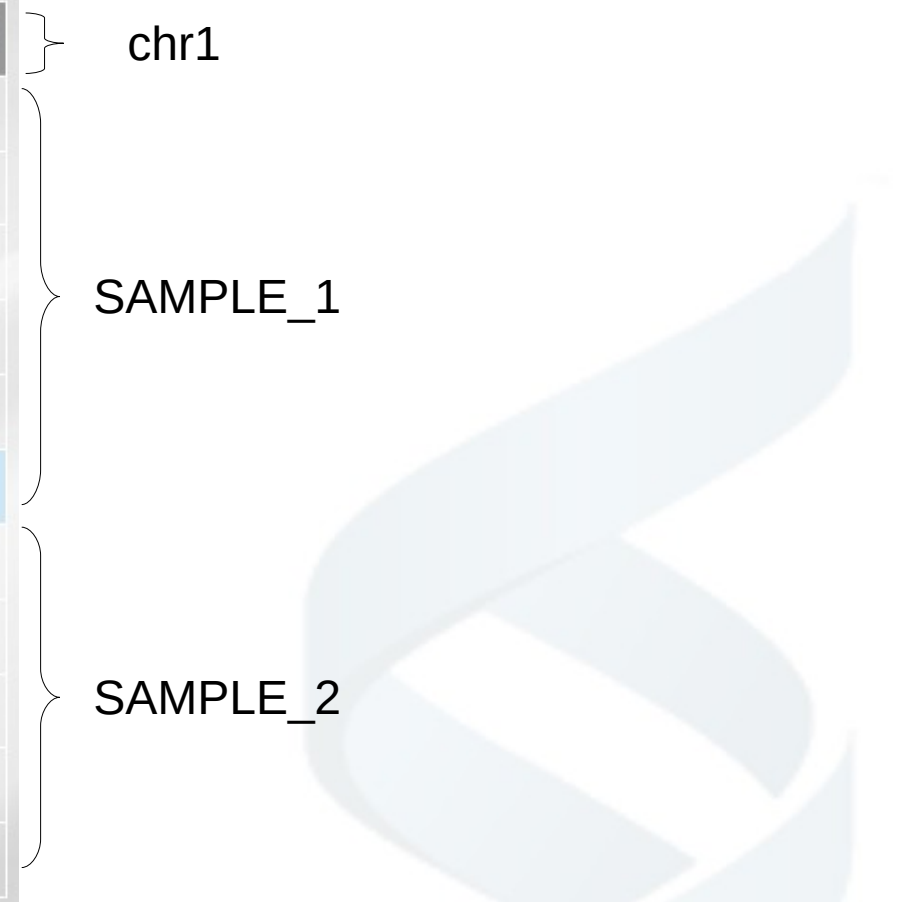
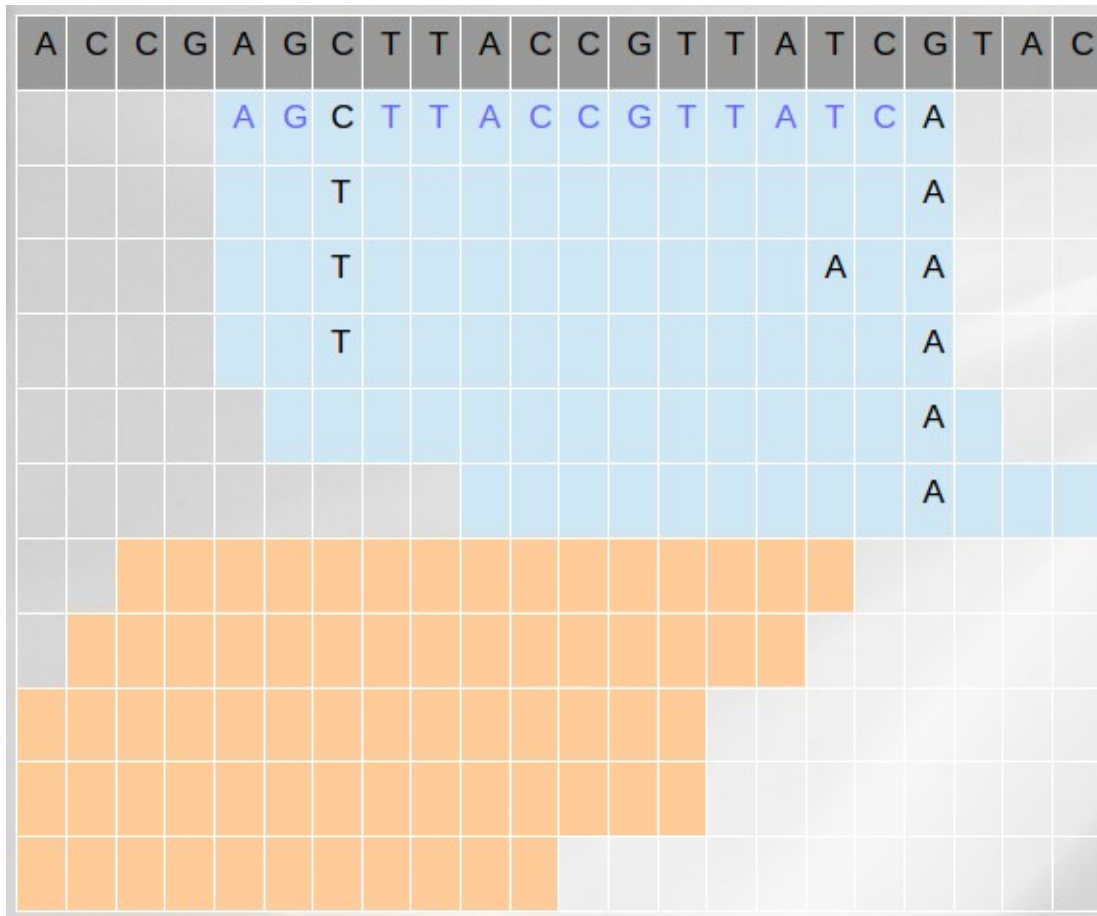


| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

0/1 : homozygous reference  
 0/1 : heterozygous  
 1/1 : homozygous alternative



# The VCF format : example

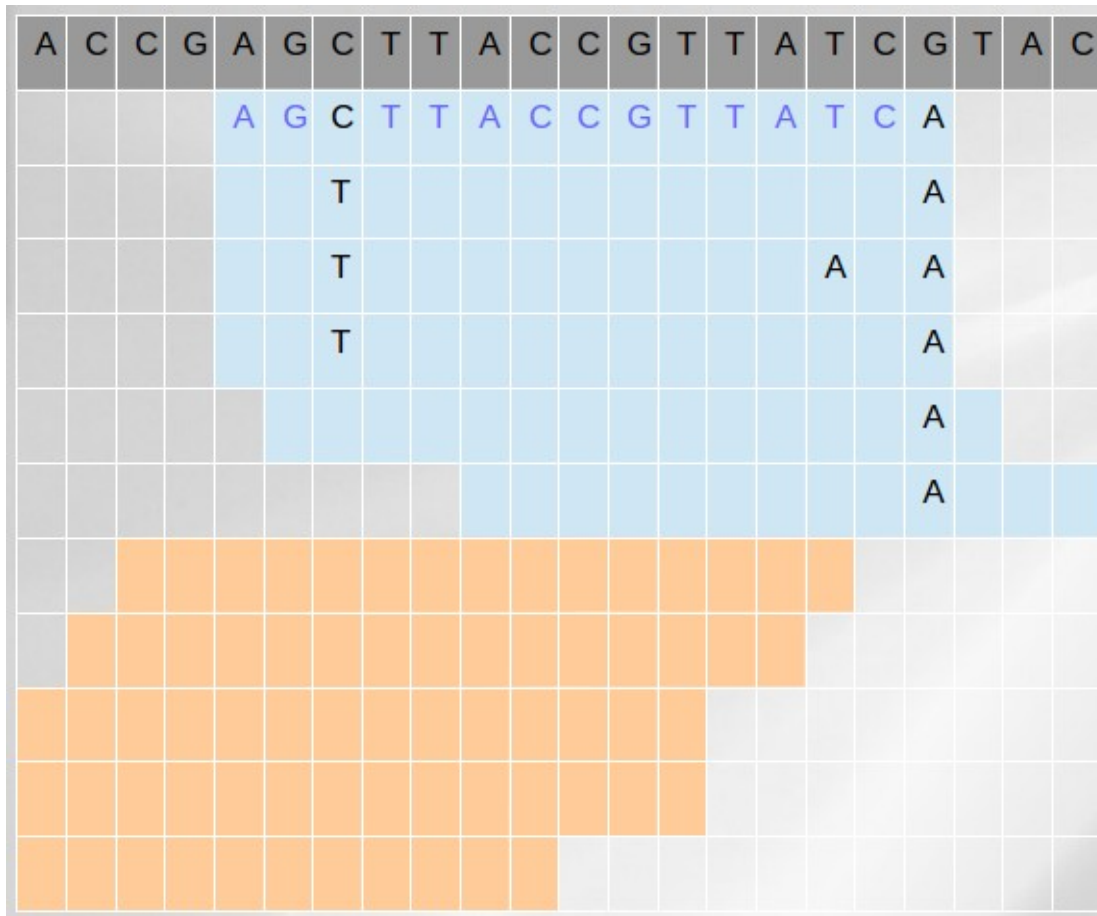


| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFO   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|--------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFO] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFO] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

count REF,count ALT [,count ALT2...]



# The VCF format : example



chr1

SAMPLE\_1

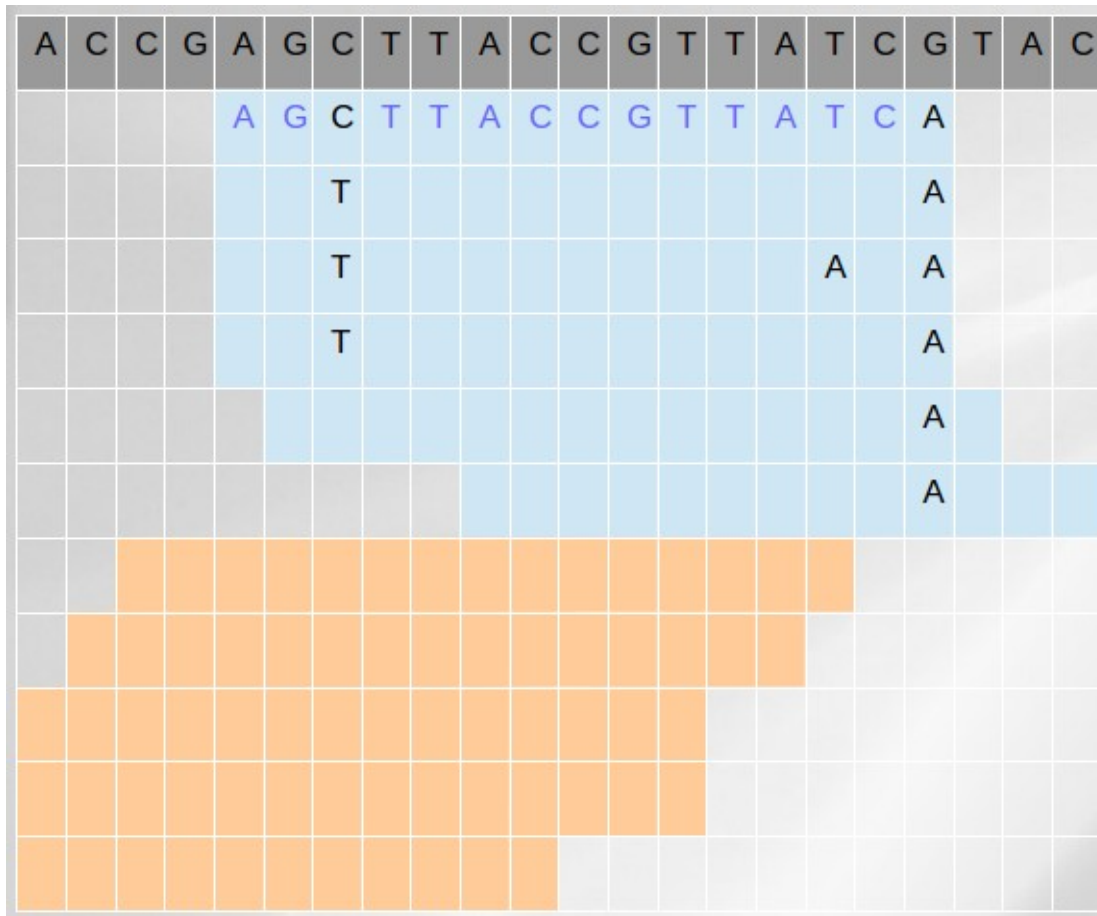
SAMPLE\_2

| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

Depth position



# The VCF format : example



chr1

SAMPLE\_1

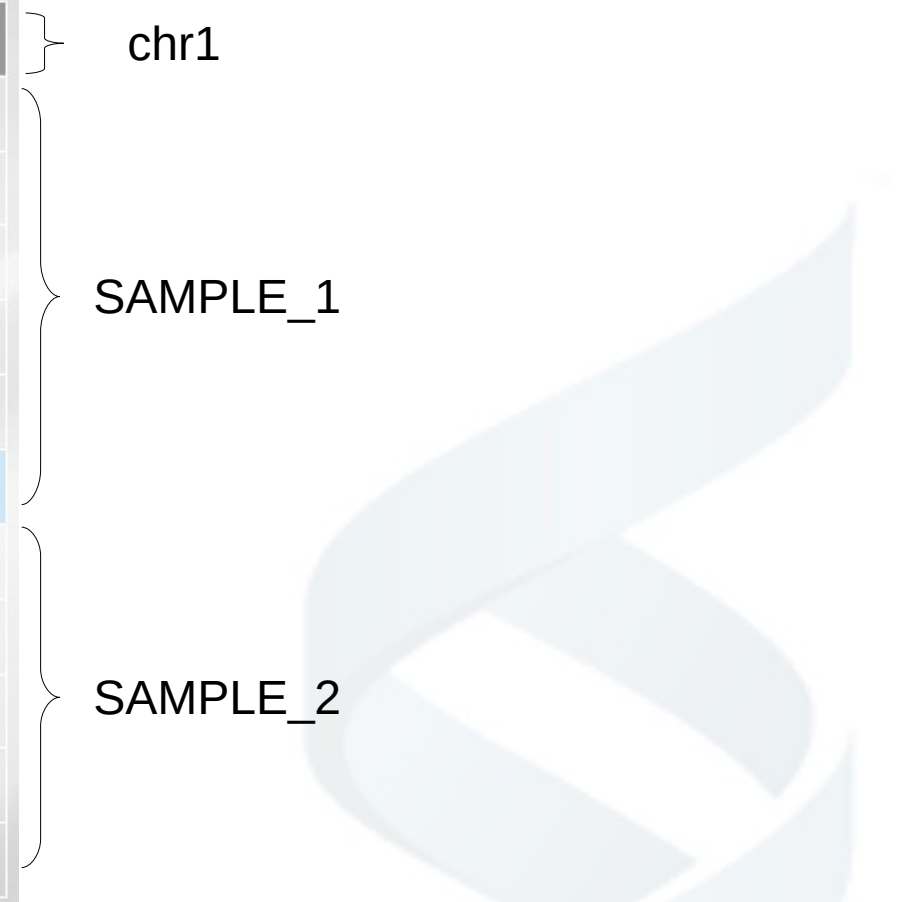
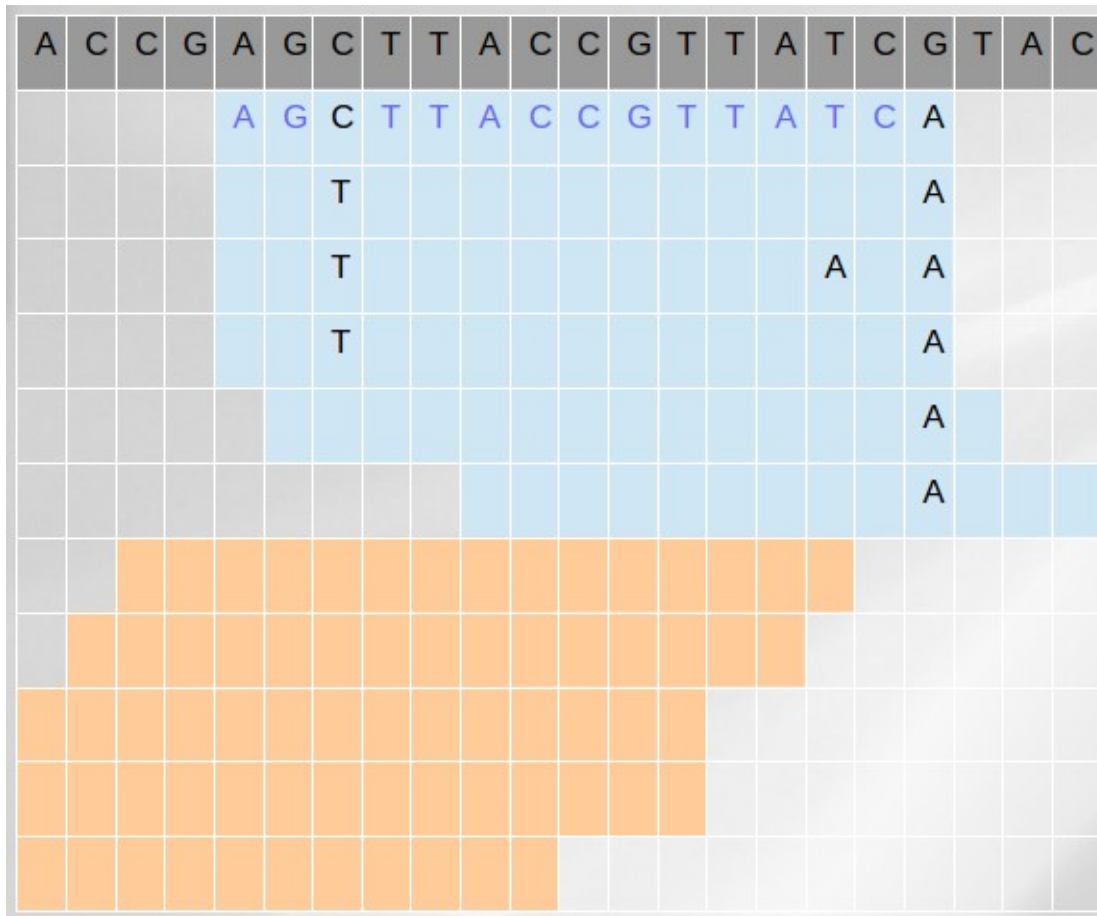
SAMPLE\_2

| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

*The Genotype Quality, or Phred-scaled confidence that the true genotype is the one provided in GT.*



# The VCF format : example



| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | INFOS   | FORMAT         | SAMPLE_1              | SAMPLE_2               |
|------|-----|----|-----|-----|--------|--------|---------|----------------|-----------------------|------------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFOS] | GT:AD:DP:GQ:PL | 0/1:3,3:6:9.2:20,0,15 | 0/0:5,0:5:20.2:0,42,94 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFOS] | GT:AD:DP:GQ:PL | 1/1:0,6:6:9.01:10,1,6 | ./.                    |

*These are normalized, Phred-scaled likelihoods for each of the 0/0, 0/1, and 1/1, without priors.*

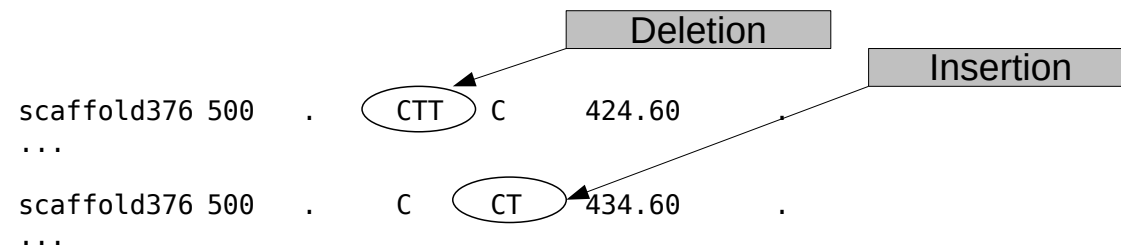
*Ex :  $PL(0/0) = 26$ , which corresponds to  $10^{(-2.6)}$ , or 0.0025*



# The VCF format



## → Small INDELs



## → Multi-allelic variants

Example of a multi-allelic variant in VCF format:

```
scaffold376 577 . C A,T 2303.19 .
...
GT:AD:DP:GQ:PL 1/2:0,10,6:16:99:394,145,118,249,0,234 1/2:0,20,6:26:99:658,160,106,498,0,480
```



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM      POS      ID      REF      ALT      QUAL      FILTER INFO      FORMAT l1      l2
```



# The VCF header



VCF version

## ##fileformat=VCFv4.1

```
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
```

##contig=<ID=scaffold376,length=1000>

##reference=file:///work/banks/genome.fasta

| #CHROM | POS | ID | REF | ALT | QUAL | FILTER | INFO | FORMAT | l1 | l2 |
|--------|-----|----|-----|-----|------|--------|------|--------|----|----|
|--------|-----|----|-----|-----|------|--------|------|--------|----|----|



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```

Fields description



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM      POS      ID      REF      ALT      QUAL      FILTER      INFO      FORMAT l1      l2
```

Tools & options used



# The VCF header



```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT l1 l2
```

Genome informations



# The VCF header



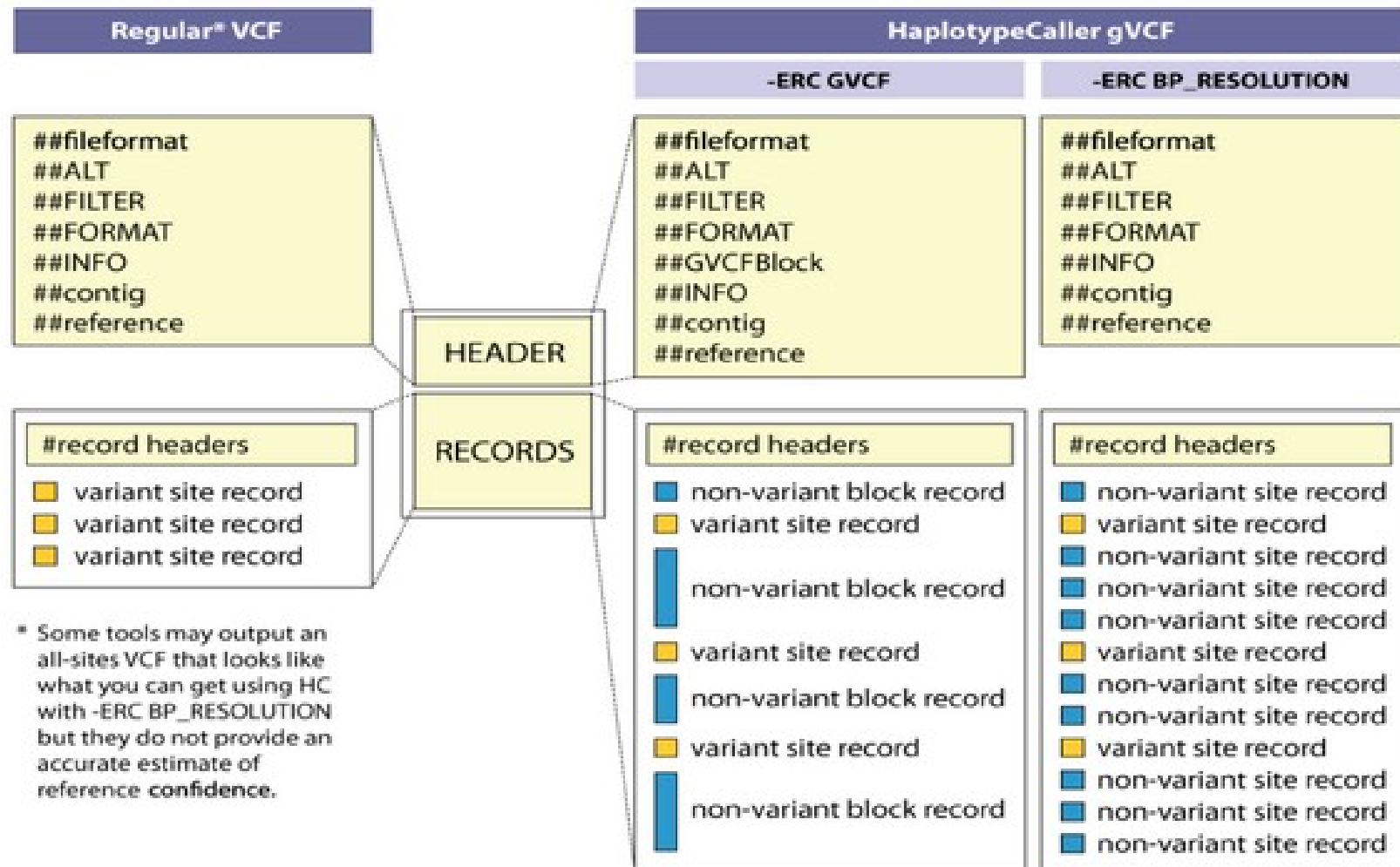
```
##fileformat=VCFv4.1
##FORMAT=<ID=AD,Number=.,Type=Integer,Description="Allelic depths for the ref and alt alleles in the order listed">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth (reads with MQ=255 or with bad mates are filtered)">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=PL,Number=G,Type=Integer,Description="Normalized, Phred-scaled likelihoods for genotypes as defined in the VCF specification">
##INFO=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes, for each ALT allele, in the same order as listed">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency, for each ALT allele, in the same order as listed">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##INFO=<ID=BaseQRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt Vs. Ref base qualities">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Approximate read depth; some reads may have been filtered">
##INFO=<ID=DS,Number=0,Type=Flag,Description="Were any of the samples downsampled?">
##INFO=<ID=Dels,Number=1,Type=Float,Description="Fraction of Reads Containing Spanning Deletions">
##INFO=<ID=FS,Number=1,Type=Float,Description="Phred-scaled p-value using Fisher's exact test to detect strand bias">
##INFO=<ID=HRun,Number=1,Type=Integer,Description="Largest Contiguous Homopolymer Run of Variant Allele In Either Direction">
##INFO=<ID=HaplotypeScore,Number=1,Type=Float,Description="Consistency of the site with at most two segregating haplotypes">
##INFO=<ID=InbreedingCoeff,Number=1,Type=Float,Description="Inbreeding coefficient as estimated from the genotype likelihoods per-sample when compared against the Hardy-Weinberg expectation">
##INFO=<ID=MQ,Number=1,Type=Float,Description="RMS Mapping Quality">
##INFO=<ID=MQ0,Number=1,Type=Integer,Description="Total Mapping Quality Zero Reads">
##INFO=<ID=MQRankSum,Number=1,Type=Float,Description="Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities">
##INFO=<ID=QD,Number=1,Type=Float,Description="Variant Confidence/Quality by Depth">
##INFO=<ID=ReadPosRankSum,Number=1,Type=Float,Description="Z-score from Wilcoxon rank sum test of Alt vs. Ref read position bias">
##INFO=<ID=SB,Number=1,Type=Float,Description="Strand Bias">
##UnifiedGenotyper="analysis_type=UnifiedGenotyper input_file=[L1_RG_s_realign_recal_q30.bam, L2_RG_s_realign_recal_q30.bam]
read_buffer_size=null phone_home=STANDARD gatk_key=null read_filter=[] [...]
##contig=<ID=scaffold376,length=1000>
##reference=file:///work/banks/genome.fasta
```

| #CHROM | POS | ID | REF | ALT | QUAL | FILTER | INFO | FORMAT | L1 | L2 |
|--------|-----|----|-----|-----|------|--------|------|--------|----|----|
|--------|-----|----|-----|-----|------|--------|------|--------|----|----|

Header line



# The VCF vs gVCF

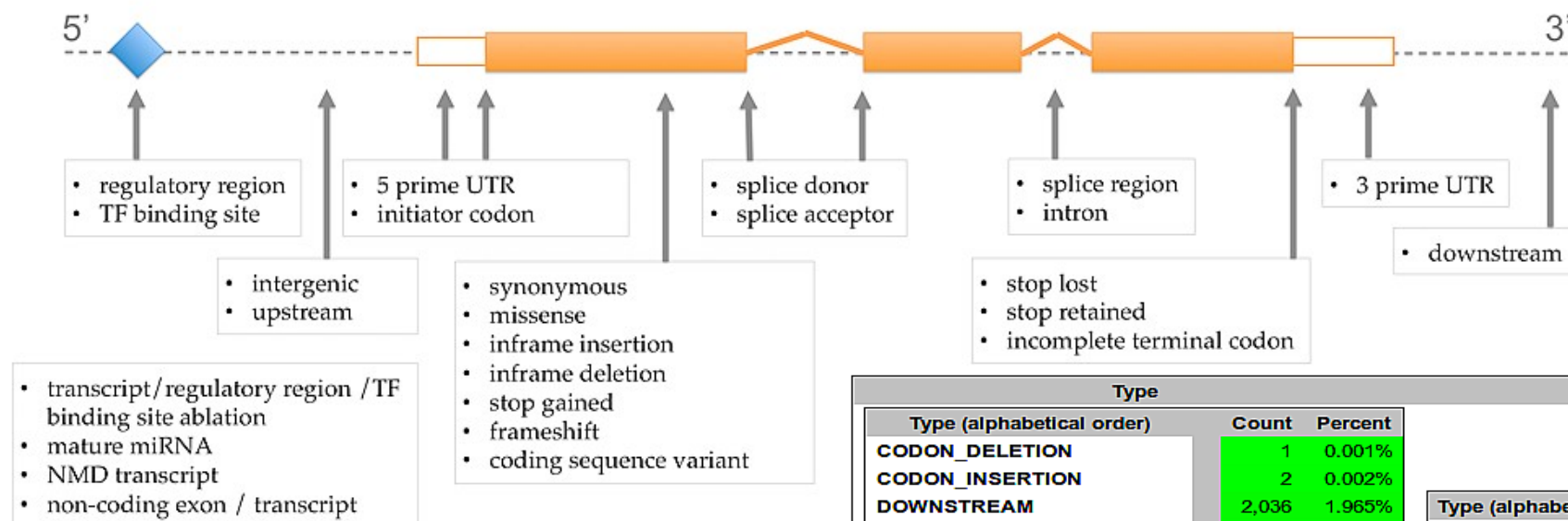




# Variant annotation



- Where are my SNPs ?
- Known or unknown ?
- Which effects ?



| Type                      |        |         | Region                    |        |         |
|---------------------------|--------|---------|---------------------------|--------|---------|
| Type (alphabetical order) | Count  | Percent | Type (alphabetical order) | Count  | Percent |
| CODON_DELETION            | 1      | 0.001%  | DOWNSTREAM                | 2,036  | 1.965%  |
| CODON_INSERTION           | 2      | 0.002%  | EXON                      | 230    | 0.222%  |
| DOWNSTREAM                | 2,036  | 1.965%  | INTERGENIC                | 87,171 | 84.121% |
| EXON                      | 30     | 0.029%  | INTRON                    | 11,609 | 11.203% |
| FRAME_SHIFT               | 6      | 0.006%  | NONE                      | 134    | 0.129%  |
| INTERGENIC                | 87,171 | 84.121% | SPLICE_SITE_DONOR         | 1      | 0.001%  |
| INTRAGENIC                | 134    | 0.129%  | SPLICE_SITE_REGION        | 22     | 0.021%  |
| INTRON                    | 11,609 | 11.203% | UPSTREAM                  | 2,324  | 2.243%  |
| NON_SYNONYMOUS_CODING     | 77     | 0.074%  | UTR_3_PRIME               | 91     | 0.088%  |
| SPLICE_SITE_DONOR         | 1      | 0.001%  | UTR_5_PRIME               | 8      | 0.008%  |
| SPLICE_SITE_REGION        | 22     | 0.021%  |                           |        |         |
| STOP_GAINED               | 2      | 0.002%  |                           |        |         |
| SYNONYMOUS_CODING         | 112    | 0.108%  |                           |        |         |
| UPSTREAM                  | 2,324  | 2.243%  |                           |        |         |
| UTR_3_PRIME               | 91     | 0.088%  |                           |        |         |
| UTR_5_PRIME               | 8      | 0.008%  |                           |        |         |



## A program for annotating and predicting the effects of single nucleotide polymorphisms, SnpEff: SNPs in the genome of *Drosophila melanogaster* strain *w*<sup>1118</sup>; *iso-2*; *iso-3*

Pablo Cingolani,<sup>1,3</sup> Adrian Platts,<sup>4</sup> Le Lily Wang,<sup>1</sup> Melissa Coon,<sup>2</sup> Tung Nguyen,<sup>2</sup> Luan Wang,<sup>1,2</sup> Susan J. Land,<sup>2</sup> Douglas M. Ruden<sup>1,2,\*</sup> and Xiangyi Lu<sup>1</sup>

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**Keywords:** personal genomes, *Drosophila melanogaster*, whole-genome SNP analysis, next generation DNA sequencing

We describe a new computer program, SnpEff, for rapidly categorizing the effects of single nucleotide polymorphisms (SNPs) and other variants such as multiple nucleotide polymorphism (MNPs) and insertion-deletions (Indels) in whole

<http://snpeff.sourceforge.net/>



# Variant annotation - SnpEff



SnpEff input(s) :

- vcf file
- (annotation => over 2500 genomes pre-built databases / build one yourself)

SnpEff outputs :

- html report
- vcf file (information added to the INFO fields)

**Table 4.** Information provided by SnpEff in variant call format (VCF)

| Sub-field         | Notes                                                                                                      |
|-------------------|------------------------------------------------------------------------------------------------------------|
| Effect            | Effect of this variant. See details below                                                                  |
| Codon_Change      | Codon change: old_codon/new_codon                                                                          |
| Amino_Acid_change | Amino acid change: old_AA/new_AA                                                                           |
| Warnings          | Any warnings or errors                                                                                     |
| Gene_name         | Gene name                                                                                                  |
| Gene_BioType      | BioType, as reported by ENSEMBL                                                                            |
| Coding            | [CODING   NON_CODING]. If information reported by ENSEMBL (e.g., has 'protein_id' information in GTF file) |
| Transcript        | Transcript ID (usually ENSEMBL)                                                                            |
| Exon              | Exon ID (usually ENSEMBL)                                                                                  |
| Warnings          | Any warnings or errors (not shown if empty)                                                                |

The information is added to the INFO fields using an tag 'EFF'. The format for each effect is "Effect (Effect\_Impact | Codon\_Change | Amino\_Acid\_change | Gene\_Name | Gene\_BioType | Coding | Transcript | Exon [ | ERRORS | WARNINGS])".

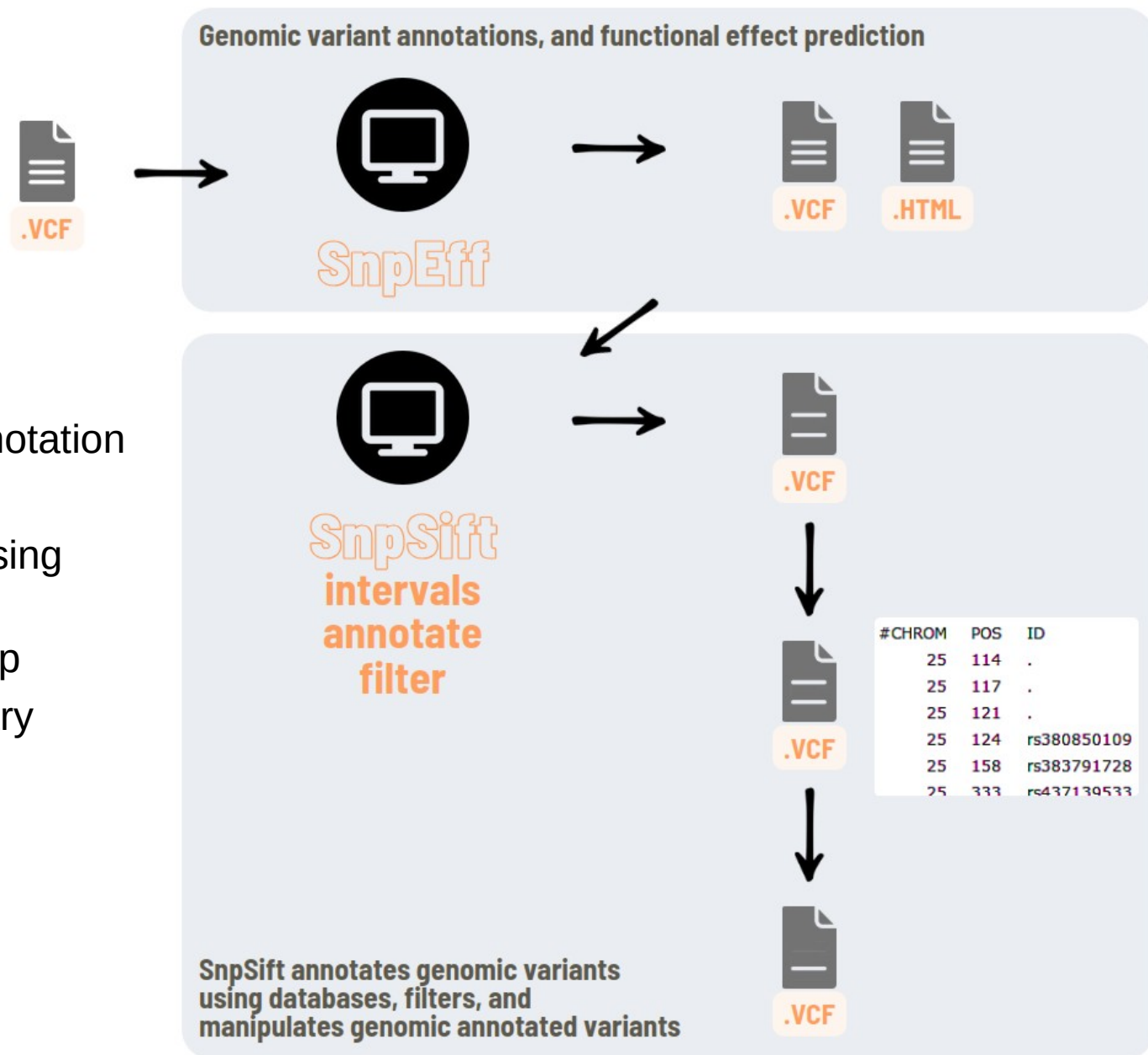


# Variant annotation – pipeline



SnpEff : Variant effect and annotation  
SnpSift

- Intervals - Filter variants using intervals
- Annotate SNPs from dbSnp
- Filter variants using arbitrary expressions





Filter variants using arbitrary expressions

<http://snpeff.sourceforge.net/SnpSift.html#filter>

Some examples:

*I want to filter out samples with quality less than 30:*

**( QUAL > 30 )**

*...but we also want InDels that have quality 20 or more:*

**(( exists INDEL ) & ( QUAL >= 20 )) | ( QUAL >= 30 )**

*...or any homozygous variant present in more than 3 samples:*

**(countHom() > 3) | (( exists INDEL ) & ( QUAL >= 20 )) | ( QUAL >= 30 )**

*...or any heterozygous sample with coverage 25 or more:*

**((countHet() > 0) & (DP >= 25)) | (countHom() > 3) | (( exists INDEL ) & ( QUAL >= 20 )) | ( QUAL >= 30 )**

*I want to keep samples where the genotype for the first sample is homozygous variant and the genotype for the second sample is reference:*

**isHom( GEN[0] ) & isVariant( GEN[0] ) & isRef( GEN[1] )**

| #CHR | POS | ID | REF | ALT | QUAL   | FILTER | [INFO] | FORMAT         | SAMPLE_1               | SAMPLE_2              |
|------|-----|----|-----|-----|--------|--------|--------|----------------|------------------------|-----------------------|
| chr1 | 7   | .  | C   | T   | 247.82 | .      | [INFO] | GT:AD:DP:GQ:PL | 0/1:2,3:5:9.2:20,0,15  | 0/1:2,3:5:9.01:10,1,6 |
| chr1 | 19  | .  | G   | A   | 124.34 | .      | [INFO] | GT:AD:DP:GQ:PL | 0/0:5,0:5:20.2:0,42,94 | ./.                   |



# SnpSift Filter – Variables



- **Fields** names: "CHROM, POS, ID, REF, ALT, QUAL or FILTER" Examples:

- Any variant in chromosome 1:

```
"( CHROM = 'chr1' )"
```

- Variants between two positions:

```
"( POS > 123456 ) & ( POS < 654321 )"
```

- Has an ID and it matches the regular expression 'rs':

```
"(exists ID) & ( ID =~ 'rs' )"
```

- The reference is 'A':

```
"( REF = 'A' )"
```

- The alternative is 'T':

```
"( ALT = 'T' )"
```

- Quality over 30:

```
"( QUAL > 30 )"
```

- Filter value is either 'PASS' or it is missing:

```
"( na FILTER ) | ( FILTER = 'PASS' )"
```

- **INFO** field names in the INFO field. E.g. if the info field has "DP=48;AF1=0;..." you can use something like

```
( DP > 10 ) & ( AF1 = 0 )
```



## Genotype fields

Vcf genotype fields can be accessed individually using array notation.

- **Genotype fields** are accessed using an index (sample number) followed by a variable name. E.g. If the genotypes are "GT:PL:GQ 1/1:255,66,0:63 0/1:245,0,255:99" You can write something like

```
" ( GEN[0].GQ > 60 ) & ( GEN[1].GQ > 90 ) "
```

You may use an asterisk to represent 'ANY' field

```
" ( GEN[*].GQ > 60 ) "
```

- **Genotype multiple fields** are accessed using an index (sample number) followed by a variable name and then another index. E.g. If the genotypes are "GT:PL:GQ 1/1:255,66,0:63 0/1:245,0,255:99" You can write something like

```
" ( GEN[0].PL[2] = 0 ) "
```

You may use an asterisk to represent 'ANY' field

```
" ( GEN[0].PL[*] = 0 ) "
```

...or even

```
" ( GEN[*].PL[*] = 0 ) "
```

Rq : You can create an expression using sample names instead of genotype numbers !



## SnpEff 'EFF' fields

SnpEff annotations are parsed, so you can access individual sub-fields:

Effect fields (from SnpEff) are accessed using an index (effect number) followed by a sub-field name.

Available **EFF** sub-fields are:

- EFFECT: Effect (e.g. SYNONYMOUS\_CODING, NON\_SYNONYMOUS\_CODING, FRAME\_SHIFT, etc.)
- IMPACT: { HIGH, MODERATE, LOW, MODIFIER }
- FUNCLASS: { NONE, SILENT, MISSENSE, NONSENSE }
- CODON: Codon change (e.g. 'ggT/ggG')
- AA: Amino acid change (e.g. 'G156')
- GENE: Gene name (e.g. 'PSD3')
- BIOTYPE: Gene biotype, as described by the annotations (e.g. 'protein\_coding')
- CODING: Gene is { CODING, NON\_CODING }
- TRID: Transcript ID
- RANK: Exon or Intron rank (i.e. exon number in a transcript)

For example, you may want only the lines where the first effect is a NON\_SYNONYMOUS variants:

```
" ( EFF[0].EFFECT = 'NON_SYNONYMOUS_CODING' ) "
```

...but this probably doesn't make much sense. What you may really want are lines where ANY effect is NON\_SYNONYMOUS:

```
" ( EFF[*].EFFECT = 'NON_SYNONYMOUS_CODING' ) "
```

Maybe you want only the ones that affect gene 'TCF7L2'

```
" ( EFF[*].EFFECT = 'NON_SYNONYMOUS_CODING' ) & ( EFF[*].GENE = 'TCF7L2' ) "
```



# SnpSift Filter - Available operands and functions



| Operand | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Data type            | Example                                       |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|-----------------------------------------------|
| =       | Equality test                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | FLOAT, INT or STRING | (REF = 'A')                                   |
| >       | Greater than                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FLOAT or INT         | (DP > 20)                                     |
| ≥       | Greater or equal than                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | FLOAT or INT         | (DP ≥ 20)                                     |
| <       | Less than                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | FLOAT or INT         | (DP < 20)                                     |
| ≤       | Less or equal than                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | FLOAT or INT         | (DP ≤ 20)                                     |
| ==~     | Match regular expression                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | STRING               | (REL ==~ 'AC')                                |
| !~      | Does not match regular expression                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | STRING               | (REL !~ 'AC')                                 |
| &       | AND operator                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Boolean              | (DP > 20) & (REF = 'A')                       |
|         | OR operator                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Boolean              | (DP > 20)   (REF = 'A')                       |
| !       | NOT operator                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Boolean              | ! (DP > 20)                                   |
| exists  | The variable exists (not missing)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Any                  | ( <b>exists</b> INDEL)                        |
| has     | <p>The right hand side expression is equal to any of the items in a list consisting of separating the left hand side expression using delimiters: '&amp;', '+', ':', '(', ')', '[', ']'</p> <p>Example: If the expression is: ANN[*].EFFECT <b>has</b> 'missense_variant'</p> <p>If left hand side (ANN[*].EFFECT) has value 'missense_variant&amp;splice_region_variant', then it is transformed to a list: ['missense_variant', 'splice_region_variant']</p> <p>Since the right hand side ('missense_variant') is in the list, the expression evaluates to 'true'</p> | Any                  | (ANN[*].EFFECT <b>has</b> 'missense_variant') |



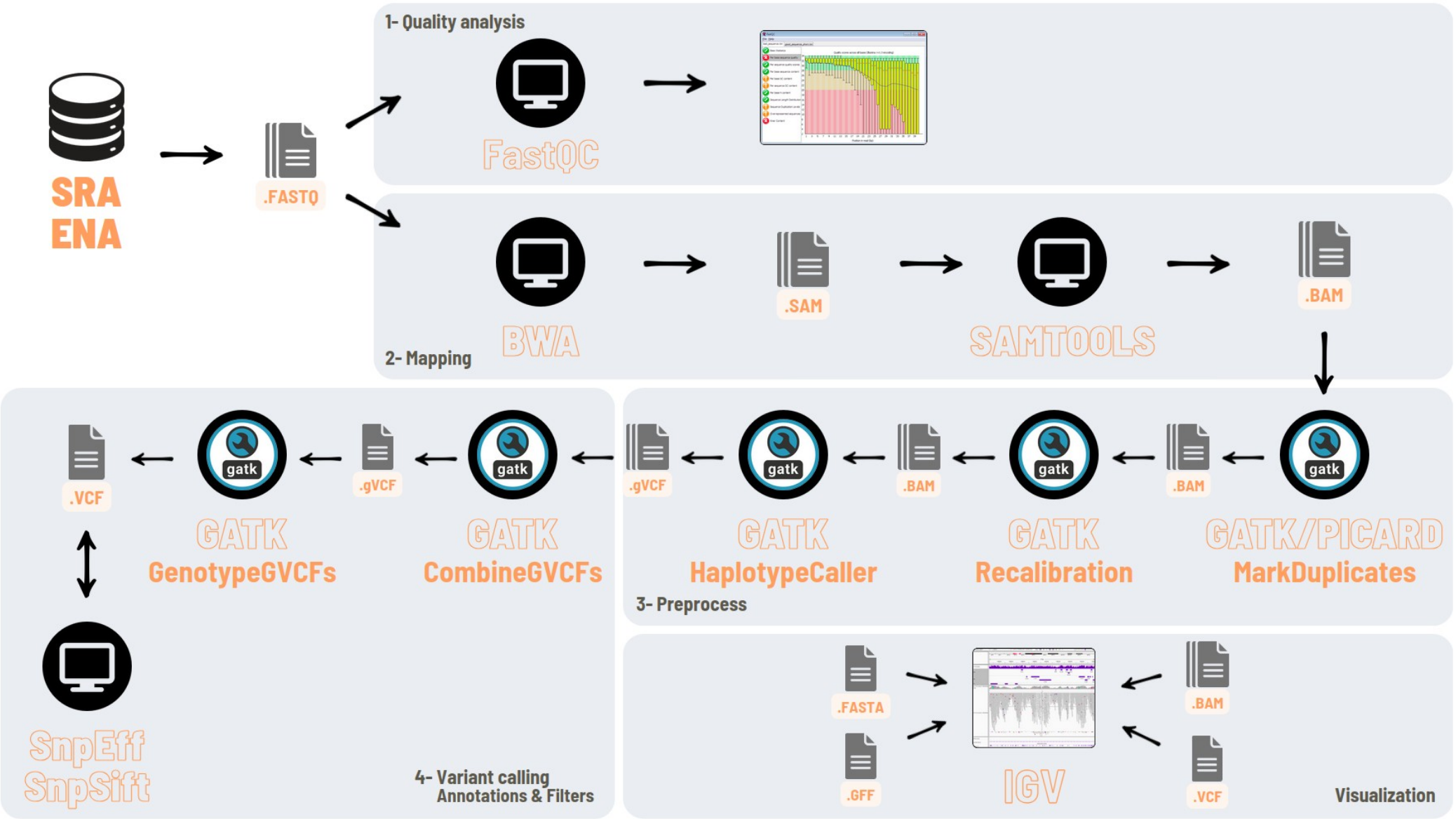
# SnpSift Filter - Available operands and functions



| Function          | Description                                                          | Data type    | Example              |
|-------------------|----------------------------------------------------------------------|--------------|----------------------|
| countHom()        | Count number of homozygous genotypes                                 | No arguments | (countHom() > 0)     |
| countHet()        | Count number of heterozygous genotypes                               | No arguments | (countHet() > 2)     |
| countVariant()    | Count number of genotypes that are variants (i.e. not reference 0/0) | No arguments | (countVariant() > 5) |
| countRef()        | Count number of genotypes that are NOT variants (i.e. reference 0/0) | No arguments | (countRef() < 1)     |
| Genotype Function | Description                                                          | Data type    | Example              |
| isHom             | Is homozygous genotype?                                              | Genotype     | isHom( GEN[0] )      |
| isHet             | Is heterozygous genotype?                                            | Genotype     | isHet( GEN[0] )      |
| isVariant         | Is genotype a variant? (i.e. not reference 0/0)                      | Genotype     | isVariant( GEN[0] )  |
| isRef             | Is genotype a reference? (i.e. 0/0)                                  | Genotype     | isRef( GEN[0] )      |



# Synthesis





# Exercise / set 6





## Resources for SNPs

- *True sites training resource: HapMap*  
This resource is a SNP call set that has been validated to a very high degree of confidence. The program will consider that the variants in this resource are representative of true sites (truth=true), and will use them to train the recalibration model (training=true). We will also use these sites later on to choose a threshold for filtering variants based on sensitivity to truth sites. The prior likelihood we assign to these variants is Q15 (96.84%).
- *True sites training resource: Omni*  
This resource is a set of polymorphic SNP sites produced by the Omni geno- typing array. The program will consider that the variants in this resource are representative of true sites (truth=true), and will use them to train the recalibration model (training=true). The prior likelihood we assign to these variants is Q12 (93.69%).
- *Non-true sites training resource: 1000G*  
This resource is a set of high-confidence SNP sites produced by the 1000 Genomes Project. The program will consider that the variants in this resource may contain true variants as well as false positives (truth=false), and will use them to train the recalibration model (training=true). The prior likelihood we assign to these variants is Q10 (90%).
- *Known sites resource, not used in training: dbSNP*  
This resource is a call set that has not been validated to a high degree of confidence (truth=false). The program will not use the variants in this resource to train the recalibration model (training=false). However, the program will use these to stratify output metrics such as Ti/Tv ratio by whether variants are present in dbsnp or not (known=true). The prior likelihood we assign to these variants is Q2 (36.90%).

<http://gatkforums.broadinstitute.org/gatk/discussion/1259/which-training-sets-arguments-should-i-use-for-running-vqsr>